



1 **Excisional treatment comparison for *in situ* endocervical adenocarcinoma (EXCISE): a phase 2 pilot**  
2 **randomised controlled trial to compare histopathological margins status, specimen size and**  
3 **fragmentation after loop electrosurgical excision procedure and cold knife cone biopsy.**

4  
5 Authors

6  
7 Paul A. Cohen<sup>1,2,3,16</sup>, Yee Leung<sup>1,3,16</sup>, Lyndal Anderson<sup>4,5,16</sup>, Rachael van der Griend<sup>6</sup>, Paola Chivers<sup>7,8</sup>,  
8 Sanela Bilic<sup>2,16</sup>, Sophie Bittinger<sup>9</sup>, Alison Brand<sup>10,16,19</sup>, Max K Bulsara<sup>7</sup>, Jim Codde<sup>7,16</sup>, Lois Eva<sup>11,12,16</sup>,  
9 Louise Farrell<sup>3</sup>, Dianne Harker<sup>15</sup>, Unine Herbst<sup>10,16</sup>, Stephanie Jeffares<sup>2,16</sup>, Diane Loh<sup>1,3</sup>, Orla  
10 McNally<sup>9,16,18</sup>, Ganendra Raj Mohan<sup>2,3,16</sup>, Tarryn Nicholson<sup>11</sup>, Aime Powell<sup>7,16</sup>, Stuart G. Salfinger<sup>2,16</sup>,  
11 Bryony Simcock<sup>15,16,20</sup>, Colin Stewart<sup>1,13</sup>, Julie Silvers<sup>9</sup>, Martin R. Stockler<sup>14,16</sup>, Peter Sykes<sup>15,16,20</sup>, Pennie  
12 Stoyles<sup>16</sup>, Adeline Tan<sup>17</sup>, Ai Ling Tan<sup>11,16</sup>, C David H Wrede<sup>9,16,18</sup>

13  
14 1 Division of Obstetrics and Gynaecology, University of Western Australia, Crawley, Western Australia, Australia  
15 2 Dept of Gynaecological Oncology, St John of God Hospital, Subiaco, Western Australia, Australia  
16 3 Dept of Gynaecological Oncology, King Edward Memorial Hospital, Subiaco, Western Australia, Australia  
17 4 Sydney Medical School, The University of Sydney, New South Wales, Australia  
18 5 School of Medicine, Western Sydney University, Penrith South, New South Wales, Australia  
19 6 Department of Anatomical Pathology, Canterbury Health Laboratories, Christchurch, New Zealand  
20 7 Institute for Health Research, University of Notre Dame Australia, Fremantle, Western Australia, Australia  
21 8 Exercise Medicine Research Institute, Edith Cowan University, Joondalup, Western Australia, Australia  
22 9 Oncology and Dysplasia Unit, The Royal Women's Hospital, Melbourne, Victoria, Australia  
23 10 Department of Gynaecological Oncology, Westmead Hospital, Sydney, New South Wales, Australia  
24 11 Dept of Gynaecological Oncology, National Women's Health, Auckland City Hospital, Auckland, New Zealand  
25 12 Department of Gynaecological Oncology, University of Auckland, Auckland, New Zealand  
26 13 PathWest, King Edward Memorial Hospital, Subiaco, Western Australia, Australia  
27 14 NHMRC Clinical Trials Centre, University of Sydney, Camperdown, New South Wales, Australia  
28 15 Department of Obstetrics and Gynaecology, Univeristy of Otago, Christchurch, New Zealand  
29 16 Australia New Zealand Gynaecological Oncology Group, Camperdown, New South Wales, Australia  
30 17 Clinipath Pathology, Osborne Park, Western Australia, Australia  
31 18 Department of Obstetrics and Gynaecology, University of Melbourne, Melbourne, Victoria, Australia  
32 19 Discipline of Obstetrics and Gynaecology, University of Sydney, Sydney, New South Wales, Australia  
33 20 Christchurch Womens Hospital, Christchurch, New Zealand

34  
35 Corresponding Author

36 Paul A. Cohen

37 Division of Obstetrics and Gynaecology

38 Faculty of Health and Medical Sciences

39 University of Western Australia

40 35 Stirling Highway

41 Crawley

42 Western Australia 6009

43 Australia

44  
45 Telephone: +61 406 888 339

46 Fax: +61 8 9381 1857

47  
48 Email: [paul.cohen@uwa.edu.au](mailto:paul.cohen@uwa.edu.au)

54 **Abstract**

55 Objective

56 Adenocarcinoma in situ (AIS) of the cervix is a precursor to cervical adenocarcinoma. When AIS is  
57 detected by cervical screening an excision biopsy is mandatory to exclude invasion. We aimed to  
58 compare margins status, specimen size and fragmentation after loop electrosurgical excision  
59 procedure (LEEP) and 'cold knife cone biopsy' (CKC).

60

61 Methods

62 The EXCISE Trial was an investigator-initiated, multicentre, open-label, parallel-group, phase 2,  
63 randomised study. Patients were enrolled at seven hospitals in Australia and New Zealand. We  
64 randomly assigned women aged  $\geq 18$  to  $\leq 45$  years with screen detected AIS to LEEP or CKC. Co-primary  
65 endpoints were margin status, specimen size and fragmentation. Analysis was by intention-to-treat.

66

67 Results

68 Between August 2, 2017 and September 6, 2019, 40 patients were randomly assigned 2:1 to LEEP or  
69 CKC. Margin status was evaluable in 36 cases. The proportion of patients with involved margins did  
70 not differ between groups. 25 of 26 LEEP and all 14 CKC biopsies were excised as single specimens  
71 ( $p=1.00$ ). There were no differences in specimen dimensions. Patients in the CKC group had more post-  
72 operative complications (64.3% compared to 15.4% for LEEP  $p=0.00$ ). There were no differences in  
73 grade three complications ( $p=0.65$ ).

74

75 Conclusions

76 LEEP was not associated with a greater likelihood of positive margins, specimen fragmentation or  
77 smaller excision compared to CKC when performed according to a standardised protocol. However,  
78 the study was not powered to establish non-inferiority of LEEP and a definitive phase 3 trial to  
79 compare margin status and rates of treatment failure after LEEP and CKC is warranted.

80 **Introduction**

81 Adenocarcinoma in situ (AIS) of the uterine cervix is a precursor to, and may coexist with, endocervical  
82 adenocarcinoma in approximately 15% of cases.<sup>1</sup> When AIS is detected by cervical screening,  
83 examination by a clinician with expertise in colposcopic evaluation of the cervix is mandatory and, if  
84 malignancy is not identified, an excision biopsy should be performed to exclude invasive disease.<sup>2</sup> A  
85 cervical excision biopsy can be performed by various techniques including ‘cold knife cone biopsy’  
86 (CKC) and large loop excision of the transformation zone (LLETZ), also known as loop electrosurgical  
87 excision procedure (LEEP), and these are the two procedures most commonly used in Australia and  
88 New Zealand.<sup>3,4</sup> These techniques have been described in detail elsewhere and are fertility-preserving  
89 alternatives to hysterectomy in women of reproductive age in whom AIS is prevalent.<sup>5,6</sup> Excisional  
90 treatments (typically International Federation of Cervical Pathology and Colposcopy type two or type  
91 three excisions<sup>7</sup>) for AIS are mostly curative and prevent progression to invasive disease but they may  
92 compromise the structural integrity of the cervix increasing the risk of preterm delivery.<sup>8</sup> Risk of  
93 preterm birth positively correlates with excision dimensions and the number of excisions.<sup>9,10</sup>

94  
95 In many settings LEEP is the preferred modality because it offers advantages compared to CKC  
96 including the ability to perform the procedure in an outpatient setting under local anesthesia, and  
97 lower morbidity, including fewer adverse obstetric outcomes.<sup>4</sup> However, the role of alternative  
98 excisional modalities to CKC in the management of AIS is controversial and in Australia CKC is  
99 considered the ‘gold standard’.<sup>11</sup>

100  
101 Single-specimen excision biopsies with minimal thermal damage and epithelial disruption are essential  
102 for accurate histopathological assessment of AIS. However, tissue margins in a LEEP biopsy may show  
103 significant thermal artefact, which can interfere with assessment of margin status.<sup>12,13</sup> Positive  
104 histopathological margins are associated with an increased risk of treatment failure (residual or  
105 recurrent AIS).<sup>14</sup> Two systematic reviews have reported higher rates of incomplete excision with LEEP

106 (44%-51%) than with CKC (29%-30%) but concluded that LEEP had acceptable safety and was  
107 comparable to CKC when negative margins were achieved.<sup>4,15</sup> However, both systematic reviews  
108 concluded that larger prospective studies with longer follow-up are required to determine the  
109 superiority of either procedure.

110

111 No prior randomised trial has compared excision biopsy techniques for cervical AIS, a gap that was  
112 highlighted in the 2016 Australian NHMRC clinical management guidelines for the prevention of  
113 cervical cancer.<sup>11</sup> A definitive phase 3 non-inferiority trial would require 810 participants to  
114 demonstrate non-inferiority of LEEP with a primary outcome of an AIS treatment failure rate of 8% in  
115 women treated by CKC, and a 5% non-inferiority margin.<sup>16</sup> The specific objectives of this phase 2 study  
116 were to compare histopathological margins status, and specimen size and fragmentation, after LEEP  
117 and CKC when treatment was performed in tertiary level cervical dysplasia and gynaecologic oncology  
118 centres, prior to conducting a definitive phase 3 non-inferiority trial. Secondary objectives were to  
119 compare rates of early postoperative complications and patient satisfaction.

120

## 121 **Methods**

### 122 Study design and participants

123 The Excisional treatment comparison for in situ endocervical adenocarcinoma (EXCISE) Trial was an  
124 investigator initiated, multicentre, international, open-label, parallel-group, phase 2, randomised  
125 study. The trial is registered with the Australia and New Zealand Clinical Trials Registry (ANZCTR  
126 registration number ACTRN12617000132347). Patients with pre-treatment, screen detected AIS were  
127 randomly assigned with an allocation ratio of 2:1 in favour of the intervention (LEEP: CKC). The trial  
128 protocol has been published elsewhere and is available in the Supplementary Appendix.<sup>16</sup> Ethical  
129 approval for the study was granted by the St John of God Healthcare Human Research Ethics  
130 Committee (Reference number #1137) and approvals were obtained at all participating sites. There  
131 were no changes to the methods after trial commencement. Women aged  $\geq 18$  to  $\leq 45$  years diagnosed

132 with AIS on cervical screening or colposcopically directed biopsy who were to receive excisional  
133 treatment in a tertiary level centre were eligible to participate. Patients were required to have lesions  
134 that were amenable to a single-pass excision as assessed by the treating specialists who were all senior  
135 colposcopists and study investigators. Exclusion criteria were a prior history of a high-grade cervical  
136 abnormality or cervical cancer; previous excisional or ablative treatment; cytology suspicious for  
137 invasion; clinical/colposcopic suspicion of invasion, presence of a concurrent gynaecological cancer;  
138 inability to comply with follow-up evaluations; immunosuppression; and pregnancy. Participants were  
139 enrolled at seven academic hospitals in Australia and New Zealand (Supplementary Appendix 2) and  
140 were identified from new patient referrals by study nurses and lead investigators at each site. Eligible  
141 patients were provided with information brochures and counselled about the trial by study nurses and  
142 site investigators. All participants provided written, informed consent.

143

#### 144 Randomisation and masking

145 Allocation: participants were randomised to undergo LEEP or CKC (2:1 ratio). Unequal randomisation  
146 was chosen because this pilot trial was primarily assessing histopathological margin status as a  
147 surrogate for safety (treatment failure) of the intervention (LEEP) compared to the control treatment  
148 (CKC). Generation of the allocation sequence was by computer generated random numbers. The  
149 allocation sequence was implemented by central telephone (interactive voice response system) and  
150 generated by the National Health and Medical Research Council (NHMRC) Clinical Trials Centre,  
151 University of Sydney. Enrolment and assignment were performed by a trial coordinator who was not  
152 involved in clinical management. Participants and investigators, who were the treating specialists,  
153 were not masked to treatment allocation.

154

#### 155 Procedures

156 LEEP and CKC were conducted according to techniques described in detail in the protocol  
157 (Supplementary Appendix 1). Local anesthetic (5-10ml of lignocaine) with adrenaline (1 in 100,000)

158 was injected into the subdermal tissue of the ectocervix at 3, 6, 9 and 12 o'clock prior to LEEP and  
159 CKC. Coagulation of the surface and application of ferric subsulphate (Monsel's solution) was  
160 performed in both arms. Patient management followed Australia's NHMRC 2005 Screening to  
161 prevent cervical cancer: guidelines for the management of asymptomatic women with screen-  
162 detected abnormalities and the revised 2016 guidelines.<sup>2,11</sup> Participants randomised to LEEP had  
163 their procedure performed under local anesthesia in an outpatient setting or operating theatre, or  
164 under general anesthesia in an operating theatre, at the discretion of the treating specialist as per  
165 local routine practice. Participants randomised to CKC had their procedure performed under general  
166 anesthesia in an operating theatre. The trial was conducted in academic tertiary level  
167 dysplasia/gynaecologic oncology units. To mitigate surgical performance bias, a potential issue in  
168 surgical trials, patients with lesions not amenable to a single-pass excision were excluded, and the  
169 procedures were only performed by the named study investigators who are highly experienced  
170 providers, certified under the Colposcopy Quality Improvement Program (CQUIP) of the Royal  
171 Australian and New Zealand College of Obstetricians and Gynaecologists (RANZCOG). Participants  
172 had one follow up visit with a study coordinator at 6 weeks' post procedure. This visit was conducted  
173 either face to face or via telephone and involved collection of information regarding post procedure  
174 complications, return to hospital, GP visits and completion of the European Organisation for  
175 Research and Treatment of Cancer (EORTC) Patient Satisfaction Questionnaire PATSAT-C33 and the  
176 EORTC Outpatient Satisfaction Questionnaire OUT-PATSAT 7.

177

## 178 Outcomes

179 Co-primary endpoints were the histopathological margin status, and size and fragmentation of the  
180 excised specimen (specimen dimensions and single specimen vs. more than one piece). Central  
181 pathology review of all cases was conducted in Australia (LA) and New Zealand (RvdG). Prespecified  
182 secondary outcomes were frequency of early complications including pain, infection, primary and  
183 delayed haemorrhage, readmission to hospital and return to the operating theatre, as assessed using

184 the National Cancer Institute Common Terminology Criteria for Adverse Events version 4.0; the EORTC  
185 PATSAT-C33 and EORTC OUT-PATSAT7 at 6 weeks post-procedure.<sup>17</sup>

186

187 The EORTC PATSAT-C33 satisfaction with cancer care core questionnaire and cancer outpatient  
188 satisfaction complementary module OUT-PATSAT7 are composed of 33 and seven items respectively  
189 (Supplementary Appendix 1). The core questionnaire encompasses three sections addressing the  
190 perceived quality of care provided by doctors, nurses and services/care organisation. The doctor  
191 section comprises three scales addressing technical skills (three items), information exchange (three  
192 items), and affective behaviour (four items). The nurses section encompasses two scales addressing  
193 information provision and responsiveness (three items) and affective behaviour (four items). The  
194 service and care organisation section comprise three scales including coordination (four items),  
195 interaction with health care team (seven items), and five single items. The complementary specific  
196 outpatient satisfaction module includes two scales addressing care convenience (three items) and  
197 transition (three items) and a single item on care continuity. Instructions invite patients to assess the  
198 most recent experience of care, and to specify the cancer care setting and the professionals to be  
199 evaluated. Internal consistencies (Cronbach's  $\alpha$ ) computed for each hypothesized scale range from  
200 0.85 (Convenience scale) to 0.95 (Coordination scale).<sup>17</sup>

201

202 The resulting satisfaction with cancer care core questionnaire and cancer outpatient  
203 satisfaction complementary module are composed of 33 and 7 items respectively. These  
204 require validation in a phase IV field study. The core questionnaire encompasses three  
205 sections addressing the perceived quality of care provided by doctors,  
206 nurses/radiotherapy technicians, and services/care organization. The doctor section  
207 comprises three scales addressing technical skills (3 items), information exchange (3  
208 items), and affective behaviour (4 items). The nurses/radiotherapy technicians section  
209 encompasses two scales addressing information provision and responsiveness (3 items)



210 and affective behaviour (4 items). The service and care organization section comprise  
211 three scales including coordination (4 items), interaction with health care team (7 items),  
212 and five single items. The complementary specific outpatient satisfaction module includes  
213 two scales addressing care convenience (3 items) and transition (3 items) and a single item  
214 on care continuity.

215 Instructions invite patients to assess the most recent experience of care, and to specify the cancer  
216 care setting and the professionals among nurses or radiotherapy technicians to be evaluated.

217 Internal consistencies (Cronbach's  $\alpha$ ) computed for each hypothesized scale range from 0.85  
218 (Convenience scale) to 0.95 (Coordination scale).

219

220 Sample size

221 The sample size for this phase 2 pilot study was pragmatic, hence all eligible patients in routine clinical  
222 practice were invited to participate.<sup>18,19</sup>

223

224 Statistical methods

225 All analyses were by intention to treat. IBM SPSS Statistics for Windows, version 26 (IBM Corp.,  
226 Armonk, N.Y., USA) was used to analyse the data with an alpha of .05 considered statistically  
227 significant. Categorical variables were described using frequency and percent, and variations in  
228 sample noted. Continuous scale variables were described using mean and standard deviation. Group  
229 differences between treatments (LEEP/CKC) and each categorical variable were examined using  
230 Fisher's Exact and Chi-square tests. Group differences for scale variables were examined using the  
231 independent t-test for age at enrolment, PATSAT33 organisation rating score, histopathological  
232 specimen dimensions, distance of AIS to margins; and the non-parametric Mann-Whitney U Test for  
233 body mass index (BMI), time from diagnosis to treatment, PATSAT33 doctors, nurses and total scores,  
234 OUT-PATSAT7, and procedure time (based on the Shapiro-Wilk test for normality).

235

236 Role of the funding source

237 The study was funded by the Australia New Zealand Gynaecological Oncology Group [Grant number  
238 #FNR2016/03]. The funders of the study had no role in study design, data collection, data analysis,  
239 data interpretation, or writing of the manuscript. The first author (PAC), statisticians (PC and MB) and  
240 project manager (SB) had full access to the data, vouch for the integrity of the data and the adherence  
241 to the study protocol. All authors are responsible for the final decision to submit for publication.

242

## 243 **Results**

244 Between August 2, 2017 and September 6, 2019, 40 patients were randomly assigned 2:1 to the LEEP  
245 group or CKC group. Twenty-six participants underwent LEEP and 14 underwent CKC. All participants  
246 were included in the analysis. A CONSORT flow diagram is shown in Figure 1 with participants' baseline  
247 characteristics shown in Table 1. All participants (n=40) were premenopausal. The groups were well  
248 balanced for baseline factors (Table 1). Two participants in the LEEP group and one participant in the  
249 CKC group had type one excision specimens ( $\leq 10$ mm in length) on histopathology. Ten of 26 (38.5%)  
250 participants in the LEEP group had their procedure performed under local anesthesia.

251

252 Histopathological margin status was evaluable in 36 cases and the proportion of participants with  
253 involved margins did not differ between the LEEP and CKC groups (Table 2). Twenty five of 26 LEEP  
254 biopsies and all 14 CKC biopsies were excised as single specimens ( $p=1.000$ ) (Table 2). Sixteen of 26  
255 patients in the LEEP group had a general anesthetic. No difference in margin status was reported by  
256 type of anesthesia ( $p=.66$ ). There were no significant between group differences in histopathological  
257 specimen dimensions (Table 3). Distance from AIS to the margins did not differ between groups (Table  
258 3). Tissue artefact was more common in the LEEP group (88.4%) compared to the CKC group (21.4%)  
259 ( $p=.00$ ) (Table 2) although this was mostly minimal and did not impact diagnosis. No other statistically  
260 significant differences were detected. Four of 40 (10%) patients had concurrent invasive cervical  
261 tumours. Two of 14 (14.3%) patients in the CKC group had adenocarcinomas and two of 26 (7.7%)

262 patients in the LEEP group had invasive disease (one adenocarcinoma and one squamous cell  
263 carcinoma).

264

265 Patients in the CKC group had significantly more post-operative complications (64.3% compared to  
266 15.4% for LEEP  $p=0.00$ ), although there were no differences in grade three complications between  
267 groups ( $p=0.65$ ) (Table 4). For the CKC group complications were higher for all complication types  
268 compared to LEEP ( $p=0.00$ ). There were no differences in all patient satisfaction scores between groups  
269 (Table 5). Procedure times were significantly longer for CKC compared to LEEP ( $p<0.001$ ) (Table 5).

270

## 271 **Discussion**

272 This is the first randomised comparison of LEEP and CKC in women with cervical AIS. LEEP was not  
273 associated with a greater likelihood of positive histopathological margins, specimen fragmentation or  
274 smaller excision compared to CKC. These findings are not consistent with previous systematic reviews  
275 and meta-analyses of retrospective data that showed higher rates of incomplete excision with LEEP  
276 compared to CKC. A 2017 systematic review and meta-analysis of 18 retrospective studies that  
277 included 1559 patients reported positive margins in 44% of LEEP and 29% of CKC biopsies (RR, 1.55;  
278 95% CI, 1.34 - 1.80,  $P<0.00001$ ).<sup>4</sup>

279

280 Our findings should be considered in the context of the trial's limitations, particularly its modest  
281 sample size, which was not powered to assess non inferiority of LEEP compared to CKC. However,  
282 rates of incomplete excision were comparable in both groups. Involved endocervical and stromal  
283 margins have consistently been shown to predict AIS recurrence and mandate repeat excision. In the  
284 current study positive endocervical margins were found in 11.5% and 7.1% of patients in the LEEP and  
285 CKC groups respectively, and positive stromal margins in 4.2% and 7.1% of the LEEP and CKC groups  
286 respectively. These proportions in the LEEP group are considerably lower than rates reported in  
287 previous retrospective studies (23%-51%).<sup>4,15,20</sup> A potential explanation for the lower rate of

288 incomplete excision in women undergoing LEEP in our study is that surgery was conducted at high-  
289 volume tertiary level dysplasia centres, by highly experienced providers with knowledge that patients  
290 were participating in a trial to compare dimensions, which may have influenced the way that LEEP was  
291 performed. Hence our findings may not be applicable outside of high-volume tertiary level dysplasia  
292 centres. Further, a majority of patients had LEEP performed under general anesthesia, which is routine  
293 practice in some Australian centers, and this may also limit the external validity of our findings. An  
294 additional limitation was the short follow-up period that does not allow assessment of test of cure  
295 and long-term treatment sequelae including cervical stenosis and adverse obstetric outcomes. A  
296 strength of our study was the attempt to mitigate surgical performance bias by excluding patients  
297 with lesions that were not amenable to a single-pass excision and ensuring that procedures were only  
298 performed by the named study investigators to ensure consistency of surgical technique. Our findings  
299 are consistent with those of a 2016 meta-analysis of mostly non-randomised studies that compared  
300 LEEP and CKC for the treatment of squamous dysplasia, which found that post-operative complications  
301 such as bleeding occurred more frequently after CKC.<sup>21</sup>

302

303 In contrast to cervical squamous dysplasia, the incidence of AIS and cervical adenocarcinoma has been  
304 increasing since the early to mid 2000's, although the introduction of HPV vaccination and HPV-based  
305 screening in Australia will likely reduce future disease burden.<sup>22,23</sup> It is essential that women with  
306 cervical AIS are treated in tertiary level dysplasia and gynaecologic oncology centres by specialists with  
307 expertise in its management because almost one in five women with AIS will have a concurrent  
308 cervical malignancy.<sup>24</sup> There are also important implications of an incomplete initial excision for  
309 younger women wishing to preserve fertility because a further excision will be required, which  
310 increases the risk of a subsequent pre-term birth.<sup>10</sup>

311

312 In conclusion, this is the first randomised trial to compare LEEP and CKC in the management of cervical  
313 AIS. Our findings suggest that LEEP may be a safe alternative to CKC when performed in a tertiary

314 dysplasia or gynaecologic oncology centre. LEEP was associated with fewer post-operative  
315 complications. A definitive phase 3 randomised non-inferiority trial to compare margin status and  
316 rates of treatment failure after LEEP and CKC is warranted. However, given the slow accrual in the  
317 current study, and the required sample size to demonstrate non-inferiority of LEEP, a phase 3 trial  
318 would not be feasible in Australia and New Zealand and would require international collaboration.

319

#### 320 **Funding**

321

322 Australia New Zealand Gynaecological Oncology Group [#FNR2016/03].

323

#### 324 **Acknowledgments**

325

326 The investigators would like to thank the patients and their families for their participation in this  
327 study. We also thank the Australia and New Zealand Gynaecological Oncology Group (ANZGOG) and  
328 the ANZGOG Fund for New Research; Professor Anne Brédart, the principal investigator for the  
329 EORTC OUT-PATSAT7 at the Institut Curie, Psycho-Oncology Unit and University Paris Descartes,  
330 Henri Pieron Psychology Institute, Paris, France; Professor Ian Hammond, Chair Cancer Council  
331 Australia Guidelines Working Party National Cervical Screening Program; Dr Bernadette McElhinney,  
332 Independent Medical Monitor; Ms. Kate Campbell and Ms. Nanette Lacson at Westmead Hospital,  
333 Sydney; and the following individuals at St John of God Subiaco Hospital for their assistance with the  
334 conduct of the trial: Professor Steve Webb, Director Clinical Trials; Mr Dino Cercarelli, Research  
335 Operations Manager; Ms. Janet Ferrier, Study Auditor; Ms. Annika Andrew, Senior Lawyer and Mr  
336 Andrew Mews, Research Data Scientist.

337

#### 338 **Conflict of interest statement**

339

340 PAC and CDHW have received honoraria from Seqirus unrelated to this work. MRS has received  
341 grants from Astellas, Amgen, Astra Zeneca, Bayer, Bionomics, Bristol-Meyers-Squibb, Celgene,  
342 Medivation, Merck Sharp & Dohme, Pfizer, Roche, Sanofi, Specialised Therapeutics and Tilray  
343 unrelated to this work. All other authors declare no competing interests.

344

#### 345 **Author Contributions**

346

347 PAC, YL, AB, MS, PS, CDHW contributed to trial conception and design.

348 PAC and PC contributed to data analysis.

349 PAC and CDHW wrote the initial draft of the manuscript.

350 LA and RvdG performed central pathology review.

351 The first author (PAC), senior author (CDHW), statisticians (PC, MB) and project manager (SB) had  
352 full access to the data, vouch for the integrity of the data and the adherence to the study protocol,  
353 and are responsible for the decision to submit the manuscript.

354 All authors contributed to data collection, data interpretation, and revised the manuscript for  
355 critically important content.

356

#### 357 **References**

358

- 359 1. Wilbur DC CD, Guidos B, et al. Epithelial abnormalities:, glandular. In: Nayar R WD, eds. The  
360 Bethesda system for, reporting cervical cytology. Definitions caen, 3rd ed: Springer.
- 361 2. National Cervical Screening Program. Screening to prevent cervical cancer: guidelines for the  
362 management of asymptomatic women with screen detected abnormalities. Canberra: National  
363 Cervical Screening Program. 2005.

- 364 3. Massad LS, Einstein MH, Huh WK, et al. 2012 Updated Consensus Guidelines for the  
365 Management of Abnormal Cervical Cancer Screening Tests and Cancer Precursors. *Obstetrics &*  
366 *Gynecology* 2013; 121(4): 829-46.
- 367 4. Jiang Y, Chen C, Li L. Comparison of Cold-Knife Conization versus Loop Electrosurgical Excision  
368 for Cervical Adenocarcinoma In Situ (ACIS): A Systematic Review and Meta-Analysis. *PloS one* 2017;  
369 12(1): e0170587.
- 370 5. Prendiville W, Cullimore J, Norman S. Large loop excision of the transformation zone (LLETZ).  
371 A new method of management for women with cervical intraepithelial neoplasia. *British journal of*  
372 *obstetrics and gynaecology* 1989; 96(9): 1054-60.
- 373 6. Cooper DB MG. Conization Of Cervix. . [Updated 2020 Jan 20] In: *StatPearls [Internet] Treasure*  
374 *Island (FL): StatPearls Publishing; 2020 Jan-* Available from:  
375 <https://www.ncbi.nlm.nih.gov/books/NBK441845/>.
- 376 7. Bornstein J, Bentley J, Bösze P, et al. 2011 colposcopic terminology of the International  
377 Federation for Cervical Pathology and Colposcopy. *Obstet Gynecol* 2012; 120(1): 166-72.
- 378 8. Kyrgiou M, Koliopoulos G, Martin-Hirsch P, Arbyn M, Prendiville W, Paraskevaidis E. Obstetric  
379 outcomes after conservative treatment for intraepithelial or early invasive cervical lesions: systematic  
380 review and meta-analysis. *The Lancet* 2006; 367(9509): 489-98.
- 381 9. Kyrgiou M, Valasoulis G, Stasinou SM, et al. Proportion of cervical excision for cervical  
382 intraepithelial neoplasia as a predictor of pregnancy outcomes. *International journal of gynaecology*  
383 *and obstetrics: the official organ of the International Federation of Gynaecology and Obstetrics* 2015;  
384 128(2): 141-7.
- 385 10. Kyrgiou M, Athanasiou A, Paraskevaidi M, et al. Adverse obstetric outcomes after local  
386 treatment for cervical preinvasive and early invasive disease according to cone depth: systematic  
387 review and meta-analysis. *Bmj* 2016; 354: i3633.
- 388 11. Anderson L, Hammond I, Pather S, et al. . Cancer Council Australia Cervical Cancer Screening  
389 Guidelines Working Party. In: Cancer Council Australia Cervical Cancer Screening Guidelines Working

- 390 Party National Cervical Screening Program: Guidelines for the management of screen-detected  
391 abnormalities, screening in specific populations and investigation of abnormal vaginal bleeding.  
392 Sydney: Cancer Council Australia [http:// wiki cancer org au/ australia/Guidelines: Cervical\\_ cancer/  
393 Screening/ Management\\_ of\\_ glandular\\_ abnormalities](http://wiki.cancer.org.au/australia/Guidelines:Cervical_cancer/Screening/Management_of_glandular_abnormalities) (accessed 7 Jan 2017).
- 394 12. Krebs HB, Pastore L, Helmkamp BF. Loop electrosurgical excision procedures for cervical  
395 dysplasia: experience in a community hospital. *American journal of obstetrics and gynecology* 1993;  
396 169(2 Pt 1): 289-93; discussion 93-5.
- 397 13. Mathevet P, Dargent D, Roy M, Beau G. A randomized prospective study comparing three  
398 techniques of conization: cold knife, laser, and LEEP. *Gynecologic oncology* 1994; 54(2): 175-9.
- 399 14. Arbyn M, Redman CWE, Verdoodt F, et al. Incomplete excision of cervical precancer as a  
400 predictor of treatment failure: a systematic review and meta-analysis. *The Lancet Oncology* 2017.
- 401 15. Baalbergen A, Helmerhorst TJ. Adenocarcinoma in situ of the uterine cervix--a systematic  
402 review. *International journal of gynecological cancer : official journal of the International  
403 Gynecological Cancer Society* 2014; 24(9): 1543-8.
- 404 16. Cohen PA, Brand A, Sykes P, et al. Excisional treatment in women with cervical  
405 adenocarcinoma in situ (AIS): a prospective randomised controlled non-inferiority trial to compare AIS  
406 persistence/recurrence after loop electrosurgical excision procedure with cold knife cone biopsy:  
407 protocol for a pilot study. *BMJ open* 2017; 7(8).
- 408 17. Brédart A, Anota A, Young T, et al. Phase III study of the European Organisation for Research  
409 and Treatment of Cancer satisfaction with cancer care core questionnaire (EORTC PATSAT-C33) and  
410 specific complementary outpatient module (EORTC OUT-PATSAT7). *European journal of cancer care*  
411 2018; 27(1).
- 412 18. Day S, Jonker AH, Lau LPL, et al. Recommendations for the design of small population clinical  
413 trials. *Orphanet J Rare Dis* 2018; 13(1): 195.



- 414 19. Oude Rengerink K, Kalkman S, Collier S, et al. Series: Pragmatic trials and real world evidence:  
415 Paper 3. Patient selection challenges and consequences. *Journal of clinical epidemiology* 2017; 89:  
416 173-80.
- 417 20. Tan JHJ, Malloy MJ, Thangamani R, et al. Management and long-term outcomes of women  
418 with adenocarcinoma in situ of the cervix: A retrospective study. *The Australian & New Zealand journal*  
419 *of obstetrics & gynaecology* 2020; 60(1): 123-9.
- 420 21. Santesso N, Mustafa RA, Wiercioch W, et al. Systematic reviews and meta-analyses of benefits  
421 and harms of cryotherapy, LEEP, and cold knife conization to treat cervical intraepithelial neoplasia.  
422 *International journal of gynaecology and obstetrics: the official organ of the International Federation*  
423 *of Gynaecology and Obstetrics* 2016; 132(3): 266-71.
- 424 22. Teoh D, Musa F, Salani R, Huh W, Jimenez E. Diagnosis and Management of Adenocarcinoma  
425 in Situ: A Society of Gynecologic Oncology Evidence-Based Review and Recommendations. *Obstet*  
426 *Gynecol* 2020; 135(4): 869-78.
- 427 23. Smith MA, Canfell K. Projected impact of HPV vaccination and primary HPV screening on  
428 cervical adenocarcinoma: Example from Australia. *Papillomavirus Res* 2017; 3: 134-41.
- 429 24. Powell A, Cohen PA, Spilsbury K, Steel N, Blomfield P. RANZCOG Fellows' adherence to  
430 guidelines following cytological prediction of cervical adenocarcinoma-in-situ: Cause for concern? *The*  
431 *Australian & New Zealand journal of obstetrics & gynaecology* 2019; 59(2): 294-300.

432

### 433 **Legends**

434

435 Figure 1. CONSORT Flow Diagram of EXCISE Study

436

437 Table 1. Participant Characteristics

438

439 Table 2. Histopathology outcomes – categorical variables

440

441 Table 3. Histopathology outcomes – continuous variables

442

443 Table 4. Post-operative complications

444

445 Table 5. Patient satisfaction and procedure time

446

447 **Supplementary Files**

448 Supplementary Appendix 1. Study Protocol

449 Supplementary Appendix 2. Participant recruitment by site

450

451

Figure 1. CONSORT Flow Diagram of EXCISE Study

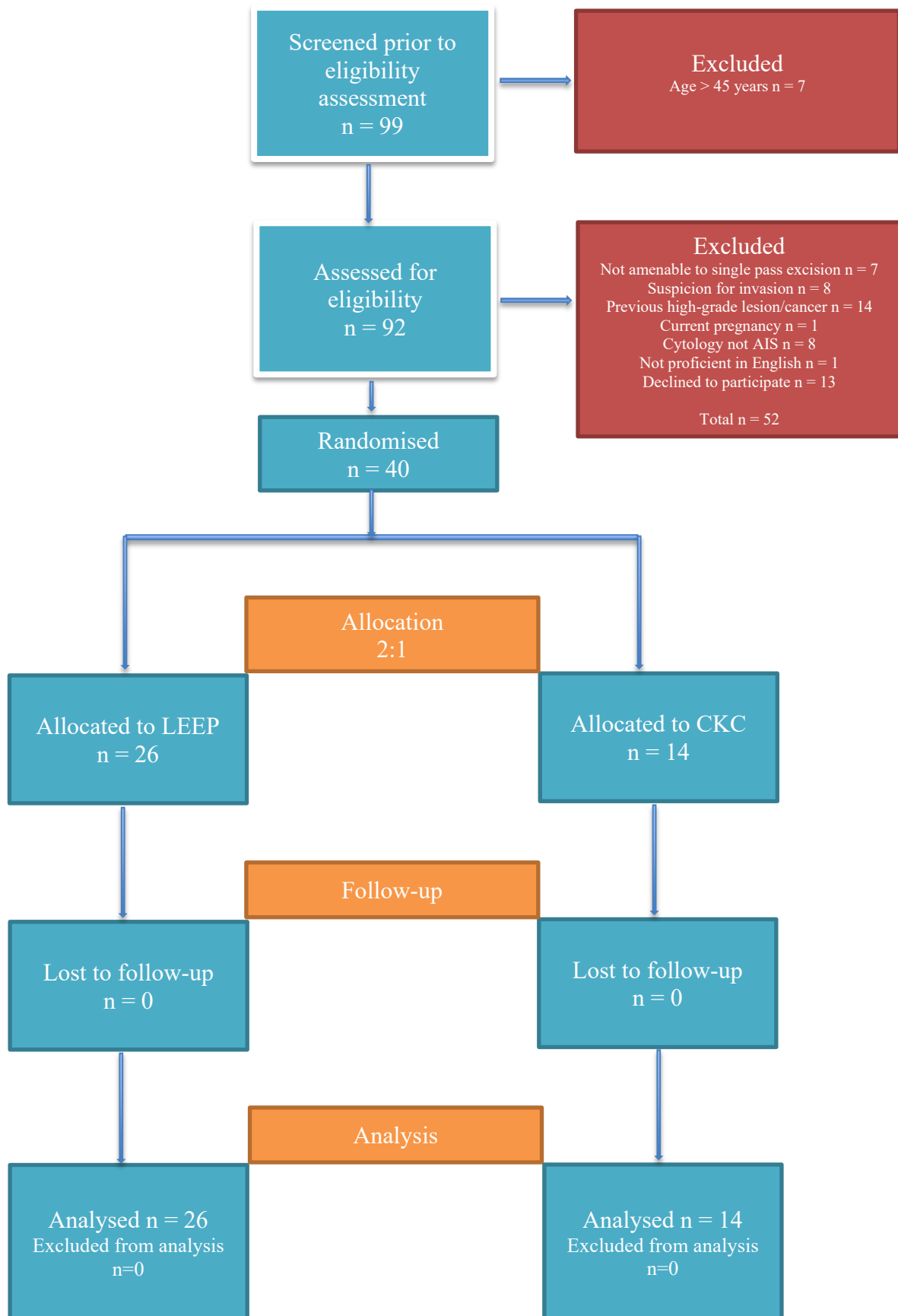


Table 1. Participant Characteristics

Participant characteristic		Total sample	LEEP	CKC	
Continuous variables	N	Mean (SD)	Mean (SD)	Mean (SD)	p-value
Age at Enrolment	40	33.67 (4.44)	33.35 (4.37)	34.27 (4.69)	.54
Body Mass Index <sup>a</sup>	40	26.09 (5.72)	26.51 (6.03)	25.31 (5.22)	.69 <sup>b</sup>
Time from AIS diagnosis to treatment (days) <sup>a</sup>	40	73.38 (65.39)	79.35 (77.74)	62.29 (31.69)	.73 <sup>b</sup>
		f	f	f	p-value
Categorical variables					
Current Smoker	40	11	7	4	1.00
Previous STI	40	11	7	4	1.00
Current or previous contraceptive use	40	8	4	4	.42
HPV Vaccinated	40	14	9	5	1.00 <sup>c</sup>
Method of AIS diagnosis	40				1.00 <sup>c</sup>
Cytology		5	3	2	
Colposcopic biopsy		25	16	9	
Cytology and Colposcopic biopsy		10	7	3	
Gravidity	40				.74
Nulligravid		17	12	5	
Gravid		23	14	9	
Parity	40				1.00
Nulliparous		20	13	7	
Parous		20	13	7	

<sup>a</sup> Not normally distributed as per Shapiro Wilk test, <sup>b</sup> Mann Whitney U test, <sup>c</sup> Fisher's Exact test. Exact 2-sided p-values reported.

LEEP= loop electrosurgical excision procedure, CKC= cold knife cone biopsy, STI= sexually transmitted disease, HPV= human papilloma virus, AIS=adenocarcinoma in situ, SD= standard deviation, f=frequency.

Table 2. Histopathology outcomes – categorical variables

VARIABLE		Total Sample (N=40)		LEEP		CKC		p-value
		f	%	f	%	f	%	
AIS involved ectocervical margin <sup>a</sup>	36	2	5.6	0	0	2	14.3	.08
AIS involved endocervical margin <sup>a</sup>	36	4	11.1	3	11.5	1	7.1	.84
AIS involved stromal margin <sup>a</sup>	36	2	5.6	1	4.2	1	7.1	.65
Single pass specimen <sup>b</sup>	40	39	97.5	23	95.8	14	100	1.00
Excision type	40							.67
Type 1		3	7.5	2	7.7	1	7.1	
Type 2		10	25	8	30.8	2	14.3	
Type 3		27	67.5	16	61.5	11	78.6	
Invasion present <sup>a</sup>	40	4	10	2	7.7	2	14.3	.60
SMILE type AIS present <sup>c</sup>	40	5	12.5	4	15.4	1	7.1	.64
Thermal artefact	40							.00*
Absent		14	35	3	11.5	11	78.6	
Minimal		25	62.5	22	84.6	3	21.4	
Extensive (impacting diagnosis)		1	2.5	1	3.8	0	0	
Epithelial loss	40							1.00
Absent		1	2.5	1	3.8	0	0	
Minimal		36	90	23	88.5	13	92.9	
Extensive (impacting diagnosis)		3	7.5	2	7.7	1	7.1	
HSIL present in excision specimen <sup>b</sup>	40	17	42.5	11	45.8	6	42.9	1.00
AIS present in excision specimen	40	36	90	24	92.3	12	85.7	.60

<sup>a</sup> alternate group not involved, <sup>b</sup> alternate group specimen in more than one piece, <sup>c</sup> alternate group absent, \*statistically significant p<.05

LEEP= loop electrosurgical excision procedure, CKC= cold knife cone biopsy, HSIL = high grade squamous intraepithelial lesion, AIS= adenocarcinoma in situ, SMILE= Stratified mucin producing intraepithelial lesion, Note one decimal place rounding sometimes results in cumulative sum of % not equal to 100. Exact 2-sided p-values reported.

Table 3. Histopathology outcomes – continuous variables

VARIABLE	N	Total sample	LEEP	CKC	p-value
		Mean (SD)	Mean (SD)	Mean (SD)	
Histopathology specimen length (mm)	40	17 (4.19)	16.19 (3.60)	18.5 (4.90)	.10
Histopathology specimen width (mm)	40	20.9 (4.51)	21 (4.83)	20.71 (3.99)	.85
Histopathology specimen height (mm)	40	17.98 (4.38)	18.88 (3.96)	16.29 (4.76)	.07
Distance from AIS to ectocervical margin (mm)	36	4.25 (2.82)	4.42 (2.97)	3.92 (2.97)	.62
Distance from AIS to endocervical margin (mm)	36	5.92 (3.38)	5.71 (3.56)	6.33 (3.08)	.61
Distance from AIS to stromal margin (mm)	36	4.08 (2.45)	4.45 (2.54)	3.35 (2.17)	.21

LEEP= loop electrosurgical excision procedure, CKC= cold knife cone biopsy, mm = millimetres, AIS= adenocarcinoma in situ, SD= standard deviation.

Table 4. Post-operative complications

VARIABLE	Total Sample (N=40)		LEEP (N=26)		CKC (N=14)		p-value
	f	%	f	%	f	%	
Any post-operative complication	13	32.5	4	15.4	9	64.3	.00* <sup>b</sup>
Grade 3 complication	6	15	3	11.5	3	21.4	.65 <sup>b</sup>
Type of post-operative complication							.00* <sup>b</sup>
None	27	67.5	22	84.6	5	35.7	
Pain	1	2.5	0	0	1	7.1	
Infection	3	7.5	0	0	3	21.4	
Bleeding	8	20	4	15.4	4	28.6	
Bilateral upper limb paraesthesia	1	2.5	0	0	1	7.1	

<sup>b</sup> Fisher's Exact test statistic reported, \*statistically significant  $p < .05$ , Exact 2-sided p-values reported.

Table 5. Patient satisfaction and procedure time

VARIABLE	N	Total Sample	LEEP	CKC	p-value
		Mean (SD)	Mean (SD)	Mean (SD)	
PATSAT33 Rating Doctors Total Score <sup>a</sup>	38	43.13 (8.15)	43.08 (9.25)	43.21 (6.12)	.45 <sup>b</sup>
PATSAT33 Rating Nurses Total Score <sup>a</sup>	38	30.79 (4.66)	31.29 (4.81)	29.93 (4.43)	.39 <sup>b</sup>
PATSAT33 Rating Organisation Total Score	38	64.55 (9.55)	64.67 (10.42)	64.36 (8.19)	.93
PATSAT33 Total Score <sup>a</sup>	38	138.47 (20.21)	139.04 (22.95)	137.50 (15.12)	.56 <sup>b</sup>
OUT-PATSAT7 Total Score	34	27.85 (4.84)	27.71 (4.76)	28.08 (5.17)	.84
Procedure time (minutes) <sup>a</sup>	40	17.95 (9.69)	12.81 (5.09)	27.50 (8.99)	.00*

<sup>a</sup> Not normally distributed as per Shapiro Wilk test. <sup>b</sup> Mann Whitney U test, \*statistically significant  $p < .05$ , LEEP= loop electrosurgical excision procedure, CKC= cold knife cone biopsy, PATSAT33 = Patient Satisfaction Questionnaire C33, OUT-PATSAT7 = Outpatient Satisfaction Questionnaire C7, AIS= adenocarcinoma in situ, SD= standard deviation.



## EXCISE Supplementary Appendix 2

### Participant recruitment by site

	Participants (n)	%
St John of God Subiaco Hospital Perth, Western Australia, Australia	5	12.5
King Edward Memorial Hospital, Perth, Western Australia, Australia	15	37.5
Royal Womens Hospital Melbourne, Victoria, Australia	10	25.0
Christchurch Womens Hospital, Christchurch, New Zealand	4	10.0
National Womens Health Auckland City Hospital, Auckland, New Zealand	4	10.0
Hollywood Private Hospital Perth, Western Australia, Australia	1	2.5
Westmead Hospital Sydney, New South Wales, Australia	1	2.5
Total	40	100