New digital interactions with John Cage's Variations IV, V and VI

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New digital interactions with John Cage’s *Variations IV, V and VI*.
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**ABSTRACT**

To celebrate the centenary of John Cage’s birth in 1912, Western Australian new music ensemble Decibel undertook the realization of the American composer John Cage’s (1912 – 1992) complete Variations I – VIII. The works offer a unique insight into the development of Cage’s approach to composition practice, aleatoric approaches, spatial arrangements and the use of electronics. Entitled the “John Cage Complete Variations Project”, Decibel created a performance of the eight pieces in around an hour. The preparation and reading of the scores that make use of transparent sheets (Variations I, II, III, IV and VI) has been adapted using digital score creators and readers. This permits real time generation of measurements and graphics, as well as the assemblage of performance symbols, that can occur during the actual performance of the works. This paper examines the approach to the Variations whose instructions result in the employment or creation of maps: Variations IV (1963), V (1965) and VI (1966).

1. **INTRODUCTION**

John Cage’s eight *Variations* composed between 1958 and 1967 are a varied collection of compositions prepared in very different ways. They take score forms that range from very precise instructions (*Variations I, II, III, IV, VI*) to reflections on early performances (*Variations V*), handwritten sketches (*Variations VIII*) or only few words (*Variations VII*). The works encapsulate Cage’s interest in maps, astronomy, system design, spatial sound production and multimedia. They at times incorporate similar orchestrations to his *Imaginary Landscapes* series (five works composed between 1939 and 1952) such as radios, tapes and oscillators. The *Variations* introduce new equipment to Cage’s composition toolbox – antennas, light sensitive resisters and telephony. As David P. Miller suggests, the *Variations* offer “a trajectory away from self-contained concert pieces (*Variations I and II* in particular) and culminating in theatrically ambient works that draw on an increasingly broad range of source material” [1]. In addition, the approach to notating and communicating Cage’s ideas undergoes a dramatic development throughout the *Variations*.

Despite a number of performances of individual *Variations* (though surprisingly few it would seem), *Variations IV* and *VI* have been performed the least. This may be due to a number of factors; the complex and rather convoluted instructions provided for *Variations VI* and the possibility of unperformable arrangements or outcomes in *Variations IV*.

Transparent plastic sheets are provided with the scores in *Variations I, II, III, IV* and *VI*. *Variations V* and *VII* and *VIII* use text only, and appear more of a record of a past performance than as an instruction per se. Text features heavily in all the *Variations* scores: however, even with the transparent sheets provided in the score folders, detailed instructions as to what is to be done with these sheets are provided and are often difficult to interpret. Yet the key distinguishing qualities of *Variations IV* and *VI* is the requirement to generate specifications for the placement and directions of sounds in the space. More information is provided about where the sound should be produced, than how to produce it. As such, they have offered up different challenges for real time digital score generation when producing what Miller has called ‘performance scores’ [1]. *Variations IV, V and VI* create performance ‘maps’ rather than scores, and performing them involves a process of exploration and discovery.

Decibel has been working with graphic, mobile and real time screen scores for some time, and the Complete Variations Project has provided a possibility to apply experience and expertise gleaned from the creation of new works to the realization of historic pieces [23]. Each of the *Variations* has a performance score produced digitally, and realized in real time, where the computer performs cut ups, random placements, measurements, joining up of figures and realisation of the resulting score onto a computer screen or projection to be read by performers.

As part of its performance strategy, Decibel distributes scores in MaxMSP devised players to multiple Apple MAC laptop computers over a wireless network, driven by a master computer. This made it necessary to synchronize score events by linking all the required data for sending across the network. As certain networking protocols, such as User Datagram Protocol (UDP) transmission do not ensure that information is received in the same order as it is sent, concatenating the information into a single matrix data structure ensured that the order of information remain intact. To facilitate this networking and allow troubleshooting, a network utility has been developed by James to facilitate and monitor network traffic in MaxMSP, the interface of which can be seen in Figure 1.

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1. Cage subtitles *Variations V* on the cover page with “thirty seven remarks re audio visual performance” and footnotes the scores with references to a certain performance of it (the premiere)[14].
2. An admission shared by David P. Miller in his paper on the *Variations* [1].
3. *Variations V, VII* and *VIII* do not require scores at all, as performance notes are all that are required [13,16,17].
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Variations IV

is the second part of a group of three

works of which Atlas Eclipticalis (1961) is the first and

0’00” (1962) – also know as 4’33” No.2 - is the third. The

sequence relates to HideKazu Yoshida's interpretations of Japanese Haiku poetry, and aligns

lines of the poetry to different psychological states: Atlas Eclipticalis represents ‘nirvana’, Variations IV

represents ‘samsara’, (the turmoil of everyday life) and

0’00” ‘individual action’ [3]. This ‘turmoil of everyday

life’ could be considered realised twofold in Variations IV. The placement of performers around and outside the

space, away from the stage or concert hall brings the musicians into the world, away from the theatrical

presentation of the stage. In addition, the reluctance of Cage to give specific instructions as to what sounds be

produced, going as far as to suggest that “a performer need not confine himself to a performance of this piece.

At any time he may do something else”[13]. Both these parameters offer up risk and uncontrollability, much

more than in previous Variations. Here, Cage is moving closer to a different paradigm for music altogether,

where performers are removed almost completely.

The actual location of the performers - playing or

‘doing something else’ - is clearly marked by way of the

score. Continuing the use of transparencies as in the

first three Variations, Cage provides a sheet with seven

points and two circles to be cut into separate parts. One

circle is placed on a map of the performance venue, to

be provided by the performers. The other eight

remaining points and circle are dropped “inside or

outside” the map and lines then are drawn from the

fixed circle to the points [13]. The second circle only

becomes operative when a line intersects with it. Cage

then instructs that “sounds to be produced at any point

on the lines outside the theatre space”[13]. An

intersection with the dropped circle indicates a sound

producing system inside the space. The instructions are

tailored according to a theatre space, a multi floor

building, apartment, cave or outdoor space. Decibel

worked in a theatre for the first performance. In

Variations IV, the provided symbols are not to be

‘performed’ as in previous Variations, or even given

musical parameters at all. Rather, the performance

score provides arrangements for the placement of the

sounds in particular space, providing another major

shift in Cage’s compositional approach and the

beginnings of his interest in spatialisation.

A recording of Variations IV recorded by America’s

KPFA Radio for the birthday of Cage’s long-term

collaborator David Tudor in 1965 produced a work

some thirtyone minutes long. It features loudspeakers

placed in hallways outside the performance space that

interacted with speakers inside the space [5]. The

performance features a range of radio announcements,

radio static noise and music, which come to the

listener from different areas of the space, and heard as different

timbres and dynamics on the recording. An earlier

1964 performance in Los Angeles' Feigen-Palmer Gallery

went for some 6 hours, and featured two rooms

fitted out with complete sound systems that included

recording and mixing equipment, numerous radios, tape

players and record decks [7]. In this performance,

microphones were placed strategically inside and

outside the building (one was suspended above the bar, another out in the street to catch the passing traffic)[6].

Decibel developed upon earlier performance score

engines created for Variations I – III to compile

Variations IV*. Due to low processing overheads

Variation IV was implemented solely in MaxMSP. All

scored elements were drawn using the quickdraw

primitives found in the jit.lcd object, permitting the

Figure 1: The interface for the Decibel network utility has the ability to be used for TCP and UDP networking, on wireless and wired networks.

This has meant that all the scores created for the Variations project are visible to each performer at all times, as required. This also means that any projections of the scores may come from any performers computer. The generation of data required for creating and displaying the scores of Variations IV and VI was carried out using a combination of Max/MSP and Java programming by Decibel members Stuart James (MaxMSP), Lindsay Vickery (MaxMSP) and Aaron Wyatt (Java). The premiere of the Variations project was held in was a projection room at the Goethe Institut in Palermo, Italy in early 2012 [21].

2. VARIATIONS IV (1963)

Variations IV has been dubbed the pivotal work in the Variations series of works, because “it takes the

distribution of sound sources within and outside a given

space as its primary point of interest, laying the ground

for the commitment to total environments that marks

Variations V and VII (and arguably VI)”[1]. Cage’s

ongoing interest in new technology seems to have been

directed towards an environment that would allow him to

map sonic space by “allowing access to the full range of

all the specific parameters of sound”[2]. Here Cage

refers not only to parameters such as timbre, dynamic,

pitch and so on, but also spatialisation.

Variations IV is the second part of a group of three

works of which Atlas Eclipticalis (1961) is the first and

0’00” (1962) – also know as 4’33” No.2 - is the third. The

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scored elements were drawn using the quickdraw

primitives found in the jit.lcd object, permitting the

* For a detailed examination of what was involved in the creation of the first three Variations, see Vickery, Hope, James. (2012). "Digital adaptions of the scores for Cage Variations I, II and III” [4]
creation of all necessary objects referred to in Cage’s score: the circles, lines, points, and the lines to be drawn between them. A map of the venue was attained and inserted into the program, which then compiled the data and superimposed it over the map⁵ (see figure 2).

A series of random coordinates were generated for determining the location of the two circles, seven points, and resulting lines. These random numbers are then used to instruct jit.lcd to draw these objects to the screen, emulating the ‘dropping’ of the cut up transparency. There are three layers of jit.lcd with a differing transparency assigned to each, allowing the superimposition of the graphics over the venue map. A threshold mechanism was employed to control the amount of transparency applied to each layer, allowing an organic control of the way visuals appear and disappear in the real time realization of the score, fading in and out.

As the graphics are ‘dropped’ randomly over the map, it is possible for certain outcomes to be less successful than others, depending on the venue and the portability of equipment. For example, some venues have inaccessible rooms encircling them, meaning it is difficult to get outside the building and still be audible, though I’m sure Cage would approve all the same. Often certain venues have areas that could be deemed interesting to use and this systems enables some flexibility in including them in the performance. The realtime generation of the lines on the map enables a quick turnover of different performance score options if desired, until a satisfactory result is achieved (figure 4).

Decibel generated some five or six arrangements before settling on one thought to be workable, as shown in Figure 2. Figure 4 shows two other possible options. Six performers situated themselves on the map.

Unlike early performances using radio and tapes, Decibel engaged viola, electric cello, spoken word, bass flute (which ended up in a bathroom) and one performer dedicated to ‘doing something else’. In addition to these roles, the performers walked about, talked to each other, opened and closed doors, flushed toilets and other took part in other activities. As can be seen in Figure 2, the second circle was not engaged and as such a ‘sound system’ was not employed. Unlike some early performances of Variations IV by Cage and Tudor, dance did not make part of this performance⁶[13]. The score was projected onto the empty stage, and a series of

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⁵ For the premiere, it was a photograph taken of the fire exit map beside the stage.

⁶ Merce Cunningham’s choreography for Field Dances (1963) and Cross Currents (1964) was featured [5, 20].
versions were generated until one that was achievable given the resources available was attained.

3. VARIATIONS V (1965)

Cage does not provide a score for Variations V, instead he offers the possibility for the performers to obtain a chart and to use it “as though there were a drawing of the controls available and – on transparency – transcription from astronomical atlas (if it were superimposed) would give suggestions for use of controls”[14].

Decibel chose the chart shown in Figure 5 (which resembles Cage’s own score for his Fontana Mix (1958)). During the performance the chart is continuously repositioned, moving smoothly in the vertical and horizontal dimensions, jumping to particular new positions and expanding and contracting. The performers realize the work by interpreting the components of the score that are framed by a circle, colour-coded to correspond to each player, as “suggestions for use of the controls”. Each performer’s circle also moves freely around the screen. As in Variations IV, all programming was completed in MaxMSP.

The trajectories of the circles are programmed so that their movements are indeterminate, but permutate between a range of contrasting behaviors: wander – in which the movement of the circles are completely independent; follow – in which the trajectory of the one circle is mirrored by the remaining ones; and converge in which the (moving) position of the one circle is the other circles converged upon by the others. In addition to these behaviours, each circle is programmed to grow in size at indeterminate points in the performance, indicating that the corresponding performer should take a more soloistic role. These behaviours are illustrated in Figure 7.

The movement of the score and the behaviours of the circles provide a focal point of the performers reading the score. The performers are left to interpret the meaning of the symbols in relation to the electronic control parameters at their disposal. Therefore the structural outcome of any particular instantiation of the work is extremely indeterminate, relying as it does upon indeterminate trajectories both of the score and the circles as well as the performer’s interpretations.

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7 The premiere performance had many restrictions on accessing outside space, and the speakers were not easily moved, and had very short cables. As such a few different versions were generated as part of the performance.

8 A similar arrangement was employed by Decibel in Cat Hope and Lindsay Vickery’s work The Talking Board (2011), with a collaged image created by the composers.

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4. VARIATIONS VI (1966)

Like all the Variations after V, Variations VI is characterized by “the unpredictable interactions of multiple simultaneous systems”[8]. After the very open nature of Variations V, Variations IV returns to the methodology of transparencies, yet now generating a different range of instructions for the performance score. Much of Cage’s writing on music in the 1950s focused on the identification of frequency, amplitude, timbre and duration, yet here in Variations VI, he has a different range of sonic territory to explore [8]. Terms such as ‘varied’ and ‘unvaried operations’ are the only ‘musical’ instructions provided [15]. All other information pertains to arrangements of various elements that are available be used in the performance.

The period between Variations IV and VI was not prolific for Cage, but did feature prominent works where electronic, decibel's generated symbol, showing the co-ordinates measured

<table>
<thead>
<tr>
<th>Parameter name</th>
<th>Symbol</th>
<th>Assignment</th>
<th>Generated symbol</th>
</tr>
</thead>
<tbody>
<tr>
<td>Loudspeaker</td>
<td>Hand drawn triangle</td>
<td>Amplifier</td>
<td><img src="image" alt="Sound System Diagram" /></td>
</tr>
<tr>
<td>Component</td>
<td>Hand drawn Bisected short lines</td>
<td>Effects, effect pedals</td>
<td><img src="image" alt="Component Diagram" /></td>
</tr>
<tr>
<td>Sound sources</td>
<td>Hand Drawn Half circle</td>
<td>Performer</td>
<td><img src="image" alt="Sound Sources Diagram" /></td>
</tr>
<tr>
<td>Sound system</td>
<td>Straight line</td>
<td>Total combination of the above</td>
<td><img src="image" alt="Sound System Diagram" /></td>
</tr>
</tbody>
</table>

Table 1: A list of symbols and assignments used in Decibels performance of Variations VI.

The selections for the performance score for Variations VI provides only spatial arrangement information, Variations VI dictates equipment arrangements and some minimal musical direction. Cage’s goal of composing “notations that circumscribed a field of musical possibility out of which an unrepeatable stream of unique sounds and actions could emerge” had become a reality[10].

In terms of curating a varied and engaging Variations program, the orchestration of Variations VI is pivotal. It is framed by the two most chaotic and large scale works (Variations V and VII) and as such requires careful consideration of pace and orchestration to maintain audience engagement. After the first four variations being presented as acoustic in nature, and Variations V using a range of electronic sound producing tools, it was decided to make the instrumentation of Variations VI electronic, reflecting the direction Cage was taking with his compositions at that time. Decibel members Cat Hope (noise bass guitar) and Malcolm Riddoch’s (noise guitar) instrumental and effect set ups were nominated the ‘sound systems’. In this way, the experienced improvising performance styles of these musicians offered a contrast to the more chaotic and ‘style-free’ approaches in the neighbouring Variations, and offered a framework in which to read Variations VI.

9 This period saw the composition of Electronic Music for Piano (1964), Rozart Mix and Variations V (1965).

10 Variations VII and VIII were not included, as they have no score to generate. For Variations V we used an astronomical atlas as suggested, and developed a mechanism to ‘read’ the map in realtime. Thus it was included in the master control panel.
Provided with the score instructions for Variations VI, are symbols on a transparent sheet intended to be cut out and dropped onto a sheet of paper with a vertical line drawn vertically down the middle. Each of these symbols signifies what we will call a different ‘arrangement parameter’ as allocated by Cage. These arrangement parameters instruct how to group parts that make up a unit. They differ from the instructions provided on how to read the resulting performance score. The random placement of the cut out symbols on the lined sheet signifies how different arrangement parameters are to be allocated and interpreted. These parameters are listed in Table 1, showing what Cage provides as material, and how Decibel has re-generated the meanings and the actual symbols digitally.

Decibel programmed an environment in MaxMSP where it was possible to virtually ‘drop’ the symbols onto the computer screen (being the ‘non transparent sheet’ described in the score) in realtime, a similar process that was used to realise Variations IV. The final column in the table above shows how the symbols were measured and categorized to enable their digital rendition and behavior. Each of these symbols were derivative of the same structure and dimensions, and a geometric transformation was applied to the coordinates A, B, C and D, giving a random distribution of rotation and translation to each symbol when they ‘drop’ onto the screen. The distance from each of these symbols to the straight line is measured and grouped differently depending on their proximity. The perpendicular distances are measured according to the vector equation:

\[
d = \sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2}
\]

The digital replication of the cut out symbols provided on the transparency was achieved using Java. The symbols are grouped based on the extent of their proximity to each straight line or system with the following code:

```java
private void assignGroups()
{
    double minDists[] = new double[shapes.length];
    for (int i = 0; i < minDists.length; i++) {
        minDists[i] = inlets[0] + inlets[1];
    }
    for (int i = 0; i < lines.length; i++) {
        minDists[i] = inlets[0] + inlets[1];
        for (int j = 0; j < minDists.length; j++) {
            double dx = lines[i].getX(1) - lines[j].getX(0);
            double dy = lines[i].getY(1) - lines[j].getY(0);
            double m = dy / dx;
            double c = lines[i].getY(0) - (m * lines[i].getX(0));
            double px = ((dx * shapes[j].getX(3)) + (dy * shapes[j].getY(3)) - (dy * c)) / (dx + (dy * m));
            double py = (m * px) + c;
            double distSquared = pow(shapes[j].getY(3) - py, 2) + pow(shapes[j].getY(3) - py, 2);
            double dist = sqrt(distSquared);
            if (dist < minDists[j]) {
                minDists[j] = dist;
                shapes[j].setGroup(i);
            }
        }
    }
}
```

The program also included the ability to extend the lines, as requested by Cage, and seen in Figure 9; “Drop each reserved symbol on the non-transparent sheet. Two adjacent straight lines which converge (or would if extended) or cross symbolize a sound system or systems” [15].

There were a number of reasons for adopting Java. There was need for the fast creation of both recursive structures and management of arrays or lists to enable the drawing of the symbols. A lower level language is more adept at intensive number crunching and list management tasks than a higher level graphical language such as MaxMSP which is based on a scheduled paradigm, where particular care must be taken in relation to ordering of events. MaxMSP provides considerable documentation on procedural management and message ordering, including the right-to-left and bottom-to-top approach to the arrangement of objects in a patcher window. However, when combining this with the construction, deconstruction and permutation of lists recursively, it became apparent that these are better implemented using a programming language such as Java or C. This had been tested in the score generation for Variations II, a score that requires many measurements to be taken into consideration. In realtime score generation, time is key. The real time generation of the data processing for Variations II took 6.27 milliseconds computational time in Java as opposed to 1860 milliseconds computational time in MaxMSP, a significant difference. This involved sequential tasks such as list construction, permutation, deconstruction, geometric algebra, and storage of relevant results into a Jitter matrix. The Jitter Application Programming Interface (API) is accessed directly from the main patcher window.

The information enabling the rotation, placement and gouping of the symbols is then sent over the network to any computers for displaying the score. The score information is formatted in a specific way, and consists of a single list of numbers starting with a header describing the variation number, display information such as transparency, the number of systems, components, sound sources, and loudspeakers that appear, and an interleaved list of x and y cartesian coordinates for generating all of the required symbols followed by a group number. The group numbers are used to color each symbol in the score differently, making it clear which objects relate to which system. Figure 9 shows the score generated for Decibel’s premiere performance of Variations VI, where two ellipses were added manually after the symbols were ‘dropped’. These indicate the grouping of symbols relating to the each of the sound systems available, rather than the colouring of the symbols, as the
performance took place before the colouring of symbols has become available.

The rotation of each object was then interpreted by the performers live. The vertical or horizontal tendencies of each symbol represented how much a particular system is varied or not varied, respectively. The musicians interpreted these in their performance style, reading the score from a project in any direction they chose, following the symbols as indications to different degrees of change in a performance that lasted some eight minutes.

Figure 9: the first iteration of Variations VI with manual groupings indicated by a red (upper) and blue (lower) ellipse.

Cage notes that the distribution of sound in space is indicated by the relationship of the ‘dropped lines’ to the fixed line of the screen by noting “the orientation of the converging straight lines with respect to the non transparent (vertical) line may suggest distribution of sound in space” [15]. As a response to this instruction, the amplifiers were directed to project the trajectory as specified in the score, and shown in Figure 10.

Figure 10. Decibel members Cat Hope (bass) and Malcolm Riddoch (guitar) performing Variations VI in Palermo. Photo by Davide Carozza.

5. CONCLUSION

DeLio points out that Cage has provided “a significant attempt to introduce various notions of multiplicity into musical discourse” [18]. The Variations epitomize this intention. Yet the impetus behind Decibel’s realisation of these works has been principally performative: to create practical tools for the realisation of the works, that retain both indeterminacy and the precision of the Cage’s specification yet allow an open performative involvement characterized by the groups skills. The digital rendering of these works aims to provide performance scores where accurate performances are more likely, and avoid the problem of so called ‘faking it’).

The digital rendering of the performance scores enables multiple precise realtime instantiations that can become an efficient part of the very performance of the works. It enables performers to choose an almost indefinite range of possibilities for performance, but also provides the ability for performers to choose the best of a series of possible performance scores, particularly in regard to Variations IV. The network utility enables trouble shooting where score coordination is an important consideration.

This Cage Variations Project is a work in progress where performance plays an important part of the research methodology [22]. Each performance opens up new possibilities, ideas and problems to solve. The current phase of development heading towards the second performance involves making the score players function on iPads, replacing the quickdraw protocol with Quartz 2D drawing tools, and the adoption of OSC for network facilitation. This will lead to the development of a ‘Cage Variations Performance Score Generator’ as a stand alone application that will combine the score generators, players, network facility and management in a single application. And then, Cage’s important compositional traits as exemplified in the Variations will become available and easier to use than ever, through a wide distribution for use on the latest consumer end technology.

REFERENCES


