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Research Report

**SPON1** Is Associated with Amyloid-β and **APOE** ε4-Related Cognitive Decline in Cognitively Normal Adults

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Abstract.

**Background:** Genetic variation in Spondin-1, specifically rs11023139, has been associated with reduced rates of cognitive decline in individuals with Alzheimer’s disease.

**Objective:** The aim of this study was to assess whether the association was present in cognitively normal older adults.

**Methods:** Longitudinal cognitive decline was investigated using linear mixed modelling in a cohort of 590 cognitively normal older adults enrolled in the Australian Imaging, Biomarkers and Lifestyle Study.

**Results:** No independent effect of Spondin-1 rs11023139 on cognitive decline was observed. However, significant associations were observed for the interaction between Apolipoprotein E (APOE) ε4 and rs11023139 in individuals with high amyloid-β burden. APOE ε4/rs11023139-A carriers declined significantly faster than APOE ε4/rs11023139-G_G carriers in measures of global cognition \( (p = 0.011) \) and verbal episodic memory \( (p = 0.020) \).

**Conclusion:** These results suggest that carriage of the Spondin-1 rs11023139-A allele significantly contributes to a worsening of cognitive performance in APOE ε4 cognitively normal older adults with a high neocortical amyloid-β burden.

Keywords: Alzheimer’s disease, amyloid-β, APOE, cognitive decline, SPON1, Spondin-1

INTRODUCTION

Alzheimer’s disease (AD) is characterized by significant impairment in memory and cognition, which occurs gradually as the disease progresses \[1\]. Deterioration in cognition can also be observed in cognitively normal (CN) older adults, particularly those with evidence of pathological changes characteristic of AD, such as an elevated neocortical amyloid-β (Aβ) burden \[2, 3\], a feature included in the National Institute on Aging and Alzheimer’s Association (NIA/AA) recommended criteria for preclinical AD \[4\]. Although the presence of abnormally high levels of Aβ and tau, which define the preclinical AD stage, are associated with subtle decline in cognition, there remains substantial between person variability in the rate of this decline, suggesting other biological factors may act to influence this. Understanding these rates of change in cognition in preclinical AD and how they are influenced will not only assist with the development of disease intervention strategies but will also significantly contribute to furthering our understanding of the genesis of the disease itself.

In preclinical AD, rates of cognitive change have previously been shown to be influenced by genetic factors. Particularly, allelic variations within the genes encoding apolipoprotein E (ApoE; APOE) \[5–7\], brain derived neurotropic factor (BDNF) \[8, 9\], and Kidney and Brian expressed protein (KIBRA) \[10\] have all been associated with differences in cognitive performance over time at this stage of the disease. Cognitive domains known to deteriorate early in the disease process, i.e., episodic memory, have been observed to be influenced significantly by genetic variation.

In a genome-wide association study (GWAS) for the Alzheimer’s Disease Assessment Scale–cognitive subscale (ADAS-Cog) performance in the Alzheimer’s Disease Neuroimaging Initiative (ADNI), an association of rs11023139, within the Spondin-1 (F-Spondin; SPON1) gene located on chromosome 11 (11p15.5), in those clinically diagnosed with AD (though in the absence of reported Aβ status) was identified \[11\]. The study found associations of the minor allele (A) with reduced rates of decline on the ADAS-Cog and on subsequent analysis with reduced rates of decline on the Rey Auditory Verbal Learning Test (RA VLT) and Mini-Mental State Examination (MMSE) \[11\]. Spondin-1 is an extracellular matrix protein involved in the growth of axons during embryonic development \[12, 13\], differentiation of neural cells \[14\], and the promotion of axonal regeneration post-injury \[15\]. Overexpression of Spondin-1 in the brains of wild type and AD-transgenic mice has been associated with improvements in spatial learning and memory, and the reduction of Aβ plaque load \[16\]. Spondin-1 has also been implicated in pathways involved in AD pathology. Specifically, it was identified in a screen of proteins interacting with amyloid-β protein precursor (AβPP) in vivo \[17\]. In particular, Spondin-1 has been shown to bind to the extracellular domain of AβPP inhibiting its cleavage by beta-secretase \[18\].

As the previous study linking SPON1 rs11023139 with cognitive performance was undertaken at the advanced clinical stages of AD \[11\], the aim of this current study was to determine whether this association with cognitive decline was also present in the preclinical stages of AD. In this context the relationship between SPON1 rs11023139 and preclinical Aβ-related cognitive decline can be assessed.
in the absence of several potential confounds, including the influence of: consequent disease processes (e.g., inflammation, vascular deterioration), substantial generalized diminished intellectual ability that occurs in dementia that may reduce the reliability of cognitive assessment, or potential floor effects of cognitive assessments that may reduce sensitivity to any acceleration of disease related change in cognition. The hypothesis of the study was that SPON1 rs11023139 would exert a modifying effect on Aβ and APOE ε4 mediated cognitive decline. To address these research questions longitudinal cognitive data, collected as part of the Australian Imaging, Biomarkers and Lifestyle Study of Aging (AIBL) was utilized. This study focused on cognitively normal older adults with known neocortical Aβ burden.

MATERIALS AND METHODS

Participants

Participants for the study described here included 590 CN older adults recruited as part of the larger AIBL Study, for which information regarding enrolment, neuropsychological assessment and diagnostic criteria has been previously published [19]. Follow-up assessment occurred every 18-months, with 7.5 years of longitudinal data being utilized in this study. All assessments (neuropsychological, imaging, and laboratory) for each time-point were acquired within 3-months of each other. Ethics approval had been granted for the AIBL Study by all member institutions; Austin Health, St Vincent’s Health, Hollywood Private Hospital, and Edith Cowan University, and informed written consent was provided by all participants.

Cognitive measures

All 18-monthly AIBL study follow-ups involve neuropsychological, clinical and intelligence testing as previously described [19]. The AIBL neuropsychological test battery consists of the following: MMSE, Clock Drawing Test, California Verbal Learning Test-Second edition (CVLT-II), Logical Memory I and II (LMI; LMII; Story A only), D-KEFS verbal fluency, the 30-item version of the Boston Naming Test (BNT), Wechsler Test of Adult Reading (WTAR) for premorbid IQ, Digit Span and Digit Symbol-Coding subtests of the Wechsler Adult Intelligence Scale-Third edition (WAIS-III), the Stroop task (Victoria version), and the Rey Complex Figure Test (RCFT). In addition, data from the Clinical Dementia Rating (CDR), Geriatric Depression Scale (GDS), and WTAR-estimated premorbid IQ (WAIS-III Full Scale Intelligence Quotient (FSIQ)) were collected. Previously calculated cognitive composite scores, aiming to measure preclinical cognitive performance, were utilized in the current study [20, 21]. Burnham et al. developed a statistically derived composite measures of global cognition (CDRSB, MMSE, LMII, CVLTFP, and Clock) and verbal episodic memory (CDR sum of boxes (CDRsb), LMII, CVLT false positives (CVLTFP) and long delay free recall (CVLT1Dfr)), both of which were corrected for age, gender, years of education, premorbid IQ and depressive symptoms [20]. Donohue et al. have previously published the Pre-Alzheimer’s cognitive composite (PACC (CVLT-II, LMII, MMSE, WAIS-III subscales)), which acted as an additional measure of global cognition specific to preclinical AD [21].

Amyloid-β imaging

As described previously [22–24], study participants underwent Aβ imaging with positron emission tomography (PET) using 11C-Pittsburgh Compound B (PiB), 18F-florbetapir or 18F-flutemetamol radiolabelled tracers. Generation of PET standardized uptake value (SUV) ratio (SUVR) data was through the use of CapAIBL, a web based freely available MR-less methodology [25]. SUVs were summed and normalized to areas specific to the radiolabelled tracer used. That is, cerebellar cortex SUV for PiB, whole cerebellum SUV for florbetapir or pons SUV for flutemetamol, thus generating the target-region to reference-region SUVRs. The current study utilized a binary low (Aβ(low)) or high (Aβ(high)) Aβ burden classification. This classification was determined by a participant’s SUVR being higher than the tracer-specific threshold at any time point for which they were imaged. The tracer-specific SUVR thresholds utilized in this study are: ≥ 1.5, ≥ 1.10 and ≥ 0.62 for PiB, florbetapir and flutemetamol, respectively, as previously described [26].

Genotyping

A 5 ml aliquot of whole blood taken as part of the AIBL protocol [19] and was utilized for DNA extraction and subsequent single nucleotide polymorphism (SNP) genotyping, as previously described [10, 27–29]. QIAamp DNA Blood Maxi Kits (Qiagen,
Hilden, Germany) were used for DNA extraction and TaqMan® genotyping assays (Life Technologies, Carlsbad, CA) for APOE (rs7412, assay ID: C_904973_10; rs429358, assay ID: C_3084793_20) and SPON1 (rs11023139, assay ID: C_55174_30) genotyping. TaqMan® genotyping assays were performed using the QuantStudio 12K Flex™ Real-Time-PCR systems (Applied Biosystems, Foster City, CA) and TaqMan® GTXpress™ Master Mix (Life Technologies). Methodologies outlined in the manufacturer’s instructions were followed for kits and assays detailed above.

Data analyses

All analyses detailed in this study use a dichotomized APOE ε4 carrier status, being defined as the presence (1 or 2 copies; APOE ε4+ve) or absence (0 copies; APOE ε4-ve) of the ε4 allele. Further, all analyses for SPON1 rs11023139 (henceforth referred to as SPON1) were performed based on the dominant model of the minor allele, A, in line with the findings of the initial GWAS [11]. That is, the absence of A (SPON1A–; G,G) compared with its presence (SPON1A+; A,A, G,A). The following statistical analyses were performed in RStudio (RStudio Team 2015) Version 0.98.1103 for Macintosh [30]. Analysis of baseline demographic data included means/counts and standard deviations/percentages for all variables. To determine whether significant differences between SPON1A– and SPON1A+ were present in demographic variables analysis of variance (ANOVA) and chi-squared tests were used.

To assess the influence of SPON1, Aβ, and APOE ε4 on longitudinal cognitive performance, linear mixed-effects (LME) models were performed using the R “nlme” package. Two planned interactions were conducted to investigate independent and interactional effects. In all analyses, cognitive composite scores are included in the models as dependent variables. In analyses assessing AIBL-PACC age is included as a covariate in addition to those specifically mentioned below. Initially, a SPON1×Time interaction was modelled in the complete cognitively normal sample, controlling for APOE ε4 and Aβ status. To determine whether there was a modifying effect of SPON1, on Aβ and APOE ε4 mediated decline, the sample was first stratified by Aβ status. Following this, an APOE×SPON1×Time interaction was modelled in each group. All analyses were corrected for the False Discovery Rate (FDR) using Q-Value (bootstrap method) [31].

RESULTS

SPON1 rs11023139 and cognition in cognitively normal older adults

Displayed in Table 1 is the demographic information for the cognitively normal sample utilized in the current study stratified by SPON1A. No significant differences were observed on any demographic measures, including ε4 carriage (Table 1). The SPON1A+ group had a higher proportion of Aβhigh individuals, though this did not reach statistical significance (52% versus 42%; p = 0.1575).

When investigating the independent effect of SPON1, differences in longitudinal cognitive performance between SPON1A+ and SPON1A– were observed, however these differences did not reach statistical significance (Table 2, Fig. 1). In the measure of global cognition (p = 0.075, q = 0.105)
and verbal episodic memory ($p = 0.084; q = 0.114$), decline was observed in the $SPON1^A+$ group, but not the $SPON1^A-$ group, this difference trended towards significant (Table 2, Fig. 1). No significant differences are reported in the AIBL-PACC based on carriage of $SPON1^A$ ($p = 0.797$; Table 2, Fig. 1).

$SPON1$ rs11023139 and cognition in cognitively normal older adults stratified by $\alpha\beta$

In $\alpha\beta^{\text{low}}$ CN older adults, the $APOE\epsilon4$-ve/$SPON1^A+$ group declined significantly faster when compared to $APOE\epsilon4$-ve/$SPON1^A-$ in measures of global cognition ($p = 0.037, q = 0.062$) and verbal episodic memory ($p = 0.047, q = 0.071$; Table 3, Fig. 2). However, these significances did not sur- vive correction for the false discovery rate. When investigating $\alpha\beta^{\text{high}}$ CN older adults, the $APOE\epsilon4$-ve/$SPON1^A+$ group showed a significantly greater rate of decline on the global composite ($p = 0.0005, q = 0.004; p = 0.0004 q = 0.004$), verbal episodic memory ($p = 0.001, q = 0.006; p = 0.001, q = 0.005$), and the AIBL-PACC ($p = 0.030, q = 0.059; p = 0.019 q = 0.048$), over seven and a half years relative to the $APOE\epsilon4$-ve/$SPON1^A-$ and $APOE\epsilon4$-ve/$SPON1^A+$ groups (Table 3, Fig. 2). Similarly, assessment of the $APOE\epsilon4$-ve/$SPON1^A-$ group showed a significantly greater rate of decline on all composites; global ($p = 0.007, q = 0.02; p = 0.021, q = 0.048$), verbal episodic memory ($p = 0.015, q = 0.042; p = 0.027, q = 0.056$), and AIBLPACC ($p = 0.0008, q = 0.005; p = 0.006, q = 0.022$), relative to the $APOE\epsilon4$-ve/$SPON1^A-$ and $APOE\epsilon4$-ve/$SPON1^A+$ groups (Table 3, Fig. 2). When compared to the $APOE\epsilon4$-ve/$SPON1^A-$ group, the $APOE\epsilon4$-ve/$SPON1^A+$ group showed a signifi- cantly greater rate of decline on the global composite ($p = 0.011, q = 0.033$), and verbal episodic memory ($p = 0.020, q = 0.048$; Table 3, Fig. 2).

### DISCUSSION

While this study did not observe an independent association between $SPON1$ and cognitive decline in a preclinical AD sample, as previously reported [11], the hypothesis that $SPON1$ modifies $\alpha\beta$ and $APOE\epsilon4$ driven cognitive decline in the preclinical stages of AD was supported. However, whilst Sherva and colleagues [11] reported that carriage of the minor allele of rs11023139 ($SPON1^A+$) was
Table 3

Slopes for cognitive composites in cognitively normal older adults stratified by amyloid-β, APOE ε4 interaction

<table>
<thead>
<tr>
<th>APOE ε4-ve / SPON1</th>
<th>APOE ε4+ve / SPON1</th>
<th>APOE ε4-ve / SPON1</th>
<th>APOE ε4+ve / SPON1</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>APOE ε4-ve / SPON1</td>
<td>APOE ε4+ve / SPON1</td>
<td>APOE ε4-ve / SPON1</td>
</tr>
<tr>
<td>Aβlow</td>
<td>n = 255</td>
<td>n = 23</td>
<td>n = 53</td>
</tr>
<tr>
<td>Global Cognition</td>
<td>0.057</td>
<td>-0.011*</td>
<td>0.050</td>
</tr>
<tr>
<td>Verbal Episodic Memory</td>
<td>0.059</td>
<td>-0.005*</td>
<td>0.051</td>
</tr>
<tr>
<td>AIBL-PACC</td>
<td>0.051</td>
<td>-0.059</td>
<td>0.062</td>
</tr>
<tr>
<td>Aβhigh</td>
<td>n = 123</td>
<td>n = 22</td>
<td>n = 100</td>
</tr>
<tr>
<td>Global Cognition</td>
<td>0.014</td>
<td>0.043</td>
<td>-0.058*</td>
</tr>
<tr>
<td>Verbal Episodic Memory</td>
<td>0.017</td>
<td>0.049</td>
<td>-0.045*</td>
</tr>
<tr>
<td>AIBL-PACC</td>
<td>0.088</td>
<td>0.169</td>
<td>-0.152*</td>
</tr>
</tbody>
</table>

Mean slopes for global cognition (SD/year), verbal episodic memory (SD/year) and AIBL-PACC (4 × SD/year) in imaged cognitively normal adults with low (n = 336) or high (n = 254) amyloid-β. Controlling for age in AIBL-PACC. *p < 0.05 when comparing to the APOE ε4-ve/SPON1 group, †p < 0.05 when comparing to the APOE ε4+ve/SPON1 group, ‡p < 0.05 for those reporting nominal significance at p < 0.05. SPON1, Spondin-1; APOE, Apolipoprotein E; Aβ, Amyloid-β; AIBL-PACC, Australian Imaging, Biomarkers and Lifestyle Study Pre-Alzheimer’s Cognitive Composite.

Fig. 2. Rates of change in cognitively normal adults stratified by amyloid-β status, APOE ε4 interaction. Rates of change are presented for (a, d) global cognition (SD/year), (b, e) verbal episodic memory (SD/year) and (c, f) AIBL-PACC (4 × SD/year) in cognitively normal older adults with low (a, b, c; n = 336) or high (d, e, f; n = 254) amyloid-β. APOE ε4-ve/SPON1 (green), APOE ε4+ve/SPON1 (blue), APOE ε4+ve/SPON1 (orange), APOE ε4+ve/SPON1 (red). Controlling for age in AIBL-PACC. Error bars represent time dependent standard error. *p < 0.05 when comparing to the APOE ε4-ve/SPON1 group, †p < 0.05 when comparing to the APOE ε4+ve/SPON1 group, ‡p < 0.05 when comparing to the APOE ε4+ve/SPON1 group, ‡p < 0.05 when comparing to the APOE ε4+ve/SPON1 group.

Associated with slower decline in advanced stages of AD, the opposite was observed, though in preclinical AD, in the current study. Specifically, whilst no significant associations were observed in Aβlow individuals for the assessment of the combinatory effect of Aβ, APOE ε4, and SPON1 on cognitive decline, in the Aβhigh CN older adults both APOE ε4+ve groups had significantly increased
rates of decline when compared to the APOE ε4-ve groups in all measures of cognition. Further, within Aβ(high) CN older adults, global cognition and verbal episodic memory declined significantly faster in the APOE ε4 + ve/SPON1A+ group when compared to the APOE ε4 + ve/SPON1A− group. These results suggest that at the preclinical stages of AD, carriage of SPON1A+ increases rates of decline, in these measures of cognition, beyond what is expected by the carriage of APOE ε4 alone.

Several studies suggest that Spondin-1 may play a role in AD pathogenesis. In vivo screening identified Spondin-1 as a protein that interacts with AβPP [17]. It has been reported to interact with AβPP, thereby regulating beta-secretase of AβPP [18]. Hoe et al. published an interaction between Spondin-1 and, AβPP and ApoE receptor 2 [32]. This interaction was reported to result in the increased cleavage of AβPP and ApoE receptor-2, which was speculated by the authors to result in reduced Aβ production [32]. The overexpression of Spondin-1 in mice has been associated with improvements in cognition [16, 33], particularly spatial learning and memory [16]. Spondin-1 overexpression has also been associated with reduction in Aβ plaque deposition in in vivo mouse models [16] and reduced Aβ in in vitro murine neural cell models [33]. However, despite these findings, another study has reported increased levels of Spondin-1 in the cerebrospinal fluid (CSF) of AD patients when compared to healthy controls [34]. The biological effects exhibited by Spondin-1 support the hypothesis that genetic variation may moderate the AD pathogenesis, the impact of genetic variation in SPON1 on gene expression and protein function are largely unknown, though a variant (rs2618516) has been associated with patterns of brain connectivity in young adults [35] and subsequent impact on severity of AD [35] and cognitive performance in APOE ε4 carriers [36]. However, this variant is not in complete linkage disequilibrium as has very low correlation (D’ = 0.45, r2 = 0.006) with the variant investigated by Sherva and colleagues [11] and this study. As such, studies aimed at increasing the understanding of the specific functional effects of genetic variants would be an important next step.

There are several factors that may have contributed to the contradictory allelic associations reported in preclinical AD, in the current study, and in more advanced stages, by Sherva and colleagues [11]. The differences in the stages of the disease studied may be one factor contributing to the contrasting associations reported here. Cognitive assessments at the preclinical stages of AD are less likely to be significantly confounded by consequent disease processes and other factors that may reduce sensitivity or reliability of cognitive assessments, such as diminished intellectual ability or floor effects of cognitive assessments that may occur when assessing advanced stages of AD. A further factor may be the inclusion of brain Aβ status in analyses. The current study found associations with cognitive decline in Aβ(high) CN older adults who were APOE ε4 + ve, whilst Aβ status was not included in the original analyses and therefore the AD diagnosis was not confirmed by imaging findings. Finally, the duration over which cognitive decline was assessed, in addition to the cognitive assessment themselves, were significantly different. The current study used a combination of assessments over a 90-month period, with 18-months between visits, to define composite measures of function compared to the ADAS-Cog over only 24 (AD participants) to 48-months (mildly cognitively impaired participants), with 6 to12-months between visits. These factors, when combined with rs11023139’s minor allele frequency of approximately 5% may explain the disparate associations. Overall, the findings presented in this study provide further evidence to support the role of genetics in contributing to variable rates of cognitive decline seen at the preclinical stages of AD. While the clinical relevance of this SPON1 variant in isolation may be limited due to its minor allele frequency, we have previously reported its predictive role in combination with other genetic variants, particularly when weighted by their impact on cognitive decline [27, 29].

Whilst this study uses a highly characterized longitudinal cohort, the following limitations are acknowledged. The AIBL cohort, like ADNI, represent a predominantly Caucasian population, thus the findings from these studies should be replicated in additional ethnically diverse cohorts to gain a broader understanding of the SPON1 rs11023139 associations. Additionally, the voluntary nature of the AIBL Study has resulted in a cohort that is highly educated; therefore, the observations made here may only be observable in similar cohorts. Due to the unavailability of sufficient tau imaging or CSF data for participants in this study, the definition of preclinical AD was based on NIA-AA recommended criteria [4] rather than more recent criteria that includes amyloidosis, tau and neurodegeneration. This study focused particularly on cognitive changes that occur early in the disease process through the use of cognitive composite scores. Different findings may result from...
the use of different cognitive assessments in the calculation of composite scores or when using single cognitive assessments and when assessing different cognitive domains. Finally, as alluded to earlier, rs11023139 has a minor allele frequency of approximately 0.05, resulting in small sample sizes for the groups, most notably after interactions with Aβ and APOE ε4, possibly influencing the results. The results reported here should be replicated in other preclinical AD cohorts with Aβ imaging and longitudinal cognitive data comparative to what is utilized here.

CONCLUSIONS

The results presented in this study are to our knowledge the first to attempt replicate the findings in the ADNI GWAS implicating SPON1^A+ with cognitive function. This is the first study to observe an effect of the interaction of Aβ, APOE ε4, and SPON1 on cognitive performance in a cohort of CN older adults. Reported here are results implicating SPON1 rs11023139 as factor in preclinical cognitive decline. Investigation into the possible functional mechanisms of SPON1 rs11023139 is required to properly understand its influence over Aβ and APOE ε4 mediated cognitive decline. However, this study does provide additional strong evidence for the study of genetic variation with respect to cognitive rates of change at the earliest stages of AD.

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CONFLICT OF INTEREST

CLM is an advisor to Prana Biotechnology Ltd and a consultant to Eli Lilly. PM is a full-time employee of Cogstate Ltd. DA has served on scientific advisory boards for Novartis, Eli Lilly, Janssen, and Pfizer Inc. RNM is a consultant to Alzhyme. HRS has received/is receiving remuneration from activities with Pfizer and Takeda pharmaceuticals. CCR has served on scientific advisory boards for Bayer Pharma, Elan Corporation, GE Healthcare and AstraZeneca; has received speaker honoraria from Bayer Pharma and GE Healthcare; and has received research support from Bayer Pharma, GE Healthcare, Piramal Lifesciences and Avid Radiopharmaceuticals. VLV served as a consultant for Bayer Pharma; and received research support from a NEDO grant from Japan. SML serves on the scientific advisory board of Cytox Ltd. All other authors have no conflicts of interest to report.

AVAILABILITY OF DATA AND MATERIALS

All data and samples used in this study were collected as part of the Australian Imaging, Biomarkers and Lifestyle (AIBL) Study of Ageing. AIBL data can be accessed at https://aibl.csiro.au/awd through an Expression of Interest procedure, for more information please see https://aibl.csiro.au/research/support/.

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