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Abstract: Nanocomposite TiSiN coatings were deposited on tool steels. Detailed mechanisms that govern the corrosion of these coated steels were revealed, following immersion tests in 70% nitric acid solution. Pitting occurred preferentially at surface defect sites and expanded with increasing immersion time. Both Young's modulus and hardness decreased as the corrosion damage intensified. A thin oxide layer formed during thermal annealing at 900°C was found to be effective against corrosive attack. In addition, compressive residual stress was noted to suppress the propagation of corrosion-induced cracks. Role of residual stress in controlling the corrosion resistance of these ceramic-coated steels was clarified.

Dear Editor,

Ceramic nanocomposite coatings offer great potential for surface engineering of metal components used for cutting tools and medical devices. However, a deeper understanding of the roles of coating microstructure and residual stress in corrosion prevention remains lacking. In this work, an array of surface and subsurface characterisation techniques including focused ion beam microscopy and nanoindentation are utilised to probe the corrosion evolution of nanocomposite TiSiN coatings on steel substrates in nitric acid solution and unlock the controlling mechanism under which the coated steels corrode. The effect of residual stress upon localised corrosion development is also clarified by finite element analysis. Accordingly, new strategies are proposed to extend the service life of ceramic coatings in corrosive environments.

It will be really appreciated, if you kindly publish this manuscript in your renowned journal. Regards.

> On behalf of all Authors, Mohammad S Ahmed Edith Cowan University

- Pitting, originated from surface defects, was dominant in TiSiN coated steels,
- > Thin oxidation layer generated by annealing provided protection against corrosion,
- > Compressive residual stress resisted corrosion propagation in TiSiN coated steels.

Corrosion behaviour of nanocomposite TiSiN coatings on steel substrates

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Abstract

Nanocomposite TiSiN coatings were deposited on tool steels. Detailed mechanisms that govern the corrosion of these coated steels were revealed, following immersion tests in 70% nitric acid solution. Pitting occurred preferentially at surface defect sites and expanded with increasing immersion time. Both Young's modulus and hardness decreased as the corrosion damage intensified. A thin oxide layer formed during thermal annealing at 900°C was found to be effective against corrosive attack. In addition, compressive residual stress was noted to suppress the propagation of corrosion-induced cracks. Role of residual stress in controlling the corrosion resistance of these ceramic-coated steels was clarified.

Keyword: Nanocomposite coatings, corrosion, residual stress, focused ion beam microscopy, finite element analysis

1. Introduction

Nanocomposite ceramic coatings have been investigated intensively in recent years for applications in machining tools and medical devices, owing to their high hardness and good wear resistance [1-4]. Among them, TiSiN is commonly applied. Pitting corrosion has been frequently observed in ceramic coatings deposited on steel substrates, originating from surface defect sites [5,6,]. Electron microscopy, surface X-ray diffraction (XRD) and X-ray photoelectron spectroscopy have been used to probe the mechanisms under which these ceramic coated steels corroded [7, 8, 9]. However, a detailed understanding of initiation and propagation of corrosion in surface-treated steels is lacking and, consequently, the factors that control the expansion of pitting remains unclear. Though mechanical properties of ceramic coatings are anticipated to deteriorate during corrosion, no data are available to date to substantiate such a hypothesis, making it difficult to predict the service life of ceramic coated steels in corrosive environments.

High compressive residual stress is known to exist in ceramic coatings prepared by PVD [10, 11]. Although, stress corrosion cracking has often been observed in metals subjected to tensile stresses [12], little effort has been made so far to clarify the effect of compressive residual stress on the corrosion behaviour of ceramic-coated steels. Focused ion beam (FIB) microscopy is a powerful tool in the preparation and analysis of local cross-sections in a

material surface [13, 14]. It has recently been used for subsurface characterization of ceramic coatings following mechanical surface testing [15, 16]. In this study, FIB, along with XRD, X-ray photoelectron spectroscopy (XPS) and nanoindentation testing, were used to characterise the microstructural evolution in both surface and subsurface after corrosion tests and determine key factors that control the corrosion process. The change of mechanical properties of the coatings under the influence of corrosion was quantified, and the effect of residual stress on the corrosion progression was also clarified by finite element analysis (FEA).

2. Experimental procedure

2.1 Sample preparation

Nanocomposite TiSiN coatings were deposited onto AISI M42 tool steel substrates by physical vapour deposition (PVD) using a reactive close-field unbalanced magnetron sputtering system (UDP650, Teer Coatings Ltd., Droitwich, Worcestershire, UK). The details of the deposition procedure were given elsewhere [17] and can be summarised as follows: prior to deposition, the surface of the steel substrates was bombarded by Ar ions to remove the oxide layer and other contaminants. During deposition a Ti buffer layer of ~0.2 μ m was first deposited, followed by a TiN transition layer of ~1 μ m. The thickness of the outer TiSiN coating was ~3 μ m and it was composed of ~50 at.% of Ti, ~10 at.% of Si and ~40 at.% of N, as determined by XPS. After deposition, some of the samples were annealed for 3 hours in a Carbolite vacuum tube furnace at 900 °C with a base pressure < 1×10⁻⁶ Torr and a heating rate of 5°C/min. Compressive residual stress in as-deposited TiSiN coatings was found to decrease from ~10 GPa to ~1 GPa after the thermal annealing treatment [18]. However, the

microstructural features of the annealed samples remained identical to that of the as-deposited specimens, as shown in Figure 1.

2.2 Corrosion tests

Before immersion tests, the samples were coated with an acrylic protective layer (without metallic flakes) to expose only the coated area. The tests were performed in 70% HNO_3 solution at 25°C. The immersion period was set as 2 and 8 days.

2.3 Surface structure characterisation

The coating crystal structure of all the samples was characterised by grazing incidence XRD (GI-XRD) at the powder diffraction beam line of the Australian Synchrotron located in Melbourne, Australia. The energy of the synchrotron radiation was 9.0 keV, which corresponds to a wavelength of 0.13 nm at an incidence angle of 1°. The patterns were collected over the 2θ range of 20° to 80° . The depth of the incidence SR beam was 0.5 µm, according to a formula described elsewhere [19].

The surface bonding structure of both virgin and corroded TiSiN coatings were probed by XPS (Kratos Axis Ultra XPS spectrometer, Manchester, UK) with Mg K α radiation (hv = 1,253.6 eV). The sample was mounted horizontally normal to the entrance of electrostatic lens. The base pressure of the analyser chamber was maintained at ~10⁻⁹ Torr. The voltage and emission current of X-ray source were kept at 12 kV and 12 mA, respectively. The pass energy were selected at 80 eV for survey scan and 10 eV for the features of interests (i.e., N1s, Ti2p, Si2p) to ensure high resolution and good sensitivity. XPS spectra energy scale was

calibrated using Cu2p (932.67 eV), Ag3d (368.27 eV), C1s (284.6 eV) and Au4f (83.98 eV). The electrostatic lens mode and analyser entrance were selected Hybrid and Slot mode (Iris = 0.6 and Aperture = 49), respectively. A charge neutraliser was employed during the XPS measurements.

2.4 Surface and subsurface observations

Sectioning and imaging of the surface defects of virgin annealed samples were conducted on a Neon 40EsB focussed ion beam scanning electron microscope (FIB-SEM) (Carl Zeiss NTS GmbH, Oberkochen, Germany). Imaging of the subsurface was achieved using the FIB to expose a cross-section of the sample. Images were collected using an in-lens secondary electron detector. The surface of both virgin and corroded samples was examined using a field emission scanning electron microscope (FESEM) (Model Fei Nova 230, EI Company, Hillsboro, OR, USA). Sectioning and subsurface imaging of samples was also carried out using a focused ion beam (FIB) workstation (FEI xP200 focused ion beam microscope, FEI Company, Hillsboro, OR, USA). The procedure has been described elsewhere [20]. First, a high gallium ion beam current (7,000 pA) was used to mill through the surface area of interest and to create a wedge-like trench. The resultant rough cross-section was then polished at medium currents (1,000 – 3,000 pA) to remove particle deposition and smooth the surface. Finally, the cross section was imaged at lower beam currents.

2.5 Nanoindentation testing

A nanoindentation system (Ultra-Micro Indentation System 2000, CSIRO, Sydney, Australia), equipped with a Berkovich indenter, was used to measure the mechanical

properties of the TiSiN coatings before and after corrosion tests, according to a standard method [21]. Prior to nanoindentation testing, the area function of the indenter tip was calibrated using a fused silica specimen. Nanoindentation tests were carried out under load control with a maximum load of 50 mN. For each test, 20 incremental and 20 decremental steps were used. The maximum penetration depth during the tests was found to be less than 10 % of the TiSiN coating thickness, which ensured only the coating properties were measured.

2.6 Finite element analysis of residual stress distribution

An axisymmetric model (Comsol Multiphysics software, Version 3.5a, Burlington, MA 01803, USA) was constructed to create a direct link between the residual stress and corrosion resistance. The model that contains the cross section of a cone-shaped cavity, resulting from localised corrosion damage, is shown in Fig.2. The geometry and size of the corrosion damage site in the model are based upon the subsurface observations enabled by the FIB microscopy. Boundary conditions are given as follows: the left hand side is the axisymmetric axis. The bottom and the right hand side are fixed along the z and r directions, respectively, but free to move in the other directions. The overall dimension of the model is much larger than both the thickness of the coating layers, and the edge effect due to boundary constrains is thus negligible. The meshes were generated in an interactive way to ensure that they were sufficiently dense around the edge, which defines the inner wall of the corrosion cavity. Planar compressive residual stresses were set to be 3 GPa for the TiN layer [18]. For the annealed samples, the residual stresses were set to be 0.3 GPa and 1 GPa for the TiN and TiSiN layers, respectively [18]. Under the influence of

the residual stress, the percent reduction in the cavity area sectioned along the horizontal direction at a distance of x from the cavity tip can be calculated by $\delta A/A = (r_0^2(x) - r^2(x)) / r_0^2(x)$, where r(x) and $r_0(x)$ are the radii of the cavity area under stress and stress-free conditions, respectively. Structural and physical parameters of both the substrate and coating materials are given in Table I.

3. Results

3.1 Characterisation of surface crystal structure

The surface crystal structure of the as-deposited and annealed samples were analysed by GI-XRD before and after corrosion tests. Four diffraction peaks identified at the diffraction angles of 32.5° , 39.9° , 64° and 72° corresponded to $\{1\ 1\ 1\}$, $\{2\ 0\ 0\}$, $\{2\ 2\ 0\}$ and $\{3\ 1\ 1\}$ crystal planes of cubic B1 NaCl-type TiN, respectively (Fig. 3(a) & (b)). Notably, with the increase of immersion test time, the TiN $\{1\ 1\ 1\}$ peak was intensified, indicative of a continuing removal of the outer nanostructured TiSiN layer and the exposure of the columnar-grained TiN transitional layer having a preferred $\{1\ 1\ 1\}$ orientation [23]. In addition, the peaks of austenite phase (γ) {200} and martensite phase (M) {200} of M42 steel substrate appeared in both types of virgin samples, suggesting that surface defects such as pits may exist in the samples. The peaks of these steel phases were intensified with increasing immersion time, most likely due to the thinning or removal of the coating under acid attack. The other small peaks were associated with the carbide phases of M42 steel substrate. No diffraction peaks related to Si and its compounds were identified. By comparing the peak intensity of both γ and M phases, the as-deposited coating seemed to be more susceptible to corrosion attack than the annealed samples, though both coatings exhibited a similar trend in the evolution of peak intensity.

3.2 Analysis of the surface chemistry of the coatings

The change in surface chemical bonding states of both as-deposited and annealed samples with the development of corrosion progress were characterised by XPS. From N 1s spectra, the two peaks at ~396 eV and ~397 eV arise from Ti-O-N and TiN phases, respectively, whereas the other peak at 400 eV represents Si_3N_4 (Fig. 4(a) & (b)). Considering that no crystalline Si₃N₄ phase was detected in the samples during GI-XRD analysis (Fig. 3), Si₃N₄ was thought to exist in an amorphous state in TiSiN coatings [24]. The peak of Ti-O-N might be attributed to surface contamination caused by oxygen [25, 26]. By increasing the immersion period to eight days, the intensities of these peaks decreased significantly, presumably due to aggressive attack of the nitric acid on the samples. According to the Ti 2p spectra, two pairs of peaks from Ti 2p3/2 and Ti 2p1/2 were identified before and after the immersion tests, corresponding to TiN and TiO_x phases in the surface of both as-deposited and annealed samples (Fig. 4(c) & (d)). Prior to the corrosion tests, TiO_x prevailed in the annealed sample, while TiN phase was dominant in the as-deposited sample, indicating that an oxidation layer formed during the thermal treatment at 900°C. Following two days immersion tests, TiO_x remained dominant in the annealed sample. However, surface passivation seemed to occur in the as-deposited sample, making TiO_x the dominant phase. With increasing immersion time up to eight days, the intensities of these peaks decreased for both phases, indicating corrosion progression in the acid solution. Analysis of the binding energy peaks associated to Si 2p spectra shows only one peak at 102.7 eV corresponding to Si_3N_4 phase in both as-deposited and annealed samples (Fig. 4(e) & (f)), whose intensities also decreased with the increase of immersion time in the acid solution. The binding energies of surface phases identified by XPS in the samples before and after corrosion tests are given in Table II.

3.3 Nanoindentation tests

Young's modulus and hardness of the as-deposited sample were found to be higher than the annealed sample by ~25% and ~47%, respectively, before immersion tests (Fig. 5). A slight decrease of mechanical properties was observed for both types after two days immersion tests. By immersing the samples in 70% HNO₃ solution for eight days, the as-deposited sample showed a marked decrease in mechanical properties compared to the annealed: for Young's modulus, the as-deposited sample decreased by ~33% and the annealed sample by ~10% (Fig. 5(a)); for hardness, the as-deposited sample decreased by ~ 62% and the annealed samples by ~21% (Fig. 5(b)).

3.4 Surface and subsurface observations

The surface of both as-deposited and annealed coatings was examined before corrosion tests. Surface defects, such as micron-sized pits and embedded particles, were observed in both samples (Fig. 6(a)&(b)). Elemental maps, recorded using EDS, over a surface pit in Fig. 6(b)were also acquired. The colour pixels in these images represent the presence of the elements of interest. Notably, a high concentration of iron was detected within the pit, indicating that the steel substrate may be locally exposed and more likely to corrode in subsequent immersion tests. To probe the depth of a surface pit, a cross-section was produced across the pit (Fig. 6(c)). The pit was observed to have a depth to the thickness of the TiSiN coating. Within the pit, micron-sized particles can also be seen, apparently arising from the deposition process.

The sample surface was examined following two and eight-days immersion tests (Fig. 7). For samples subjected to two days corrosion tests, the initiation of localised corrosion was observed at surface defect sites. By increasing the immersion time to eight days, pitting was observed to expand in both types of coated specimens – moving downward and corroding the less-noble steel substrate, and at the same time propagating laterally along the interface between the outer TiSiN coating and the TiN underlayer. More often, pits extended to join their neighbours in the as-deposited sample and created widespread damage in the coatings, compared to a relatively isolated pitting damage in the annealed sample. Elemental maps over two pits in the annealed sample were also given after 8 days immersion tests; the steel substrate was more evident inside the pits than in the virgin samples.

To clarify the effect of compressive residual stress in the coatings on the corrosion behaviour of the coated seels, the cross-sections of the coating samples were prepared after corrosion tests and the interaction between the coating microstructure and corrosion progression was examined (Fig. 8). For two days immersion tests, the lateral expansion of the pits along the TiSiN/TiN interface was observed in both types of TiSiN coatings, since the TiN layer within the pits acted as a physical barrier against downward progression of corrosion. Even so, corrosion penetration through the less-densely packed boundaries between vertically aligned columnar TiN grains was evident in the annealed coating. Following eight days immersion tests, an appreciable thinning of the TiN layer was observed at the pitting sites in the asdeposited sample, caused by prolonged acid attack. Neither subsurface cracks nor delamination were noted. In contrast, the exposed TiN layer was etched away in bulk at the pitting sites in the annealed sample (Fig. 9). Moreover, delamination was detected at the interface between the top TiSiN and TiN as well as the boundary between the TiN and steel substrate around these pitting sites.

3.5 Effect of residual stress on the corrosion resistance

A FEA model was constructed to quantify the effect of compressive residual stress on the opening of corrosion-induced cavities in the samples. The percent reduction of lateral section area of a cavity was observed to increase rapidly with the opening (i.e. the size of end diameters) of the cavity (Fig. 10). For a through-TiN coating cavity the maximum area reduction was ~3% (Fig. 10(a)), while the reduction was larger for a shallow cavity, i.e., ~ 8% (Fig. 10(b)), meaning that the residual stress was more effective in resisting the infiltration of corrosive agents at the early stage of corrosion development. Moreover, the maximum percent area reduction was noted to occur near the cavity tip, in particular for the shallow cavities, indicating the residual stress played a critical role in resisting the corrosion advance.

4. Discussion

The effect of the coating microstructure and residual stress on the corrosion behaviour of TiSiN coated steels in acidic environments was investigated in this work. The microstructure of these coatings consisted of three sublayers, namely, a nanocomposite TiSiN outer layer, a columnar-grained TiN transitional layer and a thin metallic Ti adhesion layer. All these three layers, while fulfilling their mechanical functions, also acted as physical barriers against potential corrosion attack on the steel substrates. Surface imperfections, such as pinholes and microparticles, are often found in PVD prepared ceramic coatings [27, 28], and these defects played an important role in the initiation and expansion of corrosion damage in the coatings in corrosive solutions [29, 30]. Unlike previous studies on corrosion of ceramic-coated steels, in which a understanding of corrosion mechanism was mainly developed from the surface observation [31, 32, 33], direct subsurface observation was conducted in this work, in combination with a host of surface characterisation techniques including FESEM, GI-XRD, XPS and nanoindentation. Detailed mechanistic insights into the mechanism that controlled the corrosion behaviour of ceramic coatings on steel substrates were thus gained.

Compressive residual stress, as high as ~10 GPa, was measured for the as-deposited TiSiN coatings [18], and its origins and effects upon the mechanical behaviour of the coatings have recently been explored [17, 18]. The magnitude of residual stress in the as-deposited coatings can be reduced to ~1 GPa by thermal annealing at 900°C [18]. Interestingly, this treatment has little impact upon the composition and microstructure of the TiSiN coatings, except that a thin oxide layer formed on the surface of TiSiN coatings. The newly formed layer was noted to act as a barrier to restrict lateral expansion and merging of localised corrosion. It may

explain why the annealed samples showed greater Young's modulus and hardness than the as-deposited after eight days corrosion tests.

Compressive residual stress in the coatings also played a key part in resisting the corrosion damage in the coated steels. The propagation of cracks induced by localised corrosion was suppressed by high compressive residual stress in the as-deposited coatings. In contrast, by diminishing compressive residual stress through thermal annealing, the corrosion cracking occurred with little resistance preferentially through structural defects, i.e., the boundaries of exposed columnar TiN grains and the interface between sublayers, causing appreciable damage around the pits. Moreover, the FEA model demonstrated that compressive residual stress can reduce the size of cavities created by corrosive attack, leading to an increase in corrosion resistance.

5. Conclusions

Direct subsurface observation, in combination with surface characterisation and modelling techniques, was performed to probe the corrosion evolution of TiSiN coated steels in 70% nitric acid, with a focus on the roles of surface oxidation layer and compressive residual stress in controlling the development of localised corrosion. The following conclusions can be drawn:

 Corrosion pitting was observed to be dominant in TiSiN coatings on steel substrates, which originated from surface defects.

- 2) Thin oxidation layer, formed during post-deposition annealing treatment, inhibited the penetration of acidic solution through the surface of the annealed coating and provided an overall protection of the coated steels.
- 3) Compressive residual stress suppressed the propagation of corrosion-induced cracks through the sublayer interface and the boundaries of columnar TiN grains and helped maintain the structural integrity of TiSiN coated steel systems.

Accordingly, surface oxidation technique may be applied in conjunction with the control of compressive residual stress to provide an effective protection against localised corrosion in PVD-prepared ceramic coatings on steels.

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Table captions

Table I Materials properties of substrate and coating components used in FEA simulations.

Table II Binding energy peaks of N 1s, Ti 2p and Si 2p and corresponding phases identified by surface XPS analysis in both as-deposited and annealed samples before and after 2 days immersion tests

Figure captions

Figure 1 Secondary electron micrographs showing the microstructure of (a) as-deposited and (b) annealed TiSiN coatings on steel substrates.

Figure 2 Coating architecture and meshes in a localised corrosion model. Note that a coneshaped cavity through the thickness of the TiN layer. The left-hand side is the axisymmetric axis.

Figure 3 Grazing incidence X-ray diffraction patterns of (a) as-deposited and (b) annealed coating samples before and after immersion tests. Patterns have been offset for ease of viewing. Note " γ " stands for austenite phase and "M" for martensite phase.

Figure 4 Surface X-ray photoelectron spectroscopy spectra for both as-deposited and annealed sample before and after immersion tests: the chemical bonding states of N 1s in (a) as-deposited and (b) annealed samples; the chemical bonding states of Ti 2p in (c) as-deposited and (d) annealed samples; the chemical bonding states of Si 2p in (e) as-deposited and (f) annealed samples.

Figure 5 Variation of (a) Young's modulus and (b) hardness of both as-deposited and annealed TiSiN coatings with immersion test time.

Figure 6 Scanning electron micrographs showing the surface of (a) as-deposited and (b) annealed TiSiN coatings on steel substrates, and a gallium ion-induced secondary electron micrograph showing (c) the cross section of a surface pit in the annealed coating sample. Next to the surface image in (b) are an enlarged view of a surface pit and corresponding Ti and Fe elemental maps.

Figure 7 Scanning electron micrographs showing the surface of both as-deposited and annealed TiSiN coatings on steel substrates after corrosion tests. Next to the surface image of the annealed sample subjected to 8 days immersion test are a separate view of two separate surface pits and corresponding Ti and Fe elemental maps.

Figure 8 Secondary electron micrographs showing the progression of the corrosion in asdeposited and annealed TiSiN coatings on steel substrates. Note corrosion-induced crack propagation can be seen in the annealed samples.

Figure 9 Scanning electron micrograph showing typical damage pattern of corrosion pitting in the annealed sample subjected to eight days immersion test. Note TiN layer in the central region is removed and the delamination of the top TiSiN layer occurs, presumably caused by corrosion cracking along the weak TiSiN/TiN interface.

Figure 10 Percent reduction of the lateral section area of cone-shaped cavities having different end diameters developed in the TiN layer of the as-deposited samples as a function of the distance to the cavity front. Insets showing the profiles of the cavities. (a) A pit of 1 μ m depth and (b) a shallow pit of 200 nm depth.

20

	Substrate	TiSiN	TiN	Ti
	2001	5 10[10]	500	
Young's modulus (GPa)	200†	510[18]	590 <u>‡</u>	104†
Poisson's ratio	0.30†	0.20	0.25	0.34†
Residual stress, as-deposited (GPa)	-	10	3	-
Residual stress, annealed (GPa)	-	1	0.3	-
Thickness (µm)	-	1.78	1.00	0.22

†http://www.efunda.com/materials/alloys/

thttp://www.ceramics.nist.gov/srd/scd/Z00220.htm

Sample type		Binding Energy (eV) of N 1s	Phases	Binding Energy (eV) of Ti 2p3/2	Phases	Binding Energy (eV) of Ti 2p1/2	Phases	Binding Energy (eV) of Si 2p	Phase
As-deposited	virgin	396.3 397.7 400.5	Ti-O-N TiN Si ₃ N ₄	456 458.5	TiN TiOx	460.8 464.3	TiN TiOx	102.6	Si ₃ N ₄
	2 days immersion	396.4 397.5 399.5	Ti-O-N TiN Si ₃ N ₄	456 458	TiN TiOx	461.2 463.8	TiN TiOx	102.8	Si ₃ N ₄
Annealed	virgin	396.4 397.4 400	Ti-O-N TiN Si ₃ N ₄	455.8 458	TiN TiOx	461.5 463.8	TiN TiOx	102.3	Si ₃ N ₄
	2 days immersion	396.6 397.8 399.7	Ti-O-N TiN Si ₃ N ₄	455.6 457.6	TiN TiOx	461.3 463.5	TiN TiOx	102.2	Si ₃ N ₄









Diffraction angle, 2θ (degree)



Diffraction angle, 2θ (degree)









Fig. 4(c)



Fig. 4(d)





Fig. 4(e) & (f)













As-deposited



2 days

8 days





Annealed







