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Manufacturing

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ABSTRACT

Background aim: Mesenchymal stromal cells (MSCs) hold promise for the treatment of tissue damage and injury. However, MSCs comprise multiple subpopulations with diverse properties, which could explain inconsistent therapeutic outcomes seen among therapeutic attempts. Recently, the adenosine triphosphate-binding cassette transporter ABCB5 has been shown to identify a novel dermal immunomodulatory MSC subpopulation. Methods: The authors have established a validated Good Manufacturing Practice (GMP)-compliant expansion and manufacturing process by which ABCB5+ MSCs can be isolated from skin tissue and processed to generate a highly functional homogeneous cell population manufactured as an advanced therapy medicinal product (ATMP). This product has been approved by the German competent regulatory authority to be tested in a clinical trial to treat therapy-resistant chronic venous ulcers. Results: As of now, 12 wounds in nine patients have been treated with \( 5 \times 10^5 \) autologous ABCB5+ MSCs per cm² wound area, eliciting a median wound size reduction of 63% (range, 32–100%) at 12 weeks and early relief of pain. Conclusions: The authors describe here their GMP- and European Pharmacopoeia-compliant production and quality control process, report on a pre-clinical dose selection study and present the first in-human results. Together, these data substantiate the idea that ABCB5+ MSCs manufactured as ATMPs could deliver a clinically relevant wound closure strategy for patients with chronic therapy-resistant wounds.

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medicine research, providing attractive advantages over other stem cell types. MSCs can be relatively easily isolated from human tissues and expanded ex vivo. They can modify the host’s immune environment, secrete trophic factors and exhibit a certain trans-differentiation potential [1]. MSCs are being investigated for the treatment of numerous inflammatory, degenerative and autoimmune diseases [2–10]. In general, MSC-based therapies have appeared feasible, beneficial and safe. Among more than 1400 patients treated in clinical trials with adipose-derived MSCs, very few treatment-related adverse events have been reported [11].

For most potential clinical indications, ex vivo cell expansion is required to produce sufficient and scalable cell numbers. However, MSC expansion poses several challenges. Prolonged culture can result in various changes, including morphological abnormalities, attenuated expression of specific surface markers, reduced proliferation, replicative senescence and shifting differentiation potential [12]. Gene expression signatures and functionality can be considerably altered by parameters such as media supplements, culture conditions or culture duration [13]. Since both therapeutic success and patient safety will strongly depend on reliable quality and homogeneity of the expanded cells, the establishment of validated, continuously controlled procedures that comply with the principles of Good Manufacturing Practice (GMP) for safe and reproducible isolation and expansion of MSCs at a clinical-grade level is crucial [14].

The adenosine triphosphate-binding cassette transporter ABCB5 [15] specifically marks a dermal immunomodulatory MSC subpopulation [16,17]. Skin-derived ABCB5+ MSCs express the minimal set of mesenchymal lineage markers [18] CD90, CD105 and CD73, while lacking hematopoietic lineage markers CD34, CD14, CD20 and CD45, and show significantly increased adipogenic, osteogenic and chondrogenic differentiation potential compared with donor-matched ABCB5 fibroblasts or bone marrow-derived MSCs [19]. Similar to adipose tissue-derived MSCs, ABCB5+ MSCs support reduced oxygen species release and extracellular trap formation from activated human peripheral neutrophils [17]. In a mouse model of chronic wounds, ABCB5+ MSCs improved wound healing via IL-1RA-mediated shift of prevailing pro-inflammatory M1 macrophages toward the anti-inflammatory M2 subtype [19]. Against the background of substantial patient burden and socioeconomic impact caused by chronic wounds [20,21], ABCB5+ MSCs represent a promising candidate for cell-based wound therapy.

This stimulated the authors to develop a validated manufacturing process to expand, isolate and manufacture human dermal ABCB5+ MSCs as an advanced therapy medicinal product (ATMP). Recently, the authors presented our pre-clinical in vivo data demonstrating the local and systemic safety and tolerability of our product [22]. Here the authors describe our GMP- and European Pharmacopoeia-compliant production and quality control process. Enrichment of ABCB5+ MSCs, which constitute only about 2.5% of the total dermal cell population [19], is achieved in a three-step process involving (i) plastic adherence selection, (ii) culture in a highly efficient MSC-selecting medium and (iii) isolation of the ABCB5+ cells using antibody-based magnetic cell sorting. Furthermore, the authors report on a pre-clinical dose selection study and present the first in-human results in therapy-resistant chronic venous ulcers (CVUs).

**Methods**

**Tissue procurement and processing**

Skin sampling occurred in accordance with the German Act on Organ and Tissue Donation, Removal and Transplantation (Transplantationsgesetz). After obtaining written informed donor consent, an elliptical 3 × 1.5 cm² excisional skin biopsy was taken from behind the ear. Donors who were serologically positive for HIV1/2 or had active hepatitis B virus, hepatitis C virus or active syphilis (Clinical Laboratory Improvement Amendments-positive/Treponema pallidum antibodies ≥1.80/fluorescent treponemal antibody [M/rapid plasma reagin] were excluded. The manufacturing process took place in a European Union GMP grade B clean room facility under laminar air flow (A in B). Biopsy tissue was disinfected using povidone-iodine solution (Braunol and Braunoderm; B. Braun, Melsungen, Germany), washed, dissected and subjected to two-step enzymatic digestion using collagenase (Collagenase NB 6 GMP Grade; Nordmark, Uetersen, Germany), followed by non-animal recombinant trypsin (TrypZean; Sigma-Aldrich, Taufkirchen, Germany). Following filtration and washing/centrifugation, pellets were resuspended in a stem-cell favoring medium.

**Cell expansion and isolation**

Cells were cultured as unsegregated cell cultures in a stem-cell favoring medium (Ham’s F-10 supplemented with fetal calf serum, L-glutamine, fibroblast growth factor 2, HEPS, hydrocortisone, insulin, glucose, and phorbol 12-myristate 13-acetate) at 37°C, 3.1% carbon dioxide (CO₂) and 90% humidity. During the first 4–6 days of culture, the medium contained penicillin/streptomycin and amphotericin B. Medium was changed at regular intervals to facilitate depletion of non-adherent cells from the culture. Cells were expanded by serial passaging from a C6 well via T25 and T75 flasks to T175 flasks (see supplementary Figure 1). When ≥70% confluency was reached, cells were harvested using non-animal recombinant trypsin and transferred to the next larger vessel. After seven passages, ABCB5+ MSCs were isolated using magnetic beads (micromer TC1 epoxy; Micromod, Rostock, Germany) coated with a mouse anti-human monoclonal antibody directed against sequence 493–508 (RFGAYLQAGRTMPEG) of ABCB5 extracellular loop three [15] (antibody bulk production: Maine Biotechnology Services, Portland, ME, USA; antibody GMP purification: Biibyte, Bielefeld, Germany; virus depletion and safety evaluation of the antibody according to [23]): Charles River, Erkrah, Germany). After enzymatic (TrypZean) detachment of the beads from the cell surface, the isolated ABCB5+ MSCs were cryopreserved in CryoStor CS10 freeze medium (BioLife Solutions, Bothell, WA, USA) and stored in the vapor phase of liquid nitrogen. The manufacturing process allowed for multiple successive isolation cycles after further subcultivation (up to 16 passages in total). Several in-process controls and release criteria monitored and confirmed the quality of the cell cultures and the isolated cells for each isolated cell batch (see supplementary Figure 1).

**Real-time quantitative polymerase chain reaction**

For detection of Cdkn1a expression (forward, AACACCATGTC-GACCTGTCA, reverse, TTAGGGCTTCCTCTTGAG), RNA was purified using the RNeasy mini kit (Qiagen, Hilden, Germany) and reverse transcribed with the Applied Biosystems high-capacity complementary DNA reverse transcription kit (Thermo Fisher Scientific). Polymerase chain reaction (PCR) was performed using the Applied Biosystems Power SYBR Green PCR master mix (Thermo Fisher Scientific) in a standard program running in an Applied Biosystems StepOne cycler (Thermo Fisher Scientific). Reactions were repeated in triplicate. Integrity of the amplified products was confirmed by melting curve analysis. Efficiency was measured using serial dilution of complementary DNA. Glyceraldehyde 3-phosphate dehydrogenase served as endogenous control. Quantification of transcript relative to the calibrator was based on a 2−ΔΔCt algorithm.

**Cell cycle analysis**

Cells (2 × 10⁶) were harvested and fixed with ice-cold 70% (v/v) ethanol (added dropwise while vortexing) for at least 30 min at 4°C. After washing in 0.02% ethylenediaminetetraacetic acid, cells were resuspended in RNase A (Thermo Fisher Scientific) and propidium iodide solution and measured flow cytometrically (BD Accuri C6; BD Biosciences, Heidelberg, Germany) using standard gating strategies.
**Estimation of cumulative population doubling and division rate**

For each passage, cell divisions per passage were calculated as \( n = \frac{\log N - \log N_0}{\log 2} \), where \( N \) is the cell number at harvest and \( N_0 \) the cell number at seeding. Cumulative population doubling (CPD) was calculated by adding the number of cell divisions for each passage to the sum of the population doubling values of the previous passages. To calculate the division rate, the number of cell divisions per passage was divided by the interval between two passages.

**Karyotyping**

Karyotyping by G-bands by trypsin using Giemsa banding was performed by an academic contract laboratory. In total, 54 samples from different donors and cell passages were analyzed visually, covering about 350–400 bands in 26–32 metaphases.

**Gene expression analysis**

Total RNA was isolated using an RNeasy kit (Qiagen, Hilden, Germany). Gene expression was analyzed by a specialized laboratory service provider using validated microarray technology (human gene expression 8 \times 60K microarray; Agilent Technologies, Santa Clara, CA, USA). Quality and integrity of the total RNA was evaluated using the 2100 Bioanalyzer (Agilent Technologies).

Microarrays were performed on samples from four various passages (out of passages seven to 16) per donor for eight donors. Within each donor, data sets were quantile-normalized and the sets from the three higher passages then compared with the lowest passage. From each of these between passage combinations the median gene ratio (including all genes with an average signal >20 and at least 2-fold differential regulation, i.e., \( \log_2 < -1 \) or \( >1 \)) was determined. These values were used to determine the median gene ratio for each delta passage value (i.e., passage number difference) for all donors.

**Batch analyses**

Batch analyses followed validated GMP-compliant procedures according (where applicable) to the requirements of the European Pharmacopoeia (Table 1).

**Microbiological examination**

Microbiological examination was performed by a certified academic contract laboratory. Cell suspension samples were diluted in sodium chloride peptone buffer, inoculated in BacT/ALERT BPN (anaerobic) and BacT/ALERT BPA (aerobic) culture bottles (bioMérieux, Nürtingen, Germany), respectively, and incubated in the BacT/ALERT 3D60 (bioMérieux) microbial detection system. After 7 days of incubation, all negative samples were seeded onto solid culture medium.

**Mycoplasma testing**

Cell suspension samples were spiked with internal control DNA and genomic DNA isolated using the Microsart anchored multiplex PCR extraction kit. Isolated DNA was subjected to quantitative PCR, including positive and negative controls (Microsart ATMP Mycoplasma kit), an internal isolation control and 10 colony-forming unit sensitivity standards for *Mycoplasma orale, M. fermentans* and *M. pneumoniae* (Minerva Biolabs, Berlin, Germany).

**Endotoxin testing**

After cell isolation, separation from the antibody-conjugated beads and centrifugation, supernatant was diluted 1:10 with Endosafe (Charles River, Charleston, SC, USA) limulus amoebocyte lysate reagent water and transferred into an Endosafe PTS cartridge (0.05 EU/mL), which was loaded into an Endosafe PTS reader.

**Determination of cell count and vitality**

Propidium iodide solution (1 mg/mL) was added to cell suspension samples to stain dead cells. Measurement was performed flow cytometrically (BD Accuri C6) and cell count and vitality calculated.

**Determination of viability, CD90 expression and bead residues**

Cell suspension samples were incubated with calcine acetoxyethyl ester (to stain metabolically active cells) and an Alexa Fluor 647-conjugated anti-human CD90 antibody (BioLegend, London, UK). Fluorescence was measured flow cytometrically (BD Accuri C6) and viability and content of CD90+ cells calculated. Using cell-free ABCB5 antibody-conjugated bead solution, a gate for detection of potential microbead residues was defined in the forward scatter/side scatter dot plot, and calcine-negative events in that gate were used to calculate residual beads.

**Determination of ABCB5+ cell content**

After isolation, but before enzymatic detachment of the microbeads (which leads to loss of ABCB5 from the cell surface), the ABCB5+ cell content was determined after incubation with an Alexa Fluor 647-coupled donkey anti-mouse secondary antibody (Thermo Fisher Scientific) targeting the anti-ABCBS antibody used for cell isolation.

### Table 1

<table>
<thead>
<tr>
<th>Parameter</th>
<th>n</th>
<th>Test method</th>
<th>Specification</th>
<th>Results</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Microbiological control</strong></td>
<td>155</td>
<td>BacT/ALERT system (adapted to 2.6.27 Ph. Eur.)</td>
<td>No growth</td>
<td>No growth in 99.35% of samples analyzed</td>
</tr>
<tr>
<td><strong>Mycoplasma</strong></td>
<td>155</td>
<td>Nucleic acid test–based assay (2.6.7 Ph. Eur.)</td>
<td>Not detectable (&lt; 1 CFU/mL)</td>
<td>Not detectable in 100% of samples analyzed</td>
</tr>
<tr>
<td><strong>Endotoxin level</strong></td>
<td>155</td>
<td>Limulus amoebocyte lysate test (2.6.14 Ph. Eur.)</td>
<td>&lt;2 EU/mL</td>
<td>&lt;2 EU/mL in 100% of samples analyzed</td>
</tr>
<tr>
<td><strong>Cell count</strong></td>
<td>155</td>
<td>Flow cytometry (2.7.29 Ph. Eur.)</td>
<td>2.5 \times 10^5 to 25 \times 10^6 cells per cryovial</td>
<td>Within specified range</td>
</tr>
<tr>
<td><strong>Cell vitality</strong></td>
<td>155</td>
<td>Flow cytometry (2.7.29 Ph. Eur.)</td>
<td>&gt;75%</td>
<td>98.27% ± 0.01%</td>
</tr>
<tr>
<td><strong>Cell viability</strong></td>
<td>155</td>
<td>Flow cytometry (2.7.29 Ph. Eur.)</td>
<td>≥90%</td>
<td>99.1% ± 1.13%</td>
</tr>
<tr>
<td><strong>CD90 expression</strong></td>
<td>155</td>
<td>Flow cytometry</td>
<td>≥90% CD90* cells</td>
<td>98.48% ± 3.3%</td>
</tr>
<tr>
<td><strong>Bead residues</strong></td>
<td>155</td>
<td>Flow cytometry</td>
<td>≤0.5%</td>
<td>0.03% ± 0.03%</td>
</tr>
<tr>
<td><em><em>ABCB5</em> cell content</em>*</td>
<td>155</td>
<td>Flow cytometry</td>
<td>≥90%</td>
<td>94.61% ± 2.13%</td>
</tr>
<tr>
<td><strong>Angiogenic differentiation</strong></td>
<td>155</td>
<td>Tube formation assay</td>
<td>Capillary structure formation</td>
<td>Capillary structure formation in 100% of samples analyzed</td>
</tr>
<tr>
<td><strong>IL-1RA secretion</strong></td>
<td>75</td>
<td>ELISA</td>
<td>&gt;125 pg/mL</td>
<td>&gt;125 pg/mL in 99.7% of samples analyzed</td>
</tr>
</tbody>
</table>

CFU, colony-forming units; ELISA, enzyme-linked immunosorbent assay; EU, endotoxin units; Ph. Eur., European Pharmacopoeia.

* Values are mean ± standard deviation.

1 Historically, the IL-1RA secretion assay was implemented in the authors’ regular test matrix at a later date, which is the reason for the lower sample number.
To allow for discrimination between ABCB5+ cells and free bead-antibody complexes, calcein acetoxymethyl ester was added to the cell suspension before incubation. Fluorescence was measured flow cytometrically (BD Accuri C6). By gating only events with high calcein fluorescence (indicative of viable cells), unbound bead-antibody complexes were excluded from the ABCB5+ cell calculation.

**Tube formation assay**

ABCB5+ MSCs were seeded in stem cell medium in a well of a 24-well plate coated with Gelatex basement membrane matrix (Thermo Fisher Scientific) and incubated for 19—22 h at 37°C and 3.1% CO2. Tube structures were photographed using an inverted microscope (DMI IL light-emitting diode; Leica, Wetzlar, Germany) equipped with a digital camera (DFC320; Leica) at ×40 magnification and the photographs qualitatively analyzed per visual inspection for signs of capillary structure formation. Human umbilical vein endothelial cells (Thermo Fisher Scientific) and human skin melanoma cells (SK-MEL-28; American Type Culture Collection, Manassas, VA, USA) served as positive and negative controls, respectively.

**IL-1RA secretion assay**

Human monocytic (THP-1) cells (LGC Standards; Wesel, Germany) were differentiated to macrophages by incubation in differentiation medium containing 150 nmol/mL phorbol 12-myristate 13-acetate for 48 h at 37°C and 5% CO2. In two wells of a 24-well plate, 1 × 105 macrophages were co-cultivated with 2 × 105 ABCB5+ MSCs. In one of the two wells, M1 polarization was achieved by stimulation by adding 50 IU/mL interferon γ (Imukin; Boehringer, Ingelheim, Germany) at the start of the co-cultivation and 50 IU/mL interferon γ and 20 ng/mL lipopolysaccharides from Escherichia coli O111:B4 (Sigma-Aldrich) after 24 h. After 48 h, supernatants were collected and analyzed for IL-1RA using a colorimetric sandwich enzyme-linked immunosorbent assay kit (QuantiKine; R&D Systems, Abingdon, UK).

**Dose selection study**

**Wound healing model**

Animal experiments were performed by a specialized contract research organization, meeting the animal protection requirements defined in EU Directive 2010/63/EU [24] and the German Animal Protection Act. The experimental design was approved by the competent local authority (AZ 55.2-1-54-2532.2-33-13; Regierung Oberbayern, Germany).

Forty-six female NOD.Cg-PrkdcscidIl2rgtm1Wj/Slj (NSG) mice (Charles River Wiga, Sulzfeld, Germany) were injected with 200 mg/kg metamizole for peri-surgery pain relief and anesthetized by isoflurane. Observations were quantified as grade 1 (minimal), grade 2 (slight), grade 3 (moderate), grade 4 (marked) and grade 5 (massive).

**Histopathology**

Hematoxylin and eosin-stained slides were histologically evaluated for wound contraction, neovascularization, collagen deposition, fibroblast proliferation, granulation tissue formation, inflammatory cells, re-epithelialization, necrosis, fibrinogen deposition and hemorrhage. Observations were quantified as grade 1 (minimal), grade 2 (slight), grade 3 (moderate), grade 4 (marked) and grade 5 (massive). Data were recorded and analyzed using PathData 10.1 (Pathology Data Systems, Pratteln, Switzerland).

**Immunohistochemistry**

Paraffin-embedded sections were immunohistochemically stained using rabbit anti-human/mouse CD31 at 1:50 (ab28364; Abcam), rabbit anti-human CD3 at 1:50 (IHC-00055; Bethesda, Montgomery, TX, USA) and rabbit anti-human/mouse cytokeratin 14 at 1:1000 (PRB-155P; Covance/BioLegend), respectively, following validated multistep labeling protocols. In a prior protocol validation study, the anti-human CD31 primary antibody did not cross-react with mouse tissue, and negative control tissues (using the same protocol without the primary antibodies) did not give any positive signal in human or mouse skin sections. Dako EnVision-HRP rabbit/3,3′-diaminobenzidine (Agilent Technologies) was used as a detection system. For positive control, human and NSG mouse skin sections were stained for each marker. Sections were evaluated using a DMR microscope (Leica) equipped with an AxioCam digital color camera with AxioVision 4.0 software (Carl Zeiss, Jena, Germany). Positivity was quantified as no (−), slight (1+), moderate (2+), strong (3+) and intense (4+).

**Statistics**

Kruskal-Wallis test was performed, followed by Dunn’s multiple comparisons, to compare the mean values between treatment groups. P < 0.05 was considered statistically significant.

**Clinical trial**

First-in-human data were collected in an ongoing phase 1/2a clinical trial (NCT02728444) evaluating the efficacy and safety of autologous in vitro-expanded ABCB5+ MSCs for treatment of conventionally incurable CVUs. The protocol and all relevant documents were approved by the local ethics committee at the University of Würzburg, Germany (164/15 ff), and the competent German regulatory authority, Paul Ehrlich Institute, Langen, Germany (2527/01). All patients gave written informed consent to participate in the trial.

Adult patients were eligible if they presented with a leg CU (5—50 cm²), defined as a therapy-resistant leg ulcer that had failed to improve within 3 months or heal within 12 months of optimal phlebological treatment, diagnosed by Doppler ultrasonography, ankle-brachial index (0.9—1.3) and physical and dermatological examination. Exclusion criteria were ulcers extending to the underlying muscle, tendon or bone; diabetes mellitus (hemoglobin A1c >7.5%); peripheral artery disease requiring treatment; acute or untreated deep vein thrombosis; ulcer infection; skin disorders or (pre-)cancerous lesions adjacent to the ulcer. ABCB5+ MSCs were derived from a patient’s skin biopsy and expanded as described earlier over 20 weeks, depending on the number of subcultivation and ABCB5+ cell isolation cycles required to produce the quantity of cells demanded (as defined by the patient’s wound size). Patients whose CU enlarged >25% or diminished >50% during this period were excluded from efficacy (but not safety) evaluation, ensuring that only those ulcers that had been arrested in a chronic state were evaluated. On the day of treatment (day 0), the wound was debried under local anesthesia, a suspension containing 1 × 10⁷ ABCB5+ MSCs per 1 mL vehicle dropped onto the wound surface to supply 5 × 10⁵ cells per cm² wound area and the wound wounds were embedded in Paraplast for histopathological and immunohistochemical examination.
covered with Tegaderm film dressing. Between day 1 and day 3, the Tegaderm dressing was replaced with a foam dressing—Mepilex (Mölnlycke, Düsseldorf, Germany) or Biatain (Coloplast, Hamburg, Germany)—which was used until week 12. In addition, patients received standard compression dressings. Patients presenting with more than one ulcer were offered to have the other wounds (defined as non-target ulcers) treated, depending on whether therapy of the target ulcer appeared efficacious and safe.

Wound healing was documented by standardized photography (Surface Pro 3 tablet; Microsoft Corporation, Redmond, WA, USA) using PictZar planimetry software (BioVisual Technologies, Elmwood Park, NJ, USA). Primary efficacy endpoint was the percentage of wound size reduction at week 12. Main secondary efficacy endpoints were quantitative and qualitative wound parameters and pain score per visual analog scale (ranging from 0 = no to 10 = worst imaginable pain), which were assessed throughout the 12-week period. Primary safety outcome measure was the occurrence of adverse events throughout the 12 months following treatment.

Results

Characteristics of cultured ABCB5+ MSCs

Cultured ABCB5+ MSCs exhibited typical fibroblast-like spindle-shaped MSC morphology characterized by a small cell body and a few long and thin cell processes (Figure 1A). Cell proliferation, measured by live cell counting over 13 days of culture, slowed down in parallel with increasing cell confluence (Figure 1B). After reaching

![Image](A.png)

**Figure 1.** Morphology and growth behavior of cultured ABCB5+ MSCs. (A) Cultured MSCs at about 80% confluence, displaying a spindled-shaped appearance. Magnification ×200. (B) Live cell count measured over time (upper panel) in parallel with cell confluence as determined visually (lower panel) from representative donors. (C) Cdkn1α gene expression (means of relative quantification ± SD) was determined at 30%, 70% and 99% confluence. (D) Cell cycle phase distribution expressed as mean (± SD) percentage of cells in G1, S and G2/M phases; n = 503 batches from 260 donors. SD, standard deviation. (Color version of figure is available online).
100% confluence, live cell count did not increase further, indicating that the cells were arrested in a non-proliferative state. In line with this, messenger RNA expression of Cdkn1a (encoding the senescence marker p21) was induced up to 7-fold at 99% confluence (Figure 1C).

Cell cycle analysis of 503 batches from 260 donors consistently revealed an expectable phase distribution, with the majority of cells (72.4% ± 8.5%) being in the G1 phase (Figure 1D).

**Process validation**

**Growth behavior**

CPD values, division rates and yield of ABCB5+ cells were compared in 15 donors up to 16 passages. CPD values increased linearly with passage number, demonstrating exponential growth (Figure 2A). Mean division rate was approximately 0.35 per day, corresponding to cell doubling about every 3 days (Figure 2B).

**Genetic stability**

Of 43 samples covering passages three to 16, 32 samples (75%) exhibited an inconspicuous karyotype, eight samples (19%) exhibited an aberrant karyotype (such as mosaic structures, loss of chromosomes or micro-mosaics in singular cells) and three samples (7%) exhibited a pathologic karyotype. In exemplary analyses, those cultures that exhibited an aberrant karyotype in passage five did not show any abnormalities in passage 11, suggesting a trend toward loss of abnormal karyotypes with increasing passage.

**Gene expression**

Gene expression microarrays were performed on samples from eight donors. Gene expression profiles showed no significant differences between batches from a given donor and between donors (see supplementary Figure 2). Analysis of the gene ratios for each delta passage value (i.e., difference between numbers of two passages that were compared with each other) showed that the gene expression profile did not significantly change with increasing delta value (Figure 2C; see supplementary Table 1). Analysis of the gene expression ratios at Δmax (i.e., between the two samples with the greatest passage number difference) of all eight donors (42 992 genes per analysis in total) revealed that only 2.4% (range, 0.3–5.2%) of genes were highly differentially (>5-fold) regulated (see supplementary Figure 3A). There was no correlation between the percentage of differentially regulated genes and the delta passage value. In an intra-donor (one of the two donors with the highest Δmax value) analysis of the three delta passages, the percentage of highly differentially regulated genes ranged from 2% to 3% (see supplementary Figure 3B).

![Figure 2. Validation of the expansion process of ABCB5+ MSCs. (A,B) Validation of passage number. Individual CPDs (n = 15 donors, represented by different colors) (A) and mean (± SD) division rates (n = 15 donors) (B), plotted against the respective passage number, demonstrating continuous cell expansion during up to 16 passages. (C) Comparability and homogeneity of batches between different passages of a given donor and between different donors as assessed by microarray-based gene expression analysis performed on samples from four various passages per donor for eight donors. Within each donor, the quantile-normalized data sets from the three higher passages were compared with the lowest passage. From each of these between-passage comparisons, the median gene ratio (of all genes that had shown an average signal > 20 and at least 2-fold differential regulation) was determined. These values were used to calculate the median gene ratio (mean ± SD) for each delta passage value over all eight donors; n = number of between-passage comparisons with the delta passage value specified. Original microarray data were uploaded in Gene Expression Omnibus (accession no. GSE145589) and are available at https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE145589. (D) Relative amount (mean percentage ± SD) of ABCB5+ cells in culture, plotted against the respective passage number (n = 17 donors) (left panel), and absolute yield of ABCB5+ MSCs shown as single values from 500 batches derived from 260 donors, giving a mean count of 26.27 ± 106 ABCB5+ MSCs per batch (represented by red line; SD = 19.76 × 106) (right panel). SD, standard deviation. (Color version of figure is available online).](image-url)
The percentage of ABCB5+ cells in culture showed a continuous but statistically non-significant decline during the expansion process, from 9.7% at passage seven to 5.3% at passage 16. Mean yield of ABCB5+ MSCs was $26.3 \pm 10^6$ cells per batch (Figure 2D).

**Batch quality control**

Batch analyses were performed on 155 cell batches (IL-1RA secretion assay, 75 batches). Overall, >98% of batches fulfilled the release specifications (Table 1).

**Dose selection study**

Topical application of manufactured human ABCB5+ MSCs in the low- to mid- and high- to mid-dose regimen facilitated closure of punch biopsy wounds in NSG mice, as evidenced by significantly lower mean wound sizes from day 9 on compared with control (Figure 3A–C). The high-dose group was retrospectively excluded from inter-group wound size comparisons since in this group the baseline wound size was significantly lower compared with control. At day 13, about 30% of the wounds in the mid- and high-dose groups had fully healed, in contrast to none of the

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**Figure 3.** Effect of topical application of ABCB5+ MSCs on healing of experimental full-thickness skin wounds in NSG mice. (A–C) Wound sizes (means of both wounds of each animal) at 9 days (A), 11 days (B) and 13 days (C) after vehicle (control) or MSC application. (D) Total number of fully closed wounds at 13 days after vehicle (control) or MSC application, shown as percentage value for each group. (E–G) Immunohistochemical evaluation of the wounds for human CD31 (E), unspecific CD31 (antibody-detected mouse and human CD31) (F) and cytokeratin 14 (G). Expression was semi-quantitatively quantified as no (-), slight (1+), moderate (2+), strong (3+) and intense (4+) positivity. Shown are group means ± SD. Control: vehicle only (n = 12 wounds, six animals). Low dose: $1.875 \times 10^5$ ABCB5+ MSCs per wound (n = 18 wounds, nine animals; one animal was prematurely euthanized). Low to mid dose: $3.75 \times 10^5$ ABCB5+ MSCs per wound (n = 19 wounds, 10 animals; one wound was pathologically not evaluable). Mid to high: $7.5 \times 10^5$ ABCB5+ MSCs per wound (n = 20 wounds, 10 animals). High dose: $1.5 \times 10^6$ ABCB5+ MSCs per wound (n = 20 wounds, 10 animals). The high-dose group was excluded from wound size analysis (A–C) because of significantly smaller baseline wound size values (not shown). Non-parametric Kruskal-Wallis test followed by Dunn's multiple comparisons. *$P < 0.05$, **$P < 0.01$, ***$P < 0.001$ versus control. d., dose; IHC, immunohistochemistry; SD, standard deviation. (Color version of figure is available online).
vehicle-treated and 5% (one out of 18) of the low-dose-treated wounds (Figure 3D). One animal in the low-dose group was euthanized on day 7 due to loss of body weight and poor general condition, which was attributed to high physical strain caused by the relatively large wounds. No cell treatment-related clinical findings were noted.

Histologically, wound contraction was slightly enhanced in all cell-treated groups versus control (P < 0.05 for low to mid dose only). Similarly, cell-treated groups exhibited somewhat greater mean scores for collagen accumulation (P < 0.05 for low to mid and high dose) and re-epithelialization (not significant) than control (data not shown). No obvious differences between groups were observed for fibroblast proliferation, inflammatory cells, granulation tissue formation and neovascularization. Occasionally, small focal necroses, exudation of fibrin fibers and hemorrhages were noted in some animals across all groups. Immunohistochemically, the wounds showed a dose-dependent increase in CD31 expression, which was significant for human CD31 but not for unspecific CD31 (as no antibody to specifically detect mouse CD31 was available, the authors had to use an antibody that detected both mouse and human CD31) and cytokeratin 14 expression (Figure 3E–G).

Clinical trial in CVU patients

Thus far, 12 CVUs (nine target and three non-target wounds) in nine patients (three men/six women, median age 77 years [range, 48–83], median body mass index 31 kg/m² [range, 25–38]) have been treated with autologous ABCB5+ MSCs. At baseline, the nine target ulcers had persisted between 5 months and 60 years (median, 15 years) and were between 1.8 cm² and 45 cm² in size. Three target ulcers were excluded from efficacy evaluation because the wounds had shown a healing tendency during the period of ex vivo cell expansion; the other six target ulcers were eligible for efficacy evaluation. In these patients, the target wound size decreased with time, achieving a median reduction of 59% (range, 29–84%) at week 6 and 63% (range, 32–100%) at week 12 (Figure 4; also see supplementary Figure 4). This was accompanied by wound quality improvement and early-onset pain relief starting day 1–3 after cell transfer, as reflected by the median visual analog scale pain score dropping from 4 at baseline to 1.5 at week 12. Immunohistochemical analysis of cell debris covering the wound of one patient at day 23 after cell application most likely demonstrated the presence of applied ABCB5+ cells facing the ulcer contact side (see supplementary Figure 5).

During the 12-month safety follow-up, no adverse event related to cell treatment was reported in any patient. One pre-treatment-emergent adverse event related to skin biopsy (pain) occurred.

Discussion

To enable safe, effective and reproducible therapeutic use of human ABCB5+ MSCs, the authors developed and validated a manufacturing and quality control process through which these cells can be derived from human skin biopsies, expanded, isolated and manufactured as a clinical-grade ATMP, guaranteeing reliable cell quality and homogeneity. Cultured ABCB5+ MSCs exhibited normal growth behavior, without any signs of overgrowth potential (Figure 1B–D). CPD values and division rates indicated homogeneous cell expansion during (at least) 16 passages (Figure 2A,B), and passaging did not appear to significantly affect gene expression (Figure 1C). Even though the percentage of ABCB5+ cells showed a certain decrease with higher passage numbers, cell yield was still sufficient at passage 16 (Figure 2D).

A major concern regarding the safety of therapies using ex vivo-expanded cells is the risk of genetic changes that may lead to tumorigenic transformation [25,26]. Karyotypic assays covering passages three to 16 detected aberrant karyotypes in 19% and pathologic karyotypes in 7% of analyzed samples. A certain degree of aberrant karyotypes occurring during MSC culture has also been reported by others [27–31]. This was essentially attributed to the artificial growth conditions in two-dimensional culture associated with enhanced cell replication and, consequently, errors in cell division. In addition, the authors cannot rule out whether an aberrant phenotype detected during culture was already present in the donor tissue. In any case, an important question is whether such karyotypic aberrations confer a selective growth advantage [29]. Exemplary analyses suggested a trend toward loss of abnormal MSC karyotypes when the passage number increased further, which has also been described by others [28–31]. These observations have been linked to apoptosis, cell cycle arrest or replicative senescence caused by deleterious DNA alterations [29–31] and might indicate that karyotypic alterations in MSCs during culture are not associated with a selective growth advantage, but rather confer a growth disadvantage to the affected cell [29]. On the functional level, the authors have implemented several in-process controls, including morphology, contact inhibition, time between passages (CPD), cell cycle analysis and detection of possible aneuploidies to identify signals of non-physiological cell behavior during cell expansion. Furthermore, a thorough pre-clinical study program on the in vivo safety of the authors’ cell product has not revealed any signal for tumorigenicity of ex vivo-expanded ABCB5+ MSCs [22].

Comparative gene expression analysis, revealing only rare variations between different passages of a given donor and between donors, indicated intra- and inter-donor homogeneity (Figure 2D; also see supplementary Figure 3). These findings suggest that the authors’ manufacturing process does not induce significant alterations in the gene expression profile of ABCB5+ MSCs during passaging.

Before being released, each batch of ABCB5+ MSCs undergoes a series of tests to verify its conformity with pre-specified criteria (Table 1). Overall, >98% of 155 batches produced thus far have fulfilled the specifications. Batch testing includes two potency (functionality) assays to test for endothelial differentiation ability (tube formation assay) and immunomodulatory properties (IL-1RA secretion assay). So far, no robust data exist that would allow quantitative correlation of the amount of secreted IL-1RA with the magnitude of the clinical effect. For this reason, in routine batch analysis, the authors monitor whether the batch exceeds a minimal secretion level of 125 mg/mL IL-1RA to basically demonstrate biologically active cells.

To select a starting dose for first in-human use of the manufactured ABCB5+ MSC product, a Good Laboratory Practice study was carried out in a mouse wound healing model. In this study, treatment with 3.75 × 10⁵ cells per wound or higher accelerated wound healing (Figure 3A–D). Increases in cytokeratin 14 and CD31 expression in the wound tissue indicated increased accumulation of epithelial and endothelial cells, respectively, after treatment with human ABCB5+ MSCs (Figure 3E–G). It is noteworthy that enhanced CD31 expression was, to a great extent, attributable to an increase in human-specific CD31 positivity. This observation suggests that a portion of the grafted cells had trans-differentiated to the endothelial lineage, which is supported by several reports of other authors on in vivo endothelial trans-differentiation of transplanted MSCs [32–37].

The lowest cell dose at which acceleration of wound healing was observed in the mouse model (i.e., 3.75 × 10⁵ cells per wound) roughly corresponded to 5 × 10⁵ cells/cm² wound surface area, which was set as the starting dose for first in-human use. In the clinical trial, a maximum baseline wound size of 50 cm² (to guarantee the required cell quantity was supplied) was defined. This translates into a maximum per-patient cell load of 2.5 × 10⁶ cells, corresponding to a maximum cell dose of 4.2 × 10³ MSCs per kg (assuming 60 kg body weight). This dose is nearly 800 times below the subcutaneous no-observed-adverse-effect level (as recommended by the US Department of Health and Human Services [38]) of 3.33 × 10⁶ ABCB5+ MSCs.
per kg previously determined in a pre-clinical Good Laboratory Practice study on repeated-dose toxicity [22].

The authors’ first in-human data suggest that cell therapy facilitated tissue repair of CVUs that had not adequately responded to prior guideline-adherent standard (compression) therapy [39-42]. Under pre-defined conditions, excluding those ulcers from efficacy evaluation that showed a healing tendency during the period of ex vivo cell expansion, topical application of $5 \times 10^5$ ABCB5+ MSCs per

![Figure 4](image-url)

**Figure 4.** Effect of topical application of $5 \times 10^5$ autologous skin-derived ABCB5+ MSCs per cm² wound area on healing of human chronic venous ulcers. (A) Photographs of the target wounds of three representative patients before (day 0, upper panel) and 12 weeks after (lower panel) cell application. For photographs of all patients who were eligible for efficacy evaluation, see supplementary Figure 4. (B) Wound closure kinetics before (during cell expansion) and throughout the 12-week period following cell application (efficacy follow-up). Shown are all patients who were eligible for efficacy evaluation. For patient 8, wound size data at week 4 are missing. Increase in the wound after week 6 coincided with two changes in routine wound care; namely, less frequent (once instead of twice weekly) dressing changes and a switch to less absorbent dressing (Mepilex instead of Biatain). For patient 9, wound size data at week 6 are missing; instead, week 7 measurement is included in the graph. Red shaded areas highlight the first 6 weeks of efficacy follow-up, during which wound healing was most pronounced. w6, week 6; w7, week 7; w12, week 12. (Color version of figure is available online).
cm² wound area elicited a median wound size reduction of 63% (range, 32–100%) within 12 weeks. The absence of any treatment-related adverse event in all patients confirmed good tolerability and overall safety of therapy.

It is noteworthy that wound healing rate was greatest until week 6 (Figure 4B, red shaded area), slowing down thereafter, even if a certain portion of this outcome can be attributed to an increase in the wound of patient 8 coinciding with changes in routine wound care (Figure 4B). These findings support the idea that the initial impact of ABCB5+ MSCs on chronic wounds predominantly relies on transient, immunomodulatory effects rather than on longer-lasting processes such as engraftment and (trans-)differentiation. Indeed, chronic wounds such as non-healing CVUs typically fail to progress through the normal pattern of wound repair (i.e., hemostasis, inflammation, proliferation and remodeling), remaining instead in a chronic inflammatory state characterized by uncontrolled accumulation of activated pro-inflammatory M1 macrophages [43]. By releasing IL-1RA, ABCB5+ MSCs have been shown to shift the prevalence of M1 macrophages in chronic wounds toward repair-promoting anti-inflammatory M2 macrophages, thus helping the wound overcome its chronic inflammatory state [19]. Conceivably, once the wound has left the state of chronic inflammation and entered the physiological pattern of wound repair, the wound may benefit from further modes of action of MSCs, such as trans-differentiation into endothelial cells required for blood vessel formation, as observed in the dose selection study (Figure 3E,F) and phenotypically demonstrated by the tube formation assay, and paracrine secretion of pro-angiogenic growth factors, as has been described for various MSC types in the literature [44–46]. Thus, a second application of ABCB5+ MSCs after the initial beneficial effect has tapered off might be capable of resuming the healing process to finally lead to complete wound closure.

Mainly due to the nature of our ATMP, the present clinical trial comes with some limitations. First, the number of treated patients is small, owing to the labor- and time-consuming manufacturing process, which, in the autologous setting, must be carried out for each patient individually. Second, the authors could not include a control group, as placebo treatment would not ethically justify a sham biopsy to mimic MSC isolation. Third, wound size at screening needed to be limited to 50 cm² to guarantee the required cell quantity was supplied in every case. All these limitations could potentially be overcome by using allogeneic ABCB5+ MSCs, which could be manufactured as a readily available off-the-shelf product. This is considered feasible given that the low immunogenicity and the immunomodulatory properties of culture-expanded MSCs contribute to a diminished immune response to allogeneic MSCs [47–50]. Moreover, pre-clinical and clinical trials directly comparing the safety and therapeutic efficacy of autologous and allogeneic MSCs have revealed similar outcomes [51] or even a greater efficacy of allogeneic cells [16,52]. As a result, the authors are currently testing ABCB5+ MSCs in the allogeneic setting in two ongoing clinical trials in patients with CVUs (NCT03257098) and diabetic foot ulcers (NCT03267784).

It is worth noting that it is not only patients suffering from local inflammatory conditions who may benefit from ABCB5+ MSC therapy. The substantial anti-inflammatory potential of ABCB5+ MSCs and their convincing safety profile make our ATMP a promising candidate for intravenous treatment of systemic inflammatory conditions as well. Thus far, significant benefit delivered by human ABCB5+ MSCs in pre-clinical models of epidermolysis bullosa [53] and liver disease [54] has stimulated further clinical trials of allogeneic ABCB5+ MSCs in recessive dystrophic epidermolysis bullosa (NCT03529877) and acute-on-chronic liver failure (NCT03860155).

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Declaration of Competing Interest

MHF and NYF are inventors or co-inventors of US and international patents assigned to Brigham and Women's Hospital and/or Boston Children's Hospital, Boston, Massachusetts, USA, licensed to TICEBA GmbH, Heidelberg, Germany, and RHEACELL GmbH & Co. KG, Heidelberg, Germany. MHF and KS-K serve as scientific advisors to TICEBA and RHEACELL and participate in corporate-sponsored research collaborations with RHEACELL. EN-R, JE, HMS, NB, SS and NS are employees of TICEBA. KK, KD and NT are employees of RHEACELL. CG is chief executive officer and MAK chief scientific officer of TICEBA and RHEACELL.

Author Contributions

Conception and design of the study: AK, JE, HMS, MGA, NB, SS, GFM, DPO, NYS, CG, MHF and MAK. Acquisition of data: AK, KR, PS, SE, PH, NB, SS and NS. Analysis and interpretation of data: AK, EN-R, JE, HMS, AMWG, MGO, KK, KD, NT, KS-K and MAK. Drafting or revising the manuscript: AK, EN-R, JE, HMS, MGA, AMWG, MG, KK, KR, SE, PH, KD, BS, SS, SS, NT, GFM, DPO, NYS, CG, KS-K, MHF and MAK. All authors have approved the final article.

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Supplementary materials

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References


