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Nan Jin

Y. B. Yang

Elias G. Dimitrakopoulos

Themelina S. Paraskeva
Edith Cowan University

Lambros S. Katafygiotis

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Highlights

- The study establishes a framework to identify bridge frequencies from a moving test vehicle
- Gives theoretical support to eliminate the negative effect of road roughness from the identification results
- The approach adopts a dimensionless description to cope with the time-varying nature of VBI
- The method is verified via numerical experiments with both SDOF and MDOF vehicles
- The method allows identifying bridge frequencies using test vehicles with practical speeds even considering poor road surface

Application of Short-time Stochastic Subspace Identification to Estimate Bridge Frequencies from a Traversing Vehicle

Nan JIN¹, Y. B. YANG^{2,3}, Elias G. DIMITRAKOPOULOS^{1*}

Themelina S. PARASKEVA⁴, Lambros S. KATAFYGIOTIS¹,

¹Department of Civil and Environmental Engineering,

Hong Kong University of Science and Technology, Clear Water Bay, Kowloon Hong Kong

²School of Civil Engineering, Chongqing University, Chongqing 400045, China

³Department of Construction Engineering

National Yunlin University of Science and Technology, Yunlin, Taiwan

⁴School of Engineering

Edith Cowan University, Joondalup WA 6027, Australia

* Correspondence:

Elias G. Dimitrakopoulos

ilias@ust.hk

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Abstract

This study establishes a short-time stochastic subspace identification (ST-SSI) framework to estimate bridge frequencies by processing the dynamic response of a traversing vehicle. The formulation uses a dimensionless description of the response that simplifies the vehicle-bridge interaction (VBI) problem and brings forward the minimum number of parameters required for the identification. With the aid of

the dimensionless parameters the analysis manages to successfully apply ST-SSI despite the time-varying nature of the VBI system. Further, the proposed approach eliminates the adverse effect of the road surface roughness using a transformed residual vehicle response obtained from two traverses of a vehicle at different speeds over the bridge. The study verifies the proposed ST-SSI approach numerically: it first performs the dynamic VBI simulations to obtain the response of the vehicle, and then applies the proposed ST-SSI method, assuming the dynamic characteristics of the vehicle are available. The numerical experiments concern both a sprung mass model and a more realistic multi-degree-of-freedom (MDOF) vehicle model traversing a simply supported bridge. The results show that the proposed approach succeeds in identifying the first two bridge frequencies for test-vehicle speeds much higher (e.g., $10\text{m/s} = 36\text{km/h}$ and $20\text{m/s} = 72\text{km/h}$) than previously considered, even in the presence of high levels of road surface roughness.

1 Introduction

The natural frequencies of a bridge may contain vital information about its health and are therefore essential to monitor. To estimate modal frequencies of a bridge, traditional techniques process data of its response measured using sensors installed on-site (Chatzi & Spiridonakos, 2015; Ferrari et al., 2016; Goi & Kim, 2016). These identification techniques are well-established but entail considerable effort and costs.

Over the past decade, there has been growing interest in extracting the dynamic properties of a bridge from the response of traversing vehicles (Yang et al., 2004a; Yang & Yang, 2018). The vehicle and the supporting bridge interact as the vehicle passes, forming a coupled system. It is thus feasible to extract information about the bridge by processing the response of the vehicle (Yang et al., 2004a). This indirect approach uses traversing vehicles both to excite a bridge and to collect information about the bridge. Compared with conventional identification methods, the indirect methods are more economical, portable, time-saving, and more easily implementable.

A first indirect approach is to use fast Fourier transform (FFT) of the acceleration response of the vehicle to identify bridge frequencies. This has been attempted in analytical studies (Yang et al., 2004a), numerical simulations (McGetrick et al., 2009), laboratory experiments (Urushadze & Yau, 2017) and field tests (Siringoringo & Fujino, 2012; Yang et al., 2013a; Yang et al., 2020). This method faces several challenges: (a) vehicle frequencies may dominate over bridge frequencies in the response spectrum of the vehicle; similarly, (b) road roughness often blurs the existence of bridge frequencies in the spectrum; and (c) higher modal frequencies of the bridge are usually hidden as they are not excited sufficiently. To suppress vehicle frequencies, Yang et al. (2013b) proposed the use of singular spectrum analysis with a band-pass filter. To deal with the blurring effect of the road roughness conditions (RRCs), Chang et al. (2010) employed a sequence of vehicles and increased speeds of the vehicles to amplify bridge vibration. Yang et al. (2012) and Kim et al. (2016) developed a residual Fourier spectrum, using the difference between the response of two connected vehicles to mitigate the effect of irregularity. To amplify higher bridge modes and facilitate their identification, Yang and Chang (2009) processed the vehicle response using empirical mode decomposition. This procedure also suppressed the fundamental bridge frequency, which prompted Yang and Lee (2018) to improve this algorithm by increasing vehicle damping.

Alternatively, bridge frequencies can be extracted from the vehicle response by means of general-purpose system identification techniques, e.g., stochastic subspace identification (SSI). Yang & Chen (2015) employed SSI to identify the frequencies of a simply supported beam from the response of a sprung mass vehicle model. The numerical results showed that assuming the basic parameters, vibration and path of the test-vehicle known, the SSI method could identify bridge modal frequencies from the vehicle response. Two pre-requisites for successful identification were: (i) there must be at least good RRC, and (ii) the vehicle must have a slow speed to conceal the time-varying nature of the VBI system. Jin et al. (2017; 2018) verified the SSI method with a more realistic VBI model, concluding that on-going traffic may be beneficial to the identification of higher-mode bridge frequencies. However, this SSI approach entailed considerable computational cost related to the repetitive calculations of pseudo-inverse matrices. Li et al. (2019) suggested using two vehicles, one

slowly traversing the bridge and one additional stationary vehicle serving as a reference sensor on the bridge. With the aid of the two vehicles they identified both frequencies and mode shapes of the bridge through numerical simulations and laboratory experiments.

This study deploys a short-time stochastic subspace identification (ST-SSI) technique to estimate bridge frequencies by processing the data from a traversing test-vehicle. The proposed ST-SSI method requires only the vibration response and dynamic properties of a test-vehicle, and controlling the speeds of the test-vehicle for two traverses over the bridge. The transformed residual response from two runs efficiently eliminates the adverse effect of road roughness from the output signal for the ST-SSI method. The proposed framework relies on a dimensionless description (Stoura & Dimitrakopoulos, 2019) that elucidates the underlying physical mechanisms of VBI. The dimensionless parameters simplify both the VBI problem and the inverse identification problem. Then effectively interprets the feasibility, as well as the limitations of applying the ST-SSI method to the time-varying VBI system.

The motivation for the present study stems from the need to extend the applicability of indirect identification in the direction of more realistic RRCs and more practical speeds of test-vehicle. The present analysis: (i) gives theoretical support to eliminate the negative effect of road roughness by removing related terms from the output signal for the ST-SSI method; (ii) explains the feasibility and the limitations of applying the ST-SSI method to a time-varying VBI system; and (iii) explores the possibility of using a traversing test-vehicle with practical speeds for the ST-SSI method.

2 Methodology

2.1 A brief review of short-time stochastic subspace identification

A widely-used method of system identification for a linear time-invariant (LTI) system in the state space (Katayama, 2006) is stochastic subspace identification (SSI). Consider a general output-only dynamic system with output (vector) \mathbf{Y}_k . One can describe the time-discrete LTI system with two equations

$$\mathbf{X}_{k+1} = \mathbf{A}\mathbf{X}_k + \mathbf{w}_k \quad (1)$$

$$\mathbf{Y}_k = \mathbf{C}\mathbf{X}_k + \mathbf{v}_k \quad (2)$$

where \mathbf{X}_k is the state vector; \mathbf{A} and \mathbf{C} denote the time-invariant system matrices; \mathbf{w}_k and \mathbf{v}_k are system noise and measurement noise, respectively, which are modeled as zero-mean white noise. SSI allows to estimate the time-invariant system matrices \mathbf{A} and \mathbf{C} from the assumed measured output signal \mathbf{Y}_k .

Contrary to SSI, short-time stochastic subspace identification (ST-SSI) method is applicable to time-varying systems, provided that the time-variation (of their dynamic properties) is much slower than the dynamics of the system during the defined time-interval (Ohsumi & Kawano, 2002; Marchesiello et al., 2009). In other words, ST-SSI allows system matrices \mathbf{A} and \mathbf{C} in Eqs.(1) and (2) to be time-varying. A particular goal of the present study is to formulate the identification — of bridge frequencies from the response of a traversing vehicle — problem in a suitable form for ST-SSI, and to identify the system matrix \mathbf{A} (see e.g., Eq. 1) that contains solely the dynamic properties of the bridge by processing the data from a traversing vehicle (vector \mathbf{Y}_k in Eq. 2). In this context, the study also investigates, with the aid of a dimensionless description of the VBI problem, the range of application of the proposed approach.

2.2 Formulation of VBI system with dimensionless terms

Figure 1(a) shows the model of a simple vehicle-bridge system. The bridge is a simply supported Euler-Bernoulli beam of uniform cross-section with N degrees of freedom (DOFs). As a first approach, the vehicle is a single DOF (SDOF) mass m^v , moving with speed v and supported by a spring of stiffness k_c and dashpot of damping coefficient c_c . One way to state the equations of motion (EOM) of the coupled vehicle-bridge system (as Fig. 1b) (Zeng et al., 2016; Paraskeva et al., 2017) is

$$m^v \frac{d^2}{dt^2} \tilde{u}^v(t) = \tilde{\lambda}_N(t) \quad (3)$$

for the sprung mass, and

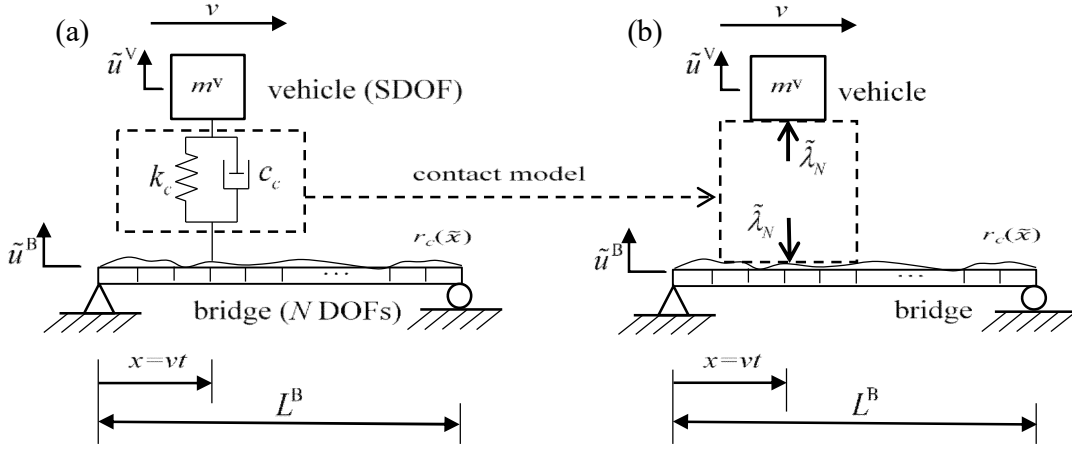


Figure 1. A simple vehicle-bridge interaction system

$$\mathbf{M}^B \frac{d^2}{dt^2} \tilde{\mathbf{u}}^B(t) + \mathbf{K}^B \tilde{\mathbf{u}}^B(t) + \mathbf{C}^B \frac{d}{dt} \tilde{\mathbf{u}}^B(t) + \mathbf{W}_N^B(x) \tilde{\lambda}_N(t) = \mathbf{F}_G^V \quad (4)$$

for the bridge, where \mathbf{M}^B , \mathbf{K}^B and \mathbf{C}^B are the mass, stiffness and damping matrices of the bridge; $\tilde{u}^V(t)$ and $\tilde{\mathbf{u}}^B(t)$ are the response displacement of the vehicle and the bridge, respectively; $\mathbf{F}_G^V = \mathbf{W}_N^B(x) m^V g$ is the external force including the gravity of the vehicle; and $\mathbf{W}_N^B(x)$ defines the position of the contact force $\tilde{\lambda}_N(t)$ between vehicle and bridge, with the aid of conventional shape functions (Appendix A.1), equal with

$$\begin{aligned} \tilde{\lambda}_N(t) = & k_c \left(-\tilde{u}^V(t) + \mathbf{W}_N^B(x)^T \tilde{\mathbf{u}}^B(t) + r_c(x) \right) \\ & + c_c \left(-\frac{d}{dt} \tilde{u}^V(t) + \mathbf{W}_N^B(x)^T \frac{d}{dt} \tilde{\mathbf{u}}^B(t) + v \frac{d}{dx} \mathbf{W}_N^B(x)^T \tilde{\mathbf{u}}^B(t) + \tilde{x} v \frac{d}{dx} r_c(x) \right) \end{aligned} \quad (5)$$

where $r_c(x)$ denotes the road roughness profile.

For the needs of the proposed ST-SSI method, we cast the interaction relationship between vehicle and bridge response (i.e., contact force $\tilde{\lambda}_N(t)$ Eq. 5) into the form of Eq. (2), and the EOM of the bridge (Eq. 4) into the form of Eq.(1). In addition, since Eqs. (4) and (5) involve a large number of parameters, we simplify the VBI problem and the subsequent identification problem, writing the contact force $\tilde{\lambda}_N(t)$ (Eq. 5) and the EOM of the bridge (Eq. 4) subsystem using dimensionless terms (Stoura &

140 Dimitrakopoulos, 2019). Specifically, multiplying Eq.(5) with $1/m^V (\omega^V)^2 L^B$, and using the
 141 dimensionless time $\tau = \omega^V t$ gives

$$142 \quad \lambda_N(\tau) + u^V + 2\zeta^V \dot{u}^V = \left(\mathbf{W}_N^B(x)^T + \frac{2\gamma\zeta^V}{\omega^V L^B} \mathbf{W}_N^B(x)^T \right) \mathbf{u}^B + 2\zeta^V \mathbf{W}_N^B(x)^T \mathbf{u}^B + R(x) + \frac{2\gamma\zeta^V}{\omega^V L^B} R(x)' \quad (6)$$

143 where $\lambda_N(\tau)$ is the dimensionless contact force; ω^V is the frequency of the vehicle;
 144 $u^V = \tilde{u}^V(\tau)/L^B$ is the dimensionless vehicle response; $\mathbf{u}^B = \tilde{\mathbf{u}}^B(\tau)/L^B$ is the dimensionless bridge
 145 response; $x = \tilde{x}/L^B$ is the dimensionless position of the vehicle; $\zeta^V = c_c/2m^V\omega^V$ is the damping
 146 ratio of the vehicle; $R(x) = r_c(\tilde{x})/L^B$ is the scaled road roughness profile. Throughout this study *dot*
 147 denotes differentiation with respect to the dimensionless time τ (e.g., \dot{u}^V), and *prime* (e.g., $R(x)'$)
 148 stands for differentiation with respect to the dimensionless position x . Equation (6) is a dimensionless
 149 version of Eq. (5) which offers advantages later to eliminate the negative effect of RRCs and to simplify
 150 the output signal for the ST-SSI method with fewer parameters that require measuring.

151 Accordingly, the EOM of the dimensionless response \mathbf{u}^B of the bridge (Eq. 4) becomes

$$152 \quad (\omega^V)^2 \mathbf{M}^B \ddot{\mathbf{u}}^B + \omega^V \mathbf{C}^B \dot{\mathbf{u}}^B + \mathbf{K}^B \mathbf{u}^B = -\frac{1}{L^B} \mathbf{W}_N^B(x) (\tilde{\lambda}_N(\tau) + m^V g). \quad (7)$$

153 Note that ω^V appears in dimensionless EOM of the bridge because of the differentiation with respect
 154 to the dimensionless time τ .

155

156 2.3 Elimination of the negative effect from road roughness profile

157 The next task is to address the negative effect from road roughness conditions (RRCs). To eliminate
 158 the effect due to RRCs, the present study builds on the premise of the Yang et al. (2012) and Kim et
 159 al. (2016) approach. Specifically, instead of using two connected vehicles/wheels (Yang et al., 2012;
 160 Kim et al., 2016), this study proposes two runs over the bridge with the same test-vehicle but at different
 161 speeds. The key is that during the two runs the test-vehicle traverses the same road roughness profile,
 162 which allows its elimination as follows.

163 Consider a test-vehicle (with frequency ω^V) crossing the same bridge twice: the first time with speed
 164 v_1 and the second with speed v_2 . The irregularity profile $r_c(\dot{x})$ at any position x on the bridge deck
 165 remains the same during all runs. Accordingly, at the (dimensionless) position $x = \dot{x} / L^B$ the
 166 (dimensionless) roughness profile would be the same $R(x)$. The subtraction of the (left-hand sides)
 167 LHS of Equation (6) thus returns

$$168 \quad \Delta P = \left((1) \lambda_N(t) - (2) \lambda_N(t) \right) + \left((1) u^V - (2) u^V \right) + 2\zeta^V \left((1) \dot{u}^V - (2) \dot{u}^V \right) = \Delta \lambda_N(t) + \Delta u^V + 2\zeta^V \Delta \dot{u}^V \quad (8)$$

169 where the left-subscript $(i)()$ denotes the parameter for i -th run. Accordingly, the subtraction of the
 170 (right-hand sides) RHS of Equation (6) gives

$$171 \quad \Delta P = \left(\mathbf{W}_N^B(x)^T + \frac{2v_1\zeta^V}{\omega^V L^B} \mathbf{W}_N^B(x)^T \right) \left((1) \mathbf{u}^B - (2) \mathbf{u}^B \right) + 2\zeta^V \mathbf{W}_N^B(x)^T \left((1) \dot{\mathbf{u}}^B - (2) \dot{\mathbf{u}}^B \right) \\ + \alpha R(x)' + \alpha \mathbf{W}_N^B(x)^T (2) \mathbf{u}^B \quad (9)$$

172 where α is a coefficient that depends on the difference between the two speeds

$$173 \quad \alpha = \frac{2\zeta^V}{\omega^V L^B} (v_1 - v_2). \quad (10)$$

174 Notice that Eq.(9) does not depend on the RRC profile $R(x)$, but solely on the slope of the
 175 irregularities $R(x)'$. Equation (9) would be directly applicable to the proposed ST-SSI formulation
 176 (Eq. 2) if the $\alpha \left(R(x)' + \mathbf{W}_N^B(x)^T (2) \mathbf{u}^B \right)$ term was a zero-mean white noise. The spatial derivative of
 177 RRC $R(x)'$ is generally white noise (ISO 8608, 2016), however, $\mathbf{W}_N^B(x)^T (2) \mathbf{u}^B$ cannot be assumed
 178 to be white noise. Nevertheless, choosing the speed of each run, and hence the value of the coefficient
 179 α (Eq. 10), allows to eliminate the $\alpha \mathbf{W}_N^B(x)^T (2) \mathbf{u}^B$ term from the output signal ΔP .

180 To elucidate, consider the order of magnitude $O(\cdot)$ of the terms involved in the output signal ΔP
 181 (Eq. 8) and the RHS (Eq. 9) of the subtracted EOMs of the vehicle. In particular, the dimensionless
 182 displacement response of the vehicle and the bridge are approximately of $O((i) u^V) \approx 10^{-3}$ and
 183 $O((i) u^B) \approx 10^{-3}$. Accordingly, the orders of magnitude of the dimensionless velocity and acceleration
 184 response of the vehicle are

$$185 \quad O((i) \dot{u}^V) = O\left(\frac{1}{\omega^V L^B} \frac{d}{dt} (i) \tilde{u}^V \right) = O\left(\frac{1}{\omega^V L^B} \frac{(i) \tilde{u}^V}{T^V} \right) = O\left(\frac{1}{2\pi} (i) u^V \right) \approx 10^{-4} \quad (11)$$

$$O\left({}_{(i)}\ddot{u}^v\right)=O\left(\frac{1}{\left(\omega^v\right)^2 L^B} \frac{d^2}{dt^2} {}_{(i)}\tilde{u}^v\right)=O\left(\frac{1}{\left(\omega^v\right)^2 L^B} \frac{{}_{(i)}\tilde{u}^v}{\left(T^v\right)^2}\right)=O\left(\frac{1}{4\pi^2} {}_{(i)}u^v\right) \approx 10^{-4} \quad (12)$$

Dividing Eq. (3) by $m^v \left(\omega^v\right)^2 L^B$ gives the order of the dimensionless contact force as $O\left({}_{(i)}\lambda_N(t)\right)=O\left({}_{(i)}\ddot{u}^v\right)=10^{-4}$. The damping ratio of the vehicle ζ^v is of the order $O\left(\zeta^v\right)=10^{-1}$, with practical values between 0.3 and 0.6. Consequently, it follows that the order of magnitude of the output signal (Eq. 8) is $O(\Delta P) \approx 10^{-3}$.

Focusing on the $\mathbf{W}_N^B(x)^T {}_{(2)}\mathbf{u}^B$ term, the matrix $\mathbf{W}_N^B(x)$ is composed of shape functions and therefore $O\left(\mathbf{W}_N^B(x)\right)=1$ and $O\left(\mathbf{W}_N^B(x)'\right) \approx 10^2$ (see Appendix A.1). Consequently, the order of magnitude of $\mathbf{W}_N^B(x)^T {}_{(2)}\mathbf{u}^B$ is approximately $O\left(\mathbf{W}_N^B(x)^T {}_{(2)}\mathbf{u}^B\right) \approx 10$. Hence, an appropriate selection of the two speeds, v_1 and v_2 , limits the value of the coefficient α

$$\alpha = \frac{2\zeta^v}{\omega^v L^B} (v_1 - v_2) \leq 10^{-3} \quad (13)$$

and allows to neglect the term $\alpha \mathbf{W}_N^B(x)^T {}_{(2)}\mathbf{u}^B$ from the output signal ΔP , as $O\left(\alpha \mathbf{W}_N^B(x)^T {}_{(2)}\mathbf{u}^B\right) \leq 10^{-4}$.

Then, Eq. (9) becomes approximately

$$\Delta P \simeq \left(\mathbf{W}_N^B(x)^T + \frac{2v_1\zeta^v}{\omega^v L^B} \mathbf{W}_N^B(x)^T \right) \left({}_{(1)}\mathbf{u}^B - {}_{(2)}\mathbf{u}^B \right) + 2\zeta^v \mathbf{W}_N^B(x)^T \left({}_{(1)}\dot{\mathbf{u}}^B - {}_{(2)}\dot{\mathbf{u}}^B \right). \quad (14)$$

Equations (8) and (14) establish the relationship between the vehicle and bridge response.

2.4 Problem formulation for an MDOF vehicle model

This section formulates the proposed ST-SSI method for a multi-degree-of-freedom (MDOF) vehicle model (Fig. 2a). Specifically, consider a vehicle with $r = 2$ wheels (Fig. 2a) and a simply supported beam (same as Fig. 1). The EOM of the vehicle is (Zeng et al., 2016; Stoura & Dimitrakopoulos, 2020; Stoura & Dimitrakopoulos, accepted)

$$\mathbf{M}^v \frac{d^2}{dt^2} \tilde{\mathbf{u}}^v(t) + \mathbf{K}^v \tilde{\mathbf{u}}^v(t) + \mathbf{C}^v \frac{d}{dt} \tilde{\mathbf{u}}^v(t) - \mathbf{W}_N^v \tilde{\lambda}_N(t) = \mathbf{0} \quad (15)$$

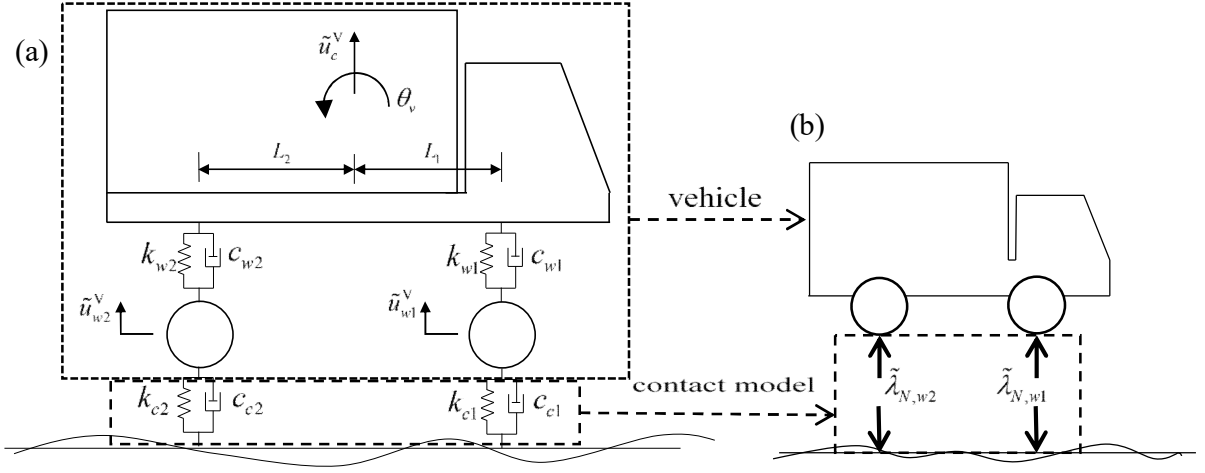


Figure 2. An MDOF vehicle model

where \mathbf{M}^V , \mathbf{K}^V and \mathbf{C}^V are the mass, stiffness and damping matrices of the vehicle; $\tilde{\mathbf{u}}^V(t) = [\tilde{u}_c^V \quad \tilde{\theta}_v \quad \tilde{u}_{w1}^V \quad \tilde{u}_{w2}^V]^T$ is the vehicle displacement vector; \mathbf{W}_N^V is the matrix denoting the DOFs for the wheels; and $\tilde{\lambda}_N(t) = [\tilde{\lambda}_{N,w1} \quad \tilde{\lambda}_{N,w2}]^T$ is the contact force vector as Eq. (5) with $k_c = k_{cj}$, $c_c = c_{cj}$, $\tilde{u}^V(t) = \tilde{u}_{wj}^V(t)$, $\mathbf{W}_N^B(\tilde{x}) = \mathbf{W}_{Nj}^B(x)$ and $r_c(\tilde{x}) = r_{cj}(x)$. Here j in the subscript denotes j -th wheel of the vehicle.

What matters for the application of the proposed ST-SSI method is the interaction relationship between vehicle and bridge. This allows to simplify the VBI model ignoring the details of the vehicle and focusing on the contact force $\tilde{\lambda}_{N,wj}$ connecting the vehicle and bridge (Fig. 2b). Similar to Eq. (6), using the dimensionless time $\tau = \omega_1^V t$ gives the dimensionless contact force $\lambda_{N,wj}$ of j -th ($j = 1, 2, \dots, r$) wheel as

$$\lambda_{N,wj} + K_{cj}^V u_{wj}^V + 2C_{cj}^V \dot{u}_{wj}^V = \left(K_{cj}^V \mathbf{W}_{Nj}^B(x)^T + \frac{2\nu_i C_{cj}^V}{\omega_1^V L^B} \mathbf{W}_{Nj}^B(x)^T \right) \mathbf{u}^B + 2C_{cj}^V \mathbf{W}_{Nj}^B(x)^T \dot{\mathbf{u}}^B + K_{cj}^V R_j(x) + \frac{2\nu_i C_{cj}^V}{\omega_1^V L^B} R_j(x), \quad (16)$$

where m_1^V and ω_1^V are the first modal mass and frequency of the vehicle, respectively; $\lambda_{N,wj} = \tilde{\lambda}_{N,wj}(\tau) / m_1^V (\omega_1^V)^2 L^B$ is the dimensionless contact force; $u_{wj}^V = \tilde{u}_{wj}^V(\tau) / L^B$ denotes the dimensionless displacement response of j -th wheel of the vehicle; $K_{cj}^V = k_{cj} / m_1^V (\omega_1^V)^2$ is the stiffness ratio and $C_{cj}^V = c_{cj} / 2m_1^V \omega_1^V$ is the damping ratio of j -th wheel of the vehicle.

Dividing Eq.(16) by K_{cj}^V and subtracting Eq.(16) for two runs, eliminates the road roughness profile $R_j(x)$ from equation (16). So the subtraction ΔP of the LHS of Eq.(16) for two runs gives

$$\Delta P = \frac{1}{K_{cj}^V} \Delta \lambda_{N,wj} + \Delta u_{wj}^V + \frac{2C_{cj}^V}{K_{cj}^V} \Delta \dot{u}_{wj}^V \quad (17)$$

where $\Delta \lambda_{N,wj}$ is the difference of the dimensionless contact forces in the two runs; Δu_{wj}^V and $\Delta \dot{u}_{wj}^V$ are the differences between the displacement and velocity response for the two runs, respectively

Accordingly the subtraction of the RHS of Eq.(16) for two runs is

$$\begin{aligned} \Delta P = & \left(\mathbf{W}_{Nj}^B(x)^T + \frac{2\nu_1 C_{cj}^V}{\omega_1^V L^B K_{cj}^V} \mathbf{W}_{Nj}^B(x)^T \right) \left({}^{(1)}\mathbf{u}^B - {}^{(2)}\mathbf{u}^B \right) + \frac{2C_{cj}^V}{K_{cj}^V} \mathbf{W}_{Nj}^B(\tilde{x})^T \left({}^{(1)}\dot{\mathbf{u}}^B - {}^{(2)}\dot{\mathbf{u}}^B \right) \\ & + \alpha R_j(x) + \alpha \mathbf{W}_{Nj}^B(x)^T {}^{(2)}\mathbf{u}^B \end{aligned} \quad (18)$$

where again α is a coefficient that depends on the difference of two speeds

$$\alpha = \frac{2C_{cj}^V}{\omega_1^V L^B K_{cj}^V} (\nu_1 - \nu_2) \leq 10^{-3}. \quad (19)$$

Similar to the SDOF vehicle model, the $\alpha \mathbf{W}_{Nj}^B(x)^T {}^{(2)}\mathbf{u}^B$ term is ignorable compared to the output signal ΔP if α is sufficiently small (e.g., $\alpha \leq 10^{-3}$). Finally, Eq. (18) becomes the same as Eq. (14) with $\mathbf{W}_N^B(x) = \mathbf{W}_{Nj}^B(x)$, $\omega^V = \omega_1^V$ and $\zeta^V = C_{cj}^V / K_{cj}^V$.

2.5 Formulation of VBI problem for system identification

To cast the equations of the VBI problem (Eqs. 7 and 14) into a suitable form for the needs of the proposed ST-SSI method, Eq.(14) must take the form of Eq.(2). To this end, we re-write Eq.(14) in state space as

$$\Delta P = \mathbf{N}^V \mathbf{N}^{WB}(x) \Delta \mathbf{X}^B(\tau) \quad (20)$$

where $\Delta \mathbf{X}^B(\tau)$ is the state vector

$$\Delta \mathbf{X}^B(\tau) = {}^{(1)}\mathbf{X}^B - {}^{(2)}\mathbf{X}^B = \begin{bmatrix} {}^{(1)}\mathbf{u}^B \\ \omega^V {}^{(1)}\dot{\mathbf{u}}^B \end{bmatrix} - \begin{bmatrix} {}^{(2)}\mathbf{u}^B \\ \omega^V {}^{(2)}\dot{\mathbf{u}}^B \end{bmatrix} = \begin{bmatrix} {}^{(1)}\mathbf{u}^B - {}^{(2)}\mathbf{u}^B \\ \omega^V \left({}^{(1)}\dot{\mathbf{u}}^B - {}^{(2)}\dot{\mathbf{u}}^B \right) \end{bmatrix} \in R^{2N \times 1}, \quad (21)$$

246 \mathbf{N}^{Vf} and $\mathbf{N}^{WB}(x)$ are system matrices defined as

$$247 \quad \mathbf{N}^{Vf} = \begin{bmatrix} 1 & \frac{2\zeta^V}{\omega^V} \end{bmatrix} \quad (22)$$

248 and

$$249 \quad \mathbf{N}^{WB}(x) = \begin{bmatrix} \mathbf{W}_N^B(x)^T & \mathbf{0} \\ \frac{v_1}{L^B} \mathbf{W}_N^B(x)^T & \mathbf{W}_N^B(x)^T \end{bmatrix} \in R^{2 \times 2N} \quad (23)$$

250 respectively. The equation of output signal (Eq. 20) in state space takes now the time-discrete form

$$251 \quad \Delta P_k = \mathbf{N}^{Vf} \mathbf{N}_k^{WB} \Delta \mathbf{X}_k^B \quad (24)$$

252 where ΔP_k is the known output signal related to the vehicle, $\Delta \mathbf{X}_k^B$ is the state vector at time step k ,

253 and \mathbf{N}_k^{WB} is the matrix related to the position of the vehicle in time-discrete form.

254 To cast the EOM of the bridge subsystem (Eq. 7) into the form of Eq.(1), we write the EOM of the
255 bridge (Eq. 7) for i -th run in state space

$$256 \quad {}_{(i)}\dot{\mathbf{X}}^B = \mathbf{N}_c^B {}_{(i)}\mathbf{X}^B + \frac{1}{L^B} \mathbf{N}_c^M \mathbf{W}_N^B(x) \left({}_{(i)}\tilde{\lambda}_N(\tau) + m^V g \right) \quad (25)$$

257 where \mathbf{N}_c^B is the time-invariant bridge system matrix

$$258 \quad \mathbf{N}_c^B = \begin{bmatrix} \mathbf{0} & \mathbf{I} \\ -(\mathbf{M}^B)^{-1} \mathbf{K}^B & -(\mathbf{M}^B)^{-1} \mathbf{C}^B \end{bmatrix} \in R^{2N \times 2N}, \quad (26)$$

259 and \mathbf{N}_c^M is a matrix which includes the mass of the bridge

$$260 \quad \mathbf{N}_c^M = \begin{bmatrix} \mathbf{0} \\ -(\mathbf{M}^B)^{-1} \end{bmatrix} \in R^{2N \times N}. \quad (27)$$

261 Then we convert the state space EOM of the bridge (Eq. 25) to a time-discrete form, by multiplying
262 each side of Eq.(25) with $\exp(-\mathbf{N}_c^B t)$. After integration (Yang & Chen, 2015), the transformed
263 equation is

$$264 \quad {}_{(i)}\mathbf{X}_{k+1}^B = \mathbf{N}_c^B {}_{(i)}\mathbf{X}_k^B + \frac{1}{L^B} \mathbf{N}_c^M \mathbf{W}_{N,k}^B \left({}_{(i)}\tilde{\lambda}_{N,k} + m^V g \right) \quad (28)$$

265 where k in the subscript indicates the time step, \mathbf{N}^B is the system matrix of the bridge in time-
 266 discrete form

$$267 \quad \mathbf{N}^B = \exp(-\mathbf{N}_c^B \Delta t) \in R^{2N \times 2N}, \quad (29)$$

268 and \mathbf{N}^M is the time-discrete matrix

$$269 \quad \mathbf{N}^M = (\mathbf{N}_c^B)^{-1} (\mathbf{N}^B - \mathbf{I}) \mathbf{N}_c^M \in R^{2N \times N}. \quad (30)$$

270 Lastly, the subtraction of EOMs of the bridge in time-discrete form (Eq. 28) for two runs yields

$$271 \quad \Delta \mathbf{X}_{k+1}^B = \mathbf{N}^B \Delta \mathbf{X}_k^B + \frac{1}{L^B} \mathbf{N}^M \mathbf{W}_{N,k}^B \Delta \dot{\lambda}_{N,k} \quad (31)$$

272 where $\Delta \dot{\lambda}_{N,k}$ is the difference between the contact forces in two runs at time step k . Note that the
 273 gravity term $m^V g$ disappears after the subtraction. Collectively, Eqs.(31) and (24) are suitable for
 274 the application of the proposed ST-SSI method.

275

276 **2.6 Application of ST-SSI to the time-varying VBI system**

277 Short-time stochastic subspace identification (ST-SSI) allows for time-varying system matrices
 278 provided that this time-variation is much slower than the dynamics of the system during the examined
 279 time-interval (Ohsumi & Kawano, 2002; Marchesiello et al., 2009). In the context of the VBI problem,
 280 this implies that both system matrices i.e., \mathbf{N}^B in Eq. (31) and $\mathbf{N}^{Vf} \mathbf{N}_k^{WB}$ in Eq. (24) should
 281 approximately be time-invariant. Matrices \mathbf{N}^B and \mathbf{N}^{Vf} are time-invariant. The system matrix \mathbf{N}_k^{WB}
 282 (Eq.23 with $x=vk\Delta t$) in Eq. (24) though depends on the location of the vehicle (contact force) and
 283 hence it varies with time. This time variation depends on the speed of the vehicle and is characterized
 284 by loading frequency ω_{Ln} (Yang et al., 2004b):

$$285 \quad \omega_{Ln} = \frac{n\pi v}{L_{eff}} \quad (32)$$

286 where L_{eff} is the characteristic length of a bridge. For a simply supported beam, the characteristic
 287 length L_{eff} is equal to the length of the bridge L^B . The dimensionless speed parameter $S_{vm} = \omega_{Ln} / \omega_n^B$
 288 scales the loading frequency ω_{Ln} with the bridge frequency ω_n^B (Yang et al., 2004b). Usually, the

first mode ($n=1$) of the bridge is the most dominant during vehicle-bridge interaction, especially for simply supported bridges (Stoura & Dimitrakopoulos, 2020; Stoura & Dimitrakopoulos, accepted). If the dimensionless driving speed S_{v1} is small (e.g., $S_{v1} < 0.1$), the excitation from the moving contact force is much slower compared with the structural dynamics of the bridge. Consequently, the time-variation (of system matrix \mathbf{N}_k^{WB}) is ignorable for the needs of bridge identification using ST-SSI. For a generic bridge with fundamental frequency around 5Hz and length L^B between 15m and 50m, the $S_{v1} < 0.1$ assumption defines an upper limit for the speed to be between 54km/h for $L^B=15\text{m}$ until 180km/h for $L^B=50\text{m}$. Therefore, for most cases of practical interest the assumption $S_{v1} < 0.1$ can be satisfied, which implies also that the proposed ST-SSI approach is applicable.

Combining the two equations Eqs. (31) and (24) gives the data equation of the output signal

$$\Delta P_k = \mathbf{N}^{\text{Vf}} \mathbf{N}_k^{\text{WB}} \left(\mathbf{N}^{\text{B}} \right)^{k-1} \Delta \mathbf{X}_1^{\text{B}} + \mathbf{N}^{\text{Vf}} \mathbf{N}_k^{\text{WB}} \sum_{q=1}^{k-1} \left(\mathbf{N}^{\text{B}} \right)^{k-q-1} \mathbf{N}^{\text{M}} \mathbf{W}_{N,q}^{\text{B}} \Delta \dot{\lambda}_{N,q} \quad (33)$$

where $\Delta \mathbf{X}_1^{\text{B}}$ stands for the initial state of vector $\Delta \mathbf{X}_k^{\text{B}}$. To apply the ST-SSI method, we need to construct the Hankel matrix using the measured output signal ΔP_k . Then, the Hankel matrix is divided into the “past” part denoted with superscript $()^p$ and the “future” part with superscript $()^f$

$$\mathbf{H}_p = \begin{bmatrix} \mathbf{H}_p^p \\ \mathbf{H}_p^f \end{bmatrix} = \begin{bmatrix} \Delta P_1 & \cdots & \Delta P_{col} \\ \vdots & \ddots & \vdots \\ \Delta P_s & \cdots & \Delta P_{s+col-1} \\ \Delta P_{s+1} & \cdots & \Delta P_{s+col} \\ \vdots & \ddots & \vdots \\ \Delta P_{2s} & \cdots & \Delta P_{2s+col-1} \end{bmatrix} \in R^{2s \times col} \quad (34)$$

where $2s$ is the number of rows in the Hankel matrix which is usually at least tenfold of the DOFs of the bridge system; col is the number of columns in the Hankel matrix; and $(2s + col - 1)$ is the total number of data entries.

Substituting the data equation (Eq. 33) into the Hankel matrix (Eq. 34) yields

$$\mathbf{H}_p = \begin{bmatrix} \mathbf{H}_p^p \\ \mathbf{H}_p^f \end{bmatrix} = \begin{bmatrix} \mathbf{\Gamma} \mathbf{X}^p + \mathbf{\Psi} \mathbf{D}_N \mathbf{U}^p \\ \mathbf{\Gamma} \mathbf{X}^f + \mathbf{\Psi} \mathbf{D}_N \mathbf{U}^f \end{bmatrix} \quad (35)$$

where $\mathbf{\Gamma}$ is the observability matrix containing the unknown bridge system matrix \mathbf{N}^{B} , expressed as

$$\mathbf{\Gamma} = \begin{bmatrix} (\mathbf{N}^{Vf} \mathbf{N}_k^{WB})^T & (\mathbf{N}^{Vf} \mathbf{N}_k^{WB} \mathbf{N}^B)^T & \dots & (\mathbf{N}^{Vf} \mathbf{N}_k^{WB} (\mathbf{N}^B)^{s-1})^T \end{bmatrix}^T \in R^{s \times 2N_o}. \quad (36)$$

where N_o is the estimated system order in the identification process, which is similar to the DOFs of the bridge. The proposed ST-SSI method usually begins with a small system order (e.g., $N_o = 2$), and repeats the identification procedure with increasing system orders until the identification results become stable. In general, this cycle may not terminate until the estimated system order N_o is larger than the DOFs required for the corresponding bridge modes. Appendix 5.2 gives details of the remaining matrices of Eq.(35).

Both Hankle matrices (“past” \mathbf{H}_p^p and “future” \mathbf{H}_p^f) in Eq.(35) have two components: (i) $\mathbf{\Gamma X}^p$ and $\mathbf{\Gamma X}^f$ related to the bridge, and (ii) $\mathbf{\Psi D}_N \mathbf{U}^p$ and $\mathbf{\Psi D}_N \mathbf{U}^f$ related to the position and magnitude of the contact force. For the needs of ST-SSI, the next step is to remove the components unrelated to the bridge (i.e., $\mathbf{\Psi D}_N \mathbf{U}^p$ and $\mathbf{\Psi D}_N \mathbf{U}^f$) from the known Hankel matrices (i.e., \mathbf{H}_p^p and \mathbf{H}_p^f). Projecting the “future” Hankel matrix \mathbf{H}_p^f onto the “past” Hankel matrix \mathbf{H}_p^p (Van Overschee & De Moor, 2012) gives

$$\text{proj}_{\mathbf{H}_p^p}(\mathbf{H}_p^f) = \text{proj}_{\mathbf{X}^p}(\mathbf{\Gamma X}^f) + \text{proj}_{\mathbf{X}^p}(\mathbf{\Psi D}_N \mathbf{U}^f) + \text{proj}_{\mathbf{U}^p}(\mathbf{\Gamma X}^f) + \text{proj}_{\mathbf{U}^p}(\mathbf{\Psi D}_N \mathbf{U}^f). \quad (37)$$

It is evident that the “future” contact force $\mathbf{\Psi D}_N \mathbf{U}^f$ does not affect the “past” bridge response $\mathbf{\Gamma X}^p$, hence $\text{proj}_{\mathbf{X}^p}(\mathbf{\Psi D}_N \mathbf{U}^f) = 0$. Further, for the assumed dimensionless speed S_{v1} values (i.e., $S_{v1} < 0.1$) the dynamic magnification factor is close to 1. Thus, the dynamic response is minor compared with the static response (Yang et al., 2004b) and the “past” and “future” VBI systems become two independent problems. Then, the “past” and “future” contact forces (i.e., $\mathbf{\Psi D}_N \mathbf{U}^p$ and $\mathbf{\Psi D}_N \mathbf{U}^f$) become independent, which implies $\text{proj}_{\mathbf{U}^p}(\mathbf{\Psi D}_N \mathbf{U}^f) = 0$. Also, the “past” contact force $\mathbf{\Psi D}_N \mathbf{U}^p$ is unrelated to the “future” bridge response $\mathbf{\Gamma X}^f$, which means $\text{proj}_{\mathbf{U}^p}(\mathbf{\Gamma X}^f) = 0$. Consequently, the common part of the “past” \mathbf{H}_p^p and “future” \mathbf{H}_p^f only relates to the bridge, coming from $\mathbf{\Gamma X}^p$ and $\mathbf{\Gamma X}^f$ as

$$\text{proj}_{\mathbf{H}_p^p}(\mathbf{H}_p^f) = \text{proj}_{\mathbf{X}^p}(\mathbf{\Gamma X}^f) = \mathbf{\Gamma X}^f \mathbf{\Pi}_{\mathbf{X}^p} \quad (38)$$

where $\Pi_{\mathbf{X}^p}$ denotes the subspace of the matrix \mathbf{X}^p . The outcome of the projection, matrix $\Gamma \mathbf{X}^f \Pi_{\mathbf{X}^p}$, is equal to the matrix $\mathbf{L}_{21} \mathbf{Q}_1^T$ from the LQ decomposition of the Hankel matrix (Appendix A.3)

$$\mathbf{H}_p = \begin{bmatrix} \mathbf{H}_p^p \\ \mathbf{H}_p^f \end{bmatrix} = \begin{bmatrix} \mathbf{L}_{11} & \mathbf{0} \\ \mathbf{L}_{21} & \mathbf{L}_{22} \end{bmatrix} \begin{bmatrix} \mathbf{Q}_1^T \\ \mathbf{Q}_2^T \end{bmatrix} \in R^{2s \times col} \quad (39)$$

where the submatrices $\mathbf{L}_{11}, \mathbf{L}_{21}, \mathbf{L}_{22} \in R^{s \times s}$ are those of the lower triangular matrices generated by LQ decomposition, and the submatrices $\mathbf{Q}_1^T, \mathbf{Q}_2^T \in R^{s \times col}$ are both orthogonal matrices.

Lastly, the combination of the LQ decomposition and the orthogonal projection returns (Appendix A.3)

$$\mathbf{L}_{21} = \Gamma \mathbf{X}^f \Pi_{\mathbf{X}^p} \mathbf{Q}_1. \quad (40)$$

After establishing the relation between the observability matrix Γ and the known matrix \mathbf{L}_{21} , the proposed ST-SSI method can identify bridge frequencies by seeking a similar matrix of Γ and extracting the information related to the bridge, following the standard approach of SSI (Katayama, 2006).

In summary, the proposed method requires the measurement of the dimensionless residual contact force $\Delta \lambda_N$ ($\Delta \lambda_{N,wj}$), the dimensionless residual response Δu^V (Δu_{wj}^V) and Δu^V (Δu_{wj}^V), the damping ratio ζ^V (C_{cj}^V), and the vehicle frequency ω^V (ω_l^V) of the SDOF (MDOF) vehicle (respectively). In the case of an MDOF vehicle the method also requires the knowledge of the stiffness ratio K_{cj}^V , the contact stiffness k_{cj} , and the damping coefficient c_{cj} , of the wheel selected for measuring. Lastly, recall that the internal parameters of the vehicle are not required for the bridge system identification through ST-SSI, nor any parameter/measurement of the bridge.

353

354 **3 Verification of ST-SSI with numerical simulations**

This section validates the ST-SSI method numerically. To this end, it first simulates VBI by solving Eq.(3) (or Eq. 15 for the MDOF vehicle model accordingly) and Eq. (4) to compute the response of the vehicle. It then applies the ST-SSI method to the output signal ΔP (Eq. 8 for the SDOF vehicle and

358

359

Table 1. Properties of the bridge

Length L^B (m)	flexural rigidity EI (kNm ²)	per-unit-mass μ (kg/m)	f_1^B (Hz)	f_2^B (Hz)
30	2.05×10^8	3.6×10^4	4.15	16.19

360

Table 2. Properties of the test-vehicles

vehicle No.	m^V (kg)	k_c (N/m)	c_c (Ns/m)	ζ^V	f^V (Hz)
1	5000	2.84×10^5	3.77×10^4	0.5	1.2
2	5000	1.78×10^6	5.65×10^4	0.3	3
3	10000	9.87×10^6	2.51×10^5	0.4	5

361

362 Eq. 17 for the MDOF vehicle respectively) from the vehicle to identify bridge frequencies. Table 1
 363 shows the properties of the target bridge (Yang & Yau, 2017) to be identified.

364

365 3.1 Numerical cases with SDOF vehicle model

366 Key to the success of the proposed ST-SSI method is to minimize the noise in the output signal ΔP
 367 by controlling the coefficient α so that $\alpha \leq 10^{-3}$. Equation (13) implies that a vehicle with high
 368 frequency, small damping ratio, and similar driving speeds in two runs is preferred by the ST-SSI
 369 method. This section validates the ST-SSI method using three test-vehicles with different properties
 370 (Table 2) and considering the road roughness $RRC=0$ (smooth), 1(very good), 2 (good), 3(average),
 371 and 4 (poor) (ISO 8608, 2016). As a proof of concept, the road roughness profiles for different levels
 372 considered in this study only differ in magnitude, but contain the same components in frequency-
 373 domain. For vehicle 1, the speeds for two runs are: $v_1=9.8\text{m/s}$ (35.3km/h) and $v_2=10\text{m/s}$ (36km/h) to
 374 satisfy the requirement of $\alpha \leq 10^{-3}$. The corresponding dimensionless driving speeds are: ${}_{(1)}S_{v1}=0.039$
 375 and ${}_{(2)}S_{v1}=0.04$, which are both smaller than 0.1; and loading frequencies are: ${}_{(1)}f_{L1}=0.327\text{Hz}$ and
 376 ${}_{(2)}f_{L1}=0.33\text{Hz}$. For vehicle 2 and 3, the speeds for two runs are: $v_1=9\text{m/s}$ (32.4km/h) and $v_2=10\text{m/s}$
 377 (36km/h); the corresponding dimensionless driving speeds are: ${}_{(1)}S_{v1}=0.036$ and ${}_{(2)}S_{v1}=0.04$; and
 378 loading frequencies are: ${}_{(1)}f_{L1}=0.3\text{Hz}$ and ${}_{(2)}f_{L1}=0.33\text{Hz}$.

Figure 3 shows the stabilization diagrams (Katayama, 2006) for the identification results. The dash lines in Fig. 3 (and Fig.4-5 later on) indicate theoretical bridge frequencies, and the circles represent bridge frequencies identified by the proposed ST-SSI method. This study only considers the identification successful if the circles create a line without much variation as the estimated system order N_o increases. A quantitative criterion that quantifies the stability of identified frequencies (Van Overschee & De Moor, 2012) is

$$\left| \frac{f_{N_o} - f_{N_o-1}}{f_{N_o}} \right| \leq \epsilon_f \quad (41)$$

Where f_{N_o} is the identified frequency when the system order is N_o , and $\epsilon_f = 1\%$ is the stability criterion value. The filled circles in the figures represent stable (identified) frequencies according to the stability criterion. In some cases, identified frequencies appear in pairs due to Doppler effect (Yang et al., 2004a). The shifted frequencies $f_{n,left}^B$ and $f_{n,right}^B$ of the bridge relate to the loading frequency ω_{Ln} , as

$$f_{n,left}^B = f_n^B - \omega_{Ln}/2\pi \quad \text{and} \quad f_{n,right}^B = f_n^B + \omega_{Ln}/2\pi. \quad (42)$$

As explained in Section 2.5, a dimensionless speed $S_{v1} < 0.1$ implies the loading frequency $\omega_{Ln}/2\pi$ (Hz) is small compared to the frequency of the bridge f_n^B . According to Eq.(42), the mean value of the two frequencies $f_{n,left}^B$ and $f_{n,right}^B$ returns the target identified frequency.

Further, the error ϵ_n^{id} compares the identified frequency f_n^{id} with the (actual) bridge frequency f_n^B

$$\epsilon_n^{id} = \frac{|f_n^{id} - f_n^B|}{f_n^B}. \quad (43)$$

The identification by the proposed ST-SSI method is considered successful if (i) the error ϵ_n^{id} is smaller than 10%; and (ii) all identified frequencies belong to the bridge.

Vehicles 2 and 3 successfully estimate bridge frequencies according to the proposed ST-SSI method for both smooth and (more importantly) irregular road surface (Figure 3). In the presence of RRCs, redundant circles do appear in the stabilization diagrams, but the lines representing the two identified

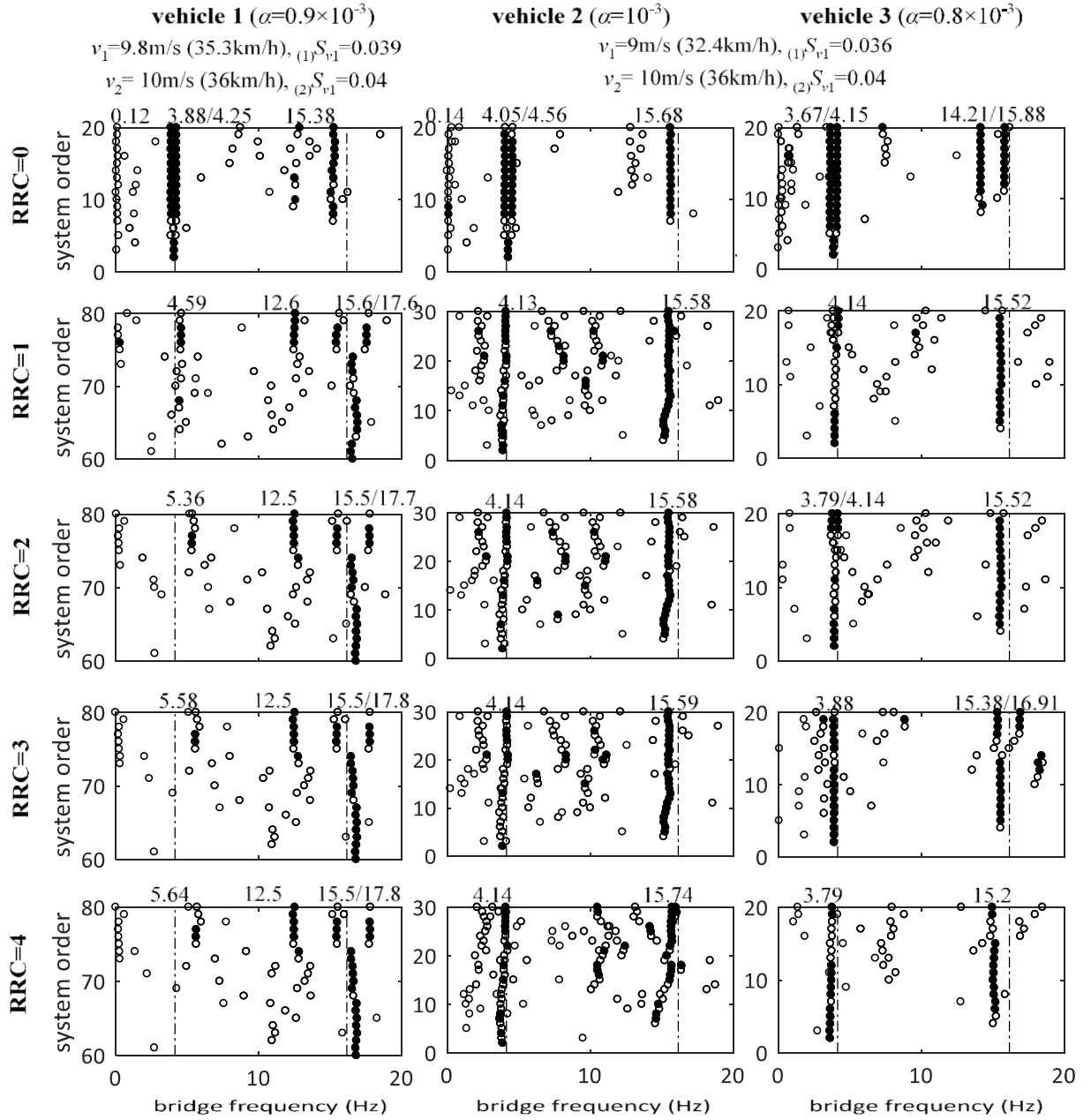


Figure 3. Stabilization diagrams for the identification results of ST-SSI (unit: Hz)

frequencies are clearly distinguishable and stable. Compared to vehicle 2, the heavier vehicle 3 excites more the bridge and leads to identification results with smaller noise. The small frequencies around 0.1Hz for the cases $RRC=0$ are attributed to numerical errors during the VBI analyses. Note that according to the stability criterion $\varepsilon_f=1\%$ (Eq. 41), this frequency is unstable and hence can be ignored. For the case of vehicle 1, ST-SSI identifies bridge frequencies successfully for the smooth road

Table 3. Identification results of the ST-SSI method

vehicle No.	RRC	f_1^{id} (Hz)	ε_1^{id}	f_2^{id} (Hz)	ε_2^{id}	redundant frequency
1	0	4.07	1.9%	15.38	5.0%	0.12Hz
	1	4.59	10.6%	16.60	1.2%	12.6Hz
	2	5.36	29.2%	16.60	1.2%	12.5Hz
	3	5.58	34.5%	16.65	2.8%	12.5Hz
	4	5.64	35.9%	16.65	2.8%	12.5Hz
2	0	4.31	3.9%	15.68	3.2%	0.14Hz
	1	4.13	2.9%	15.58	3.8%	-
	2	4.14	0.2%	15.58	3.8%	-
	3	4.14	0.2%	15.59	3.7%	-
	4	4.14	0.2%	15.74	2.8%	-
3	0	3.91	5.8%	15.05	7.0%	-
	1	4.14	0.2%	15.52	4.1%	-
	2	3.97	4.3%	15.52	4.1%	-
	3	3.88	6.5%	16.15	0.2%	-
	4	3.79	8.7%	15.20	6.1%	-

412 surface. However, the identifications considering RRCs are not good, especially for the fundamental
 413 frequency, even when limiting the coefficient α to $\alpha = 0.9 \times 10^{-3}$. The reason is that the speeds of
 414 vehicle 1 in the two runs are almost identical, which results in similar output signals from the two runs.
 415 Thus the subtraction of two output signals offers very little information about the bridge. Especially
 416 for the cases considering RRCs, the noise from the spatial derivative term $\alpha R(x)'$ in Eq.(9) could be
 417 too strong compared with the output signal ΔP , which worsens the identification. Thus, a special test-
 418 vehicle with deliberately high frequency, small damping ratio, and with a ratio of driving speeds not
 419 greater than 0.9, would be ideally configured to identify bridge frequencies according to the proposed
 420 ST-SSI method.

421 Table 3 summarizes the identified frequencies and corresponding errors. Vehicle 1 fails to identify the
 422 first frequency of the bridge especially in the presence of RRCs. It does identify in some cases the
 423 second frequency, but overall the results of the identification are characterized by redundant
 424 frequencies and errors. In contrast, vehicles 2 and 3 give good identification results for all cases
 425 examined, which is consistent with the conclusions obtained from Figure 3. Note that the identification
 426 results using vehicle 3 have larger but still acceptable errors compared with that from vehicle 2. Since
 427 the vehicle and bridge form a coupled VBI system, the moving vehicle may result in the frequency

variation of the bridge (Yang et al., 2013c). Such effect is ignorable if the vehicle mass is small compared with the bridge mass. However, if the vehicle is heavy the frequency variation should be taken into account, and a larger vehicle mass causes larger deviations in bridge frequencies. Hence it is essential to control also the mass of the test-vehicle.

3.2 Numerical cases with MDOF vehicle model

This section assesses the proposed ST-SSI method with a more realistic MDOF vehicle model (see Fig. 2). Table 4 summarizes the characteristics of the vehicle model, while further details can be found in Li et al. (2012). The present study applies the ST-SSI method assuming the rear-wheel of the vehicle monitors the response during the two runs, and considers the same road roughness conditions as Section 3.1 from RRC=0 (smooth) to 4 (poor) (ISO 8608, 2016).

Figure 4 shows the parameters (primarily combinations of speeds) for the two groups of numerical experiments (case 1 and case 2) examined and the pertinent identification results under different RRCs. As Figure 4 shows, it is feasible to control the coefficient α so that $\alpha \leq 10^{-3}$ even for driving speeds as high as 20m/s (or 72km/h for case 2). As expected, the stabilization diagrams in Figure 4 (and Fig.5 later) are almost identical for different RRCs, since the proposed ST-SSI method has eliminated the effect due to RRCs from the output signal ΔP by limiting the coefficient α . Theoretically, smaller coefficient α should attribute to more similar identification results considering different RRCs. Overall, the proposed ST-SSI method is successful in identifying the fundamental frequency of the bridge even for high driving speed (20m/s or 72km/h for case 2) simultaneously with high levels of RRCs.

Table 4. Properties of MDOF vehicle model

m_1^V (kg)	$k_{c1} = k_{c2}$ (N/m)	$c_{c1} = c_{c2}$ (Ns/m)	f^V (Hz)	K_2^V	C_2^V
4990	7.02×10^5	1600	1.95	0.94	0.026

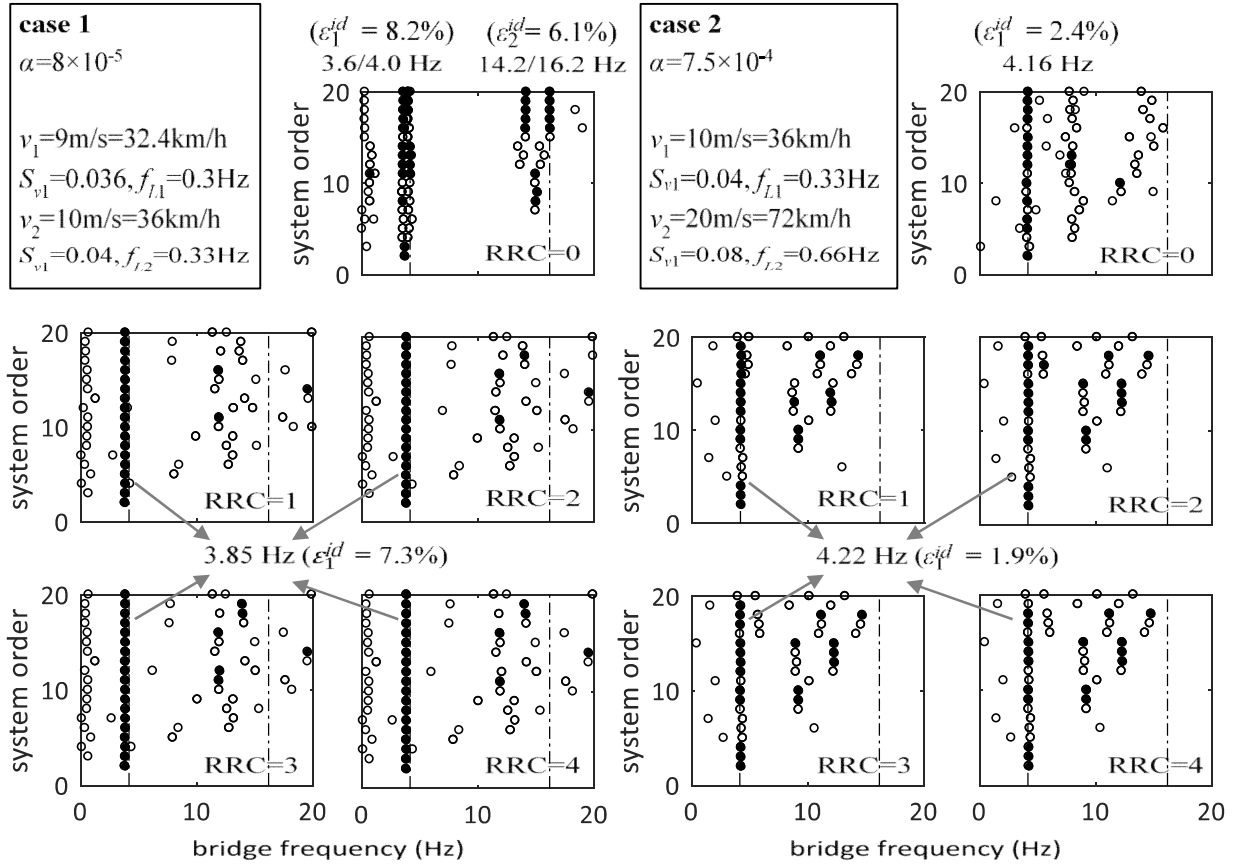


Figure 4. Stabilization diagrams for the identification results of ST-SSI with MDOF vehicle model

On the other hand, the proposed ST-SSI method fails to identify higher mode frequencies. One possible explanation is the inability of a single vehicle to excite higher modes of the bridge. Preliminary research from the authors (Jin et al., 2017; Jin et al., 2018) shows that on-going traffic could be beneficial to the identification of higher frequencies. To demonstrate this, Figure 5 considers a sequence of five vehicles with the properties of Table 4 and a spacing of $d_v=30\text{m}$ between them, traversing the bridge of Table 1. The five vehicles traverse the bridge as a vehicle sequence twice with different driving speeds. Specifically, it applies the proposed ST-SSI method to the response of the rear-wheel of the last vehicle. In both cases of the vehicle sequence, ST-SSI successfully identifies the first two frequencies of the bridge. Comparing Figure 5 to Figure 4, the on-going traffic not only facilitates the identification of the second bridge frequency, but also reduces the noise in stabilization diagrams. In case 2, the high speed (20m/s or 72km/h) enhances the presence of vehicle frequency (2.05Hz) in the identification

when assuming the road surface is smooth. Nevertheless, this phenomenon only exists in the case of ideal road surface without any irregularities, which is unrealistic. In the presence of RRCs, even though the identification results of case 2 are not as stable as in case 1 in Figure 5, all the results are still acceptable (see Table 5 for the corresponding errors).

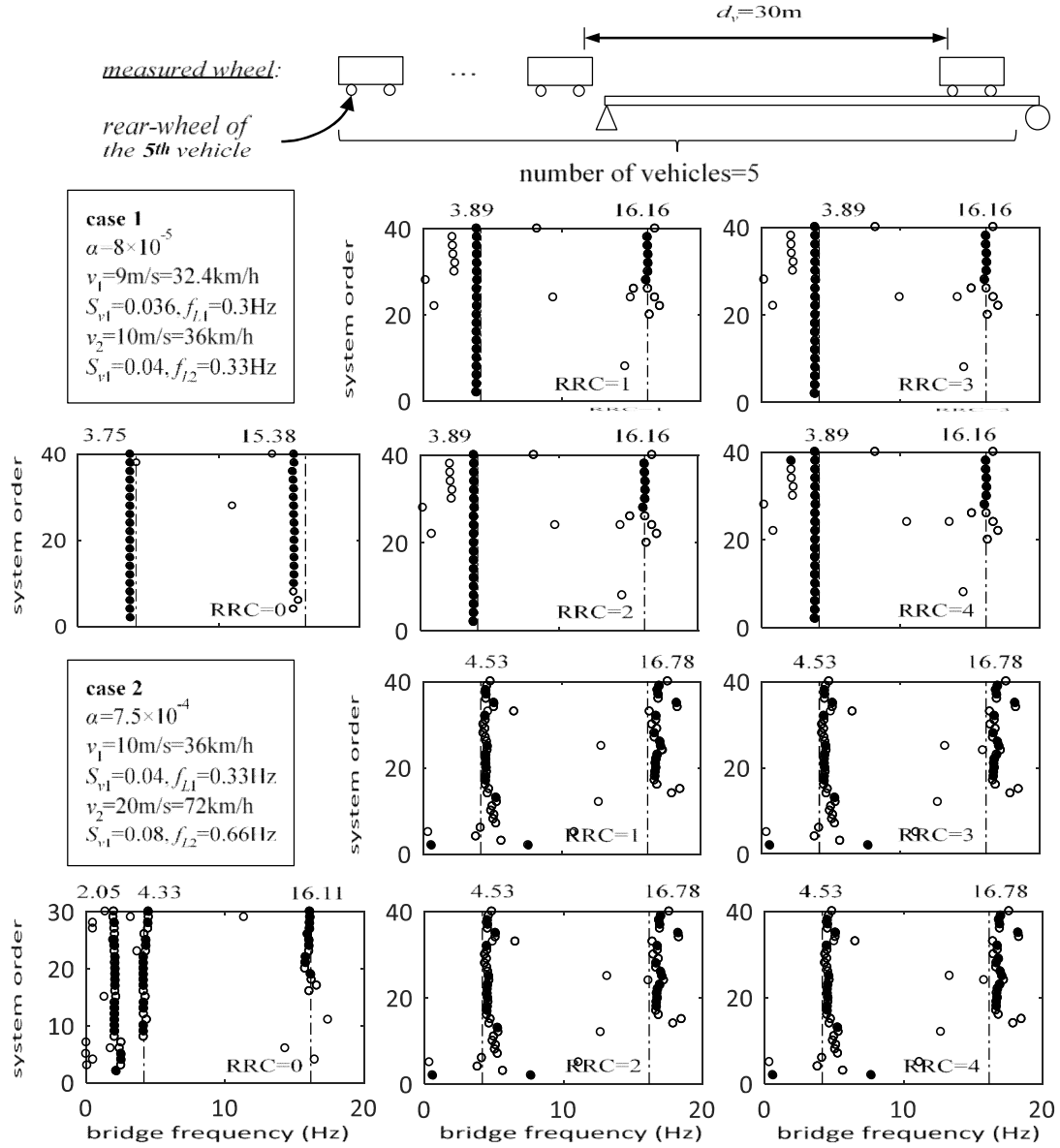


Figure 5. Stabilization diagrams for the identification results of ST-SSI for a sequence of vehicles

Table 5. Identification results of the ST-SSI method considering a sequence of vehicles

case No.	RRC	f_1^{id} (Hz)	ε_1^{id}	f_2^{id} (Hz)	ε_2^{id}	redundant frequency
1	0	3.75	9.6%	15.38	5.0%	-
	1~4	3.89	6.3%	16.16	0.2%	-
2	0	4.33	4.3%	16.11	0.5%	2.05Hz(vehicle)
	1~4	4.53	9.1%	16.78	3.6%	-

In summary, with the assistance of vehicle sequence, discussions in this section demonstrate the feasibility of identifying the bridge frequencies using a normal vehicle with practical speeds and considering RRCs simultaneously. The additional traffic enhanced the identification of bridge frequencies using measured vehicle response.

4 Conclusions

The present study offers fundamental analytical research to contribute towards an alternative indirect approach to scan bridges using test-vehicles. Conveniently, a test-vehicle may act as a portable measuring tool to identify frequencies of non-monitored bridges. In this context, the present study establishes a short-time stochastic subspace identification (ST-SSI) approach to estimate bridge frequencies by processing data from a traversing vehicle. The identification is challenging because of coupled and time-varying nature of vehicle-bridge systems.

The proposed method relies solely on the knowledge of dynamic characteristics and response of the test-vehicle, and requires no information about the bridge. The study employs a dimensionless description to deal with the time-varying nature of the VBI system, and demonstrates the feasibility and limitations of applying ST-SSI to the VBI problem. Also, the proposed approach efficiently eliminates the negative effect due to road roughness by controlling the speeds of the same vehicle for two runs. The analysis offers, for the first time to the knowledge of the authors, analytical proof of this idea for arbitrary vehicles. Crucially, this study proves it is feasible to achieve the identification of bridge frequencies via indirect identification techniques for the test-vehicle with speeds much higher (e.g., 10m/s = 36km/h and 20m/s = 72km/h) than previously considered making the proposed approach

more practical. Numerical experiments using both SDOF vehicles and a more realistic MDOF vehicle validate the proposed ST-SSI method. The SDOF vehicle can efficiently identify the first two frequencies of the examined bridge, even considering high levels of RRCs. Analyses show that a test-vehicle, with high frequency, small damping ratio and with a driving speed ratio not greater than 0.9, is preferable for the needs of the proposed approach. Focusing on the MDOF vehicle, a single vehicle is only sufficient to identify the fundamental frequency of the bridge. The identification of higher-mode frequencies requires the vehicle sequence to amplify the vibration of the bridge with higher modes.

Appendix

A.1 Order of magnitude estimation of shape functions derivative vector -

The matrix $\mathbf{W}_N^B(\tilde{x}) = [N_1 \ N_2 \ N_3 \ N_4]$ defines the position of the contact forces $\tilde{\lambda}_N(t)$ between the vehicle and the bridge, with the aid of the shape functions

$$N_1 = 1 - 3\frac{\Delta\tilde{x}^2}{L_e^2} + 2\frac{\Delta\tilde{x}^3}{L_e^3}, N_2 = \Delta\tilde{x}\left(1 - 2\frac{\Delta\tilde{x}}{L_e} + \frac{\Delta\tilde{x}^2}{L_e^2}\right), N_3 = 3\frac{\Delta\tilde{x}^2}{L_e^2} - 2\frac{\Delta\tilde{x}^3}{L_e^3}, N_4 = \Delta\tilde{x}\left(-\frac{\Delta\tilde{x}}{L_e} + \frac{\Delta\tilde{x}^2}{L_e^2}\right) \quad (44)$$

where L_e is the length of the element for the bridge model in forward VBI analysis which is usually smaller than 1m, $\Delta\tilde{x} \in [0, L_e]$ is the distance between the start point of the element and the position of contact force. The corresponding derivative term is $d\mathbf{W}_N^B(\tilde{x})/d\tilde{x} = [dN_1 \ dN_2 \ dN_3 \ dN_4]/d\Delta\tilde{x}$ with the functions as

$$\frac{dN_1}{d\Delta\tilde{x}} = -6\frac{\Delta\tilde{x}}{L_e^2} + 6\frac{\Delta\tilde{x}^2}{L_e^3}, \frac{dN_2}{d\Delta\tilde{x}} = 1 - 4\frac{\Delta\tilde{x}}{L_e} + 3\frac{\Delta\tilde{x}^2}{L_e^2}, \frac{dN_3}{d\Delta\tilde{x}} = 6\frac{\Delta\tilde{x}}{L_e^2} - 6\frac{\Delta\tilde{x}^2}{L_e^3}, \frac{dN_4}{d\Delta\tilde{x}} = -2\frac{\Delta\tilde{x}}{L_e} + 3\frac{\Delta\tilde{x}^2}{L_e^2} \quad (45)$$

This matrix with dimensionless position $x = \tilde{x}/L^B$ is $\mathbf{W}_N^B(x) = \mathbf{W}_N^B(\tilde{x})$, and the differentiation term is $\mathbf{W}_N^B(x)' = d\mathbf{W}_N^B(x)/dx = L^B d\mathbf{W}_N^B(\tilde{x})/d\tilde{x}$. The order of magnitude of the matrix $\mathbf{W}_N^B(\tilde{x})$ is approximately 1. The value of the differentiation term $d\mathbf{W}_N^B(\tilde{x})/d\tilde{x}$ relates to the element length L_e . For instance, $dN_1/d\Delta\tilde{x}$ has the value between $-3/2L_e$ and 0. Hence, one can estimate the order of magnitude as $O(\mathbf{W}_N^B(x)') = O(L^B d\mathbf{W}_N^B(\tilde{x})/d\tilde{x}) = O(L^B/L_e) \approx 10^2$.

521

522 A.2 Matrices in Hankel matrix

523 \mathbf{X}^p and \mathbf{X}^f are the state vectors, composed of the bridge response

$$524 \quad \mathbf{X}^p = [\Delta \mathbf{X}_1^B \quad \Delta \mathbf{X}_2^B \quad \dots \quad \Delta \mathbf{X}_{col}^B] \in R^{2N \times col} \quad (46)$$

$$525 \quad \mathbf{X}^f = [\Delta \mathbf{X}_{s+1}^B \quad \Delta \mathbf{X}_{s+2}^B \quad \dots \quad \Delta \mathbf{X}_{s+col}^B] \in R^{2N \times col}, \quad (47)$$

526 Ψ is the Toeplitz matrix, containing bridge properties

$$527 \quad \Psi = \begin{bmatrix} \mathbf{0} & \mathbf{0} & \mathbf{0} & \dots & \mathbf{0} \\ \mathbf{N}^{Vf} \mathbf{N}_k^{WB} & \mathbf{0} & \mathbf{0} & \dots & \mathbf{0} \\ \mathbf{N}^{Vf} \mathbf{N}_k^{WB} \mathbf{N}^B & \mathbf{N}^{Vf} \mathbf{N}_k^{WB} & \mathbf{0} & \dots & \mathbf{0} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \mathbf{N}^{Vf} \mathbf{N}_k^{WB} (\mathbf{N}^B)^{s-2} & \mathbf{N}^{Vf} \mathbf{N}_k^{WB} (\mathbf{N}^B)^{s-3} & \mathbf{N}^{Vf} \mathbf{N}_k^{WB} (\mathbf{N}^B)^{s-4} & \dots & \mathbf{0} \end{bmatrix} \in R^{s \times 2Ns}, \quad (48)$$

528 \mathbf{D}_N is the diagonal matrix including the mass matrix of the bridge

$$529 \quad \mathbf{D}_N = \begin{bmatrix} \mathbf{N}^M & & \\ & \ddots & \\ & & \mathbf{N}^M \end{bmatrix} \in R^{2Ns \times 2Ns}, \quad (49)$$

530 \mathbf{U}^p and \mathbf{U}^f respectively represent the “past” and “future” Hankel matrices related to the position
531 and magnitude of the contact force between the vehicle and the bridge, such that

$$532 \quad \mathbf{U}^p = \begin{bmatrix} \mathbf{W}_{N,1}^B \Delta \tilde{\lambda}_{N,1} & \dots & \mathbf{W}_{N,j}^B \Delta \tilde{\lambda}_{N,col} \\ \vdots & \ddots & \vdots \\ \mathbf{W}_{N,s}^B \Delta \tilde{\lambda}_{N,s} & \dots & \mathbf{W}_{N,s+col-1}^B \Delta \tilde{\lambda}_{N,s+col-1} \end{bmatrix} \in R^{2Ns \times col} \quad (50)$$

$$533 \quad \mathbf{U}^f = \begin{bmatrix} \mathbf{W}_{N,s+1}^B \Delta \tilde{\lambda}_{N,s+1} & \dots & \mathbf{W}_{N,s+col}^B \Delta \tilde{\lambda}_{N,s+col} \\ \vdots & \ddots & \vdots \\ \mathbf{W}_{N,2s}^B \Delta \tilde{\lambda}_{N,2s} & \dots & \mathbf{W}_{N,2s+col-1}^B \Delta \tilde{\lambda}_{N,2s+col-1} \end{bmatrix} \in R^{2Ns \times col} \quad (51)$$

534

535 A.3 Orthogonal projection using LQ decomposition

536 Using LQ decomposition of the Hankel matrix, one can write

$$537 \quad \mathbf{H}_p = \mathbf{L}_{11} \mathbf{Q}_1^T \quad (52)$$

$$\mathbf{H}_p^f = \mathbf{L}_{21} \mathbf{Q}_1^T + \mathbf{L}_{22} \mathbf{Q}_2^T. \quad (53)$$

According to the orthogonal projection theorem and Eqs (52) and (53), the projection of the “future” matrix \mathbf{H}_p^f onto the “past” matrix \mathbf{H}_p^p is

$$\text{proj}_{\mathbf{H}_p^p}(\mathbf{H}_p^f) = (\mathbf{L}_{21} \mathbf{Q}_1^T + \mathbf{L}_{22} \mathbf{Q}_2^T) (\mathbf{L}_{11} \mathbf{Q}_1^T)^T (\mathbf{L}_{11} \mathbf{Q}_1^T \mathbf{Q}_1 \mathbf{L}_{11}^T)^{-1} \mathbf{L}_{11} \mathbf{Q}_1^T = \mathbf{L}_{21} \mathbf{Q}_1^T \mathbf{Q}_1 \mathbf{Q}_1^T + \mathbf{L}_{22} \mathbf{Q}_2^T \mathbf{Q}_1 \mathbf{Q}_1^T \quad (54)$$

Applying the orthogonality conditions (i.e., $\mathbf{Q}_i^T \mathbf{Q}_j = \mathbf{I}$ for $i = j$, and $\mathbf{Q}_i^T \mathbf{Q}_j = \mathbf{0}$ for $i \neq j$) reduces the preceding equation to

$$\text{proj}_{\mathbf{H}_p^p}(\mathbf{H}_p^f) = \mathbf{L}_{21} \mathbf{Q}_1^T \quad (55)$$

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