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A nurse-led education and cognitive behaviour therapy-based intervention among adults with uncontrolled type 2 diabetes: A randomised controlled trial

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Abstract

Rationale, aims and objectives Diabetes mellitus is associated with significant morbidity, mortality, and escalating health care costs. Research has consistently demonstrated the importance of glycaemic control in delaying the onset, and decreasing the incidence, of both the short-term and long-term complications of diabetes. Although glycaemic control is difficult to achieve and challenging to maintain, it is key to reducing negative disease outcomes.

The aim of this study was to determine whether a nurse-led educational intervention alone or a nurse-led intervention using education and acceptance and commitment therapy (ACT) was effective in reducing hemoglobin A1c (HbA1c) in people living with uncontrolled type 2 diabetes compared to usual care.

Methods Adults over the age of 18 years, with a confirmed diagnosis of type 2 diabetes and HbA1c outside of the recommended range (4%-7%, 20-53 mmol/mol) for 12 months or more, were eligible to participate. Participants were randomised to either a nurse-led education intervention, a nurse-led education plus ACT intervention, or a usual care. One hundred and eighteen participants completed baseline data collection (N = 34 education group, N = 39 education plus ACT, N = 45 control group). An intention to treat analysis was used.

Results A statistically significant reduction in HbA1c in the education intervention group was found (P = .011 [7.48, 8.14]). At 6 months, HbA1c was reduced in both intervention groups (education group −0.21 and education and ACT group −0.04) and increased in the control group (+0.32). A positive change in HbA1c (HbA1c reduced) was noted in 50 participants overall. Twice as many participants in the intervention groups demonstrated an improvement as compared to the control group (56% of the education group, 51% education plus ACT, N = 45 control group). An intention to treat analysis was used.

Conclusions At 6 months post intervention, HbA1c was reduced in both intervention groups with a greater reduction noted in the nurse-led education intervention.

KEYWORDS
nursing, randomised controlled trial, type 2 diabetes mellitus

1 INTRODUCTION

Daily management of diabetes is essential in controlling blood glucose, however, many people living with diabetes are unable to maintain glycaemic control within the recommended levels (4%-7%, 20-53 mmol/mol). Diet, exercise, stress, and medication management are the key mediators of glycaemic control and areas strongly influenced by self-management through individual behaviour and action. The short-term and long-term effects of hyperglycaemia are multiple, including microvascular changes (eg, retinopathy, nephropathy, and...
neuropathy) and macrovascular (eg heart disease). Uncertainty does remain around the extrapolation of population-based risk reduction estimations to individual predictions, where evidence relating to glycaemic control and long-term outcomes have been established through large prospective cohorts. Further, tight glycaemic control can result in harmful effects, for example an increase in hypoglycaemic events.

In addition to a global guideline on diabetes management, evidence-based guidelines exist across many countries on the treatment of type 2 diabetes (eg, in another reference) with a consistent focus on patient education, dietary advice, managing cardiovascular risk, managing blood glucose levels, and managing the risk of long-term complications. Optimal management, however, is only thought to be reaching the minority with reasons such as the size and complexity of the evidence base, the complexity of diabetes care itself, a lack of proven cost-effective resources for diabetes care, and diversity in standards of clinical practice cited as driving disparities in clinical care.

The evidence on interventions to support self-management for people with long-term conditions is large and attempts to draw together individual study findings to clarify what works, for whom, and in what contexts are available (eg, in the work of Taylor et al). Interventions directly related to improving the self-management of glycaemic control can be broadly categorised into individual and group-based interventions, educational and behavioural interventions, with fewer interventions combining the latter 2 elements. A review of all self-management programmes or multicomponent interventions aimed at self-management; education, both group based and individual; behavioural or counselling interventions; and social support for people living with type 2 diabetes reported good evidence that self-management support improves blood glucose control in the short-term, with a reduction in mean difference of around 0.4%. The effectiveness of interventions longer term was not as strong, although this was attributed to fewer studies reporting data at 12 months and beyond. The impact of self-management interventions on individuals’ quality of life and their psychological well-being was not supported, although equally, interventions did not have a detrimental effect. The meta-review was not able to pin point effective elements across interventions, instead suggesting that self-management support may be delivered in many ways, by different professionals and lay people, and that in light of the large number of randomised controlled trials and reviews included within the meta-review the failure to reach any conclusion on the optimal model of delivery could reflect that there is no one way. The authors suggest that multiple models of delivery may be equally effective, and consideration may instead need to be given to other factors that may influence effectiveness, such as the real-world context.

Reviews with a less diverse focus have made recommendations relating to effective elements of interventions. In relation to interventions for women of African/Caribbean and Hispanic/Latin ethnicity living in industrialised countries, 5 intervention features (hospital-based intervention setting; group intervention format; situational problem-solving; high intensity, 10 or more sessions; and incorporating dieticians as interventionalists) were found to have a broad impact on the majority of outcomes assessed (diet, anthropometrics, physical activity, and hemoglobin A1c [HbA1c]). A review of behavioural interventions (Health Quality), found that the interventions with the largest effects were those with higher baseline HbA1c (≥9%) and in which the interventions were of at least 1 year in duration. A review and meta-analysis on motivational interventions in the management of HbA1c noted that the small number of studies and issues of heterogeneity indicated the need for caution in interpreting the findings, and the contribution of motivational interventions may be better assessed by outcomes such as behaviour change.

The current study aimed to contribute to the evidence on the effectiveness of motivational interventions and the interrelation between self-management behaviours and glycaemic control. Acceptance and commitment therapy (ACT) is a form of cognitive behavioural therapy. The premise of ACT is the existence of a constant interplay between the internal and external environment affecting the individual’s overall functioning. Overt behaviour (actions), cognitions (thoughts, beliefs, and perceptions), feelings, and physiology are closely and interactively integrated, and could therefore impact the way in which a patient manages his or her diabetes overall. Acceptance and commitment therapy can take a holistic approach to diabetes management including addressing psychological and motivational barriers, acceptance of elements of management, and focuses patients on moving in the direction of their values.

A previous study comparing an ACT and education intervention with an education only intervention found a significant improvement in HbA1c, and in acceptance of diabetes (attitudes and values) and self-management skills for those completing the ACT plus education intervention. Building on this work, it was hypothesised that for people with long-term hyperglycaemia, ACT could raise participants’ awareness of the interaction between cognitions, feelings, and behaviour and so enable people to better self-manage, leading to improved glycaemic control. The objective of this study was to determine whether a nurse-led educational intervention alone or a nurse-led intervention using education and ACT were effective in reducing HbA1c in people living with uncontrolled type 2 diabetes compared to usual care.

2 METHODS

2.1 Study design

The design was a 3 arm parallel group randomised controlled trial comparing 2 active treatment groups with a control condition. This design was chosen over a factorial design (education alone versus ACT alone versus education and ACT versus neither) on the premise that a certain level of diabetes knowledge would be essential for individuals to use the strategies developed through the ACT intervention. The half day education intervention aimed to provide all participants in the group with the same level of knowledge to apply the ACT strategies.

Three pairwise comparisons were planned for the analysis of outcomes (education versus control, education plus ACT versus control, and education versus education plus ACT). A total of 32 participants were required in each group to achieve 80% power to detect as statistically significant (2-tailed α = 0.05) an absolute difference between groups at 6 months in HbA1c levels of 0.5%, assuming an SD of 0.7%. The choice of effect size for power analyses was based on data
from the United Kingdom Prospective Diabetes Study\textsuperscript{14} with a 0.5% difference in HbA\textsubscript{1c} levels regarded as being clinically significant. The same effect size was chosen for both interventions based on the assumption that a reduction in HbA\textsubscript{1c} of the same magnitude would be as clinically significant for both groups. The study was granted ethical approval from the Upper South B Regional Ethics Committee, New Zealand, reference number URB/09/08/039.

2.2 | Recruitment

The inclusion criteria were a clinical diagnosis of type 2 diabetes for 12 months or more, age 18 years and over, and persistent, suboptimal glycaemic control. This was defined as HbA\textsubscript{1c} >7%, 53 mmol/mol in the past 12-18 months, with at least 2 records of HbA\textsubscript{1c} >7%, 53 mmol/mol, during this period and HbA1c >7%, 53 mmol/mol on recruitment. Exclusion criteria were non-English speaking, pregnancy, short-term or serious medical conditions, and currently in psychotherapy or participation in a diabetes education programme in the past 12 months. Following ethical approval, a range of recruitment avenues were used including radio advertisements, adverts in community newsletters, and newspapers and letters sent to patients who met the study criteria through medical centres, across 1 city in New Zealand. Those people who contacted the research assistant as interested in participation were sent a study information sheet, a consent to be contacted form, and a stamp addressed envelope. All those who returned a consent to be contacted form were called by the research assistant to confirm inclusion eligibility, and written consent was gained to participate in the study and for the research assistant to contact the medical centre at which they were registered to obtain HbA\textsubscript{1c} results.

Permutated block randomisation using a computer-generated randomisation sequence with a block size of 24 allowed for timely randomisation of participants, where recruitment took place across a 12-month period. A biostatistician completed the randomised allocation. The biostatistician was independent from all other study procedures. The biostatistician sent information on the allocation to the research assistant. Data were collected at baseline, 3 months following baseline and 6 months following baseline. All study questionnaires were sent by post together with a prepaid envelope for return.

2.3 | Description of the interventions

The decision to run the intervention on 1 day was pragmatic. The intention was to make the intervention as cost effective and convenient as possible for participants and looking to the future, for providers who may wish to take up the intervention in practice.

Both workshops consisted of a 1 day workshop held at a central city location. The workshop ran from 10AM to 5.30PM with a 1 hour lunch break. The interventions were developed by the research team, primary care nurses, and an advisory group. The main content was based on the topic areas deemed to be important cross 3 diabetes education programmes.\textsuperscript{12,13,15} The research team included experienced educators and clinicians who developed a format for delivery that were felt to promote engagement in learning and discussion and included visual learning and active exercises, such food labelling. The interventions were developed into work books for the participants and a PowerPoint slide presentation for the presenters. The package was reviewed by the advisory group, who included a consumer, clinicians, and Maori and Pacific Island advisors. Both interventions were piloted with a small group of volunteers who were diagnosed with type 2 diabetes but who had experienced hyperglycaemia for just less than 1 year and so did not fully meet the study criteria. Feedback on the content and delivery from the participants and the nurses were incorporated. Changes were minimal and related to using 1 diagram over another, for example, rather than changes to the topics covered.

2.4 | The education intervention

The education intervention sessions were run by 2 primary health care based nurses who were trained in the delivery of the intervention by 2 of the study investigators. The education intervention covered the topics of the basic pathophysiology of diabetes, understanding diabetes and glucose, understanding the risk factors and complications associated with diabetes, food groups, portion sizes, self-management of diabetes through diet, exercise, medication, and stress management, monitoring diabetes, including awareness of hypo and hyperglycaemia, and when to seek help. Underpinning the content were the themes of increasing understanding, how to take control and planning for the future. The intended changes related to increasing understanding of diabetes, satisfaction with diabetes management, an increase in self-management activities, and maintenance or improvement of mental health, as measured through anxiety and depression.

2.5 | The education plus ACT intervention

In the education plus ACT intervention, time was divided equally between the education intervention and the ACT intervention to maintain the same amount of contact time between participants and the nurses. Participants received the same content in education but did not have the opportunity to discuss the material in as much depth as the education only group nor spend as much time on self-directed exercises in the handbook during the workshop. The ACT component addressed mindfulness and acceptance training in relation to difficult thoughts and feelings about diabetes, exploration of personal values related to diabetes, and a focus on the ability to act in a valued direction while contacting difficult experiences. The ACT component drew on material developed in a previous study.\textsuperscript{13} The workshop was led by a mental health nurse with expertise in ACT who received supervision from a clinical psychologist. The education component was delivered by one of the nurses providing the education intervention.

The intended changes related to increased acceptance of diabetes-related thoughts and feelings and a reduction in the extent to which thoughts and feelings interfere with valued action, increase in understanding of diabetes, satisfaction with diabetes management, an increase in self-management activities, and maintenance or improvement of mental health, as measured through anxiety and depression.

2.6 | Fidelity

The fidelity of the intervention was enhanced through the development of a manual for both interventions; all sessions were recorded and reviewed by LW and JC for adherence to the protocol and
manuscripts, and 1 nurse participated in all of the intervention sessions to enhance continuity of style and content of the sessions.

2.7 Control group

The control groups were mailed the questionnaires at the same time points as the 2 intervention groups. Participants in the control group continued to receive routine diabetes care. Routine care generally comprised visits to the GP/practice nurse as initiated by the patient with an annual invitation by the practice to attend for a health check involving measurement of Hba1c (goal ≤7%), weight, blood pressure (goal 13/80), total cholesterol (goal ≤4), HDL cholesterol (goal ≥1), LDL cholesterol (goal <2), triglycerides (goal <1.7), microalbuminuria (ratio <3), date of last eye examination (at least every 2 years), foot check, and sensation and pulses. The control groups were not offered the opportunity to participate in an intervention posttrial.

2.8 Outcome measures

The primary outcome variable was glycaemic control (Hba1c). The secondary outcome variables were acceptance of diabetes-related thoughts and feelings and extent to which thoughts and feelings interfere with valued action, increase in understanding of diabetes, satisfaction with diabetes management, an increase in self-management activities, and maintenance or improvement of mental health, as measured through anxiety and depression.

2.9 Glycaemic control

Hba1c was analysed by a local medical laboratory. Participants were asked to either visit their local medical laboratory, widely distributed throughout the city, or if required a mobile phlebotomist who took the sample at the participant’s home. The phlebotomist was blinded to the group allocation of participants. Time points for measurement were at baseline, 3 months and 6 months. A 2-week window was allowed around the designated data collection time points.

The questionnaires were self-administered. They were sent to the participant’s postal address and returned in a stamp addressed envelope. The pack contained information on who to contact if assistance was required (the research assistant), however, no one made contact for support to complete the questionnaires.

2.9.1 Acceptance of diabetes

The Acceptance and Action Diabetes Questionnaire (AADQ) is an 11 item Likert type scale to measure acceptance of diabetes-related thoughts and feelings and the extent to which they interfere with valued action (eg, I avoid thinking about what diabetes can do to me). The scale has demonstrated good inter-rater reliability (Cronbach α = .94). Scores range from 11 to 55 with a higher score reflecting greater nonacceptance.

2.9.2 Anxiety and depression

Anxiety and depression were measured through the Hospital Anxiety and Depression Scale (HADS). The HADS has been used extensively in research and has been shown to have good validity, specificity and sensitivity, and good test-retest reliability. Although the HADS is a screening tool, it correlates well with clinical assessments of anxiety and depression. A score is generated for anxiety and depression, both ranging from 0 to 21 with a score of 0-7 indicating subclinical symptoms, 8-10 possible clinical levels and a score of 11 or over probable clinical levels.

2.9.3 Understanding of the management of diabetes

Understanding of the management of diabetes was assessed by a subscale of the Diabetes Care Profile (Cronbach α = .60-.95). The Diabetes Care Profile comprises 14 subscales in total. The understanding subscale comprises 10 items and explores understanding of key aspects of the management of diabetes, eg, “How do you rate your understanding of diet and blood sugar control?” Reliability was explored in 2 large studies, a community study (n = 440) and medical centre study (n = 352). Reliabilities (Cronbach alpha) of the understanding subscale were reported as .92 and .92 respectively. Scores range from 10 to 50, with a higher score indicating better self-rated understanding of diabetes.

2.9.4 Diabetes treatment satisfaction

The Diabetes Treatment Satisfaction Questionnaire (DTSQ) was used to measure satisfaction with diabetes treatment. The 6 item scale assesses treatment satisfaction and 2 items assess perceived frequency of hyperglycaemia and hypoglycaemia. Ceiling effects have been noted with the DTSQ and the DTSQ change (DTSQc) was developed to overcome these. The authors recommend using the DTSQ first to anchor the findings, followed the DTSQc to explore how people’s satisfactions with perceived hypoglycaemia and hyperglycaemia have changed. The DTSQ has been widely used and is recommended by the World Health Organisation and the International Diabetes Federation as useful in assessing outcomes of diabetes care. On the DTSQ, each of the 8 items are scored on a scale of 0-6 with a higher score indicating greater satisfaction. For the DTSQc, each item is scored on a scale of −3 to +3 with a higher score indicating greater satisfaction.

2.9.5 Diabetes self-care activities

The summary of diabetes self-care activities measure was used to assess self-care activity. Three of the 8 subscales: general diet, exercise, and blood glucose testing were used in this study. The inter-rater reliability, measured by means of the Cronbach alpha coefficient, ranged from 0.66 to 0.80 for the 3 subscales independently and a Cronbach alpha of 0.71 for the 3 subscales collectively as measured using all baseline data from this study.

The subscale general diet includes 2 questions: How many of the last 7 days have you followed a healthy eating plan? And over the past month, how many days/week have you followed your eating plan? The exercise component questions on how many of the last 7 days did you participate in at least 30 minutes of physical activity? On how many of the last 7 days did you participate in a specific exercise session other than what you do around the house/work? And the blood glucose sub-scale, on how many of the last 7 days did you test your blood sugar? And on how many of the last 7 days did you test your blood sugar the recommended number of times? The derived scores reflect the number of days within a week recommended activity related to diet,
exercise, and blood glucose monitoring have been followed. The range is 0-42, with a higher score reflecting greater self-management.

2.9.6 | Data analysis
Statistical analyses were performed using the Statistical Package for the Social Sciences, version 19 (Statistical Package for the Social Sciences In, Chicago, Illinois). Standard descriptive statistics were used to summarise demographic and clinical characteristics for the randomised groups. Analyses were conducted on an intention-to-treat basis, which included all participants who completed the baseline questionnaires, a blood test for HbA1c, and in the intervention groups, who attended the workshop. Missing values were handled according to the guidelines for each scale. An analysis of covariance (ANCOVA) was used to compare the HbA1c levels at 6 months between randomised groups where the randomised group was treated as a fixed factor and the baseline value for HbA1c (taken within 3 months of commencing the study) as a covariate. If a significant effect ($P < .05$) of randomised group was identified from the ANCOVA, then pairwise comparisons of each intervention group with the usual care were undertaken. The ANCOVA was also used to compare the secondary outcomes using randomised group as a fixed factor and the relevant baseline level as a covariate.

2.10 | Results

2.10.1 | Recruitment outcomes and sample description
Over a 12-month period, 303 people responded and following assessment for eligibility; 172 people who met the study criteria were approached. One hundred and fifty seven participated with glycaemic control outside of the recommended range for over 12 months gave informed consent and were randomised to one of 3 groups, education, education plus ACT, or usual care (control). In total, 51 participants were randomised to the education only intervention, 54 to the education plus ACT intervention, and 52 to the control group. A total of 34 participants declined to participate post-randomisation; 14 participants had moved away or were no longer contactable, and 25 participants had changed their minds, mostly related to lack of time.

The differences in baseline characteristics across the 3 randomised groups were not significantly different (Table 1).

### TABLE 1  Demographic details

<table>
<thead>
<tr>
<th>Age, mean in years (SD)</th>
<th>Education (N = 34)</th>
<th>Education &amp; ACT (N = 39)</th>
<th>Usual Care (N = 45)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gender</td>
<td>N</td>
<td>%</td>
<td>N</td>
</tr>
<tr>
<td>Male</td>
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<td>59</td>
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</tr>
<tr>
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<td>41</td>
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</tr>
<tr>
<td>Ethnicity</td>
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<td></td>
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</tr>
<tr>
<td>NZ European</td>
<td>24</td>
<td>71</td>
<td>35</td>
</tr>
<tr>
<td>Maori</td>
<td>3</td>
<td>9</td>
<td>0</td>
</tr>
<tr>
<td>Time since diagnosis</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>&lt;5 years</td>
<td>13</td>
<td>38</td>
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</tr>
<tr>
<td>5-9 years</td>
<td>9</td>
<td>26</td>
<td>14</td>
</tr>
<tr>
<td>&gt;10 years</td>
<td>12</td>
<td>36</td>
<td>15</td>
</tr>
</tbody>
</table>

Abbreviation: ACT, acceptance and commitment therapy.
In this study, the HbA1c level was reduced in both intervention groups and this change was statistically significant in the education only group at 6 months postintervention. No effects on secondary outcomes were found. The results of this study indicate that a 1 day nurse-led group intervention can have an impact on diabetes management up to 6 months postintervention. An earlier study found a significant decrease in HbA1c in an education plus ACT group and a significant change (improvement) in acceptance of diabetes as measured by the AADQ and in self-management. In this study, no significant changes on any variable were noted for the education plus ACT group as compared to the control group or education only group. Although the current study did not seek to replicate the Gregg et al study, we did use similar principles and material in developing the intervention. A difference in the characteristics of participants by mean years since diagnosis was noted between the studies, 5.3 years vs 10.03 years in the current study. It could be hypothesised that the difference in outcome between the studies was related to time since diagnosis and that this influenced the ability to change attitudes and values towards diabetes. The potential impact of time since diagnosis on study design and outcome requires further consideration.

In the interventions in this study, and especially so in the education plus ACT group, participants were asked to deal with attitudes towards diabetes and self-care, to observe negative feelings and to reflect on values in life. While this could be challenging and result in increased worry and anxiety about life and diabetes, participants showed stable or improved scores on all psychological variables.
Any intervention seeking to reduce HbA1c levels raises concern around increase of hypoglycaemic episodes. In this study, there was no evidence that participants experienced episodes of hypoglycaemia and no reports of a medical emergency related to hypoglycaemia, although we did not specifically collect data on blood glucose levels outside of the primary measure of HbA1c nor did we directly seek feedback on experiences of hypoglycaemia nor of fear of hypoglycaemia. Both individual and group settings have been used for cognitive-behavioural interventions, with no definitive conclusion as to which setting is more effective.26,27 The literature on educational interventions for diabetes self-management favours the group setting,28 although the specific aspects of group intervention that are effective have not been isolated. It is unclear how the group process contributed to outcomes, and this requires further investigation.

The delivery of interventions in a group setting has obvious cost advantages in the clinical setting. This study has also shown that a nurse-led intervention is effective in reducing HbA1c. The nurses in this study did not receive costly training and although specialists provided oversight, they did not contribute to the intervention. These findings are of significance in the clinical setting where the ability to draw on staff involved in the regular care of the patient in the primary care setting is both cost-effective and more realistic in embedding interventions into clinical practice. What is not known is whether the input of specialists or of peer leadership would have been more effective and remains an area for future exploration.

It is unclear how long the positive effects of the interventions on glycaemic control observed in this study will last. Taylor et al9 noted diminished effects at 12 months and beyond amongst intervention studies to improve self-management of type 2 diabetes. Based on earlier literature, it is likely that maintenance sessions would be required to sustain the effect shown.29 Connecting with community partners and other chronic care model programmes running in the community has proven to be a successful adjunct to cognitive-behavioural interventions, allowing the effects to be sustained as far out as 3 years postintervention.24,30,31 Incorporating booster sessions enhances the effectiveness of self-management interventions, however, health care providers are challenged in providing continued self-management support over time and as needed by individuals. Reaching patients between visits and tailoring information and support to meet specific needs could be addressed more successfully through the use of technology.
eg. the Internet, web-based education, text messaging, email, automatic telephone reminders, and telehealth/telephone education and reinforcement). Although the evidence on the effectiveness of e-health is mixed, it is gathering momentum and has been proven effective in the management of HbA1c (eg. in other studies\(^{25,32}\)) and offers a time-efficient means of providing ongoing support.

In summary, the nurse-led education intervention is a promising approach in improving outcomes for those with type 2 diabetes and long-term, less than optimal glycaemic control. Further research to explore the value of group sessions over individual interventions, the relative benefits of ACT versus education, the impact of maintenance sessions, and follow-up over a longer time period would enhance understanding of the value and role of interventions to support glycaemic control.

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CONFLICT OF INTEREST

The authors declare no conflict of interest.

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